

August 2003

Minutes August 21, 2003

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**MEETING OF THE BOARD OF TRUSTEES
UNIVERSITY OF CONNECTICUT**

AGENDA

University of Connecticut
Merlin D. Bishop Center
Storrs, Connecticut

August 21, 2003

OPEN SESSION

The meeting was called to order at 12:50 p.m. by Chairman John Rowe. Trustees present were: Louise Bailey, Linda Gatling, Bruce Gresczyk, Lenworth Jacobs, Michael Martinez, Frank Napolitano, Michael Nichols, David O'Leary, and Richard Twilley.

Trustee Ritter participated by telephone.

Trustees James Abromaitis, Philip Barry, William Berkley, Michael Cicchetti, Denis Nayden, Theodore Sergi, and Richard Treibick, and Brenda Sisco, who represents the Governor's Office were absent from the meeting.

University staff present were: President Austin, Provost and Executive Vice President for Academic Affairs Petersen, Vice President and Chief Financial Officer Aronson, Vice President and Chief Operating Officer Flaherty-Goldsmith, Vice Chancellor for Academic Administration Maryanski, Vice Chancellor for Business and Administration Dreyfuss, Health Center Chief Financial Officer Upton, Health Center Chief Administrative Officer Whetstone, Associate Provost for Enrollment Management Evanovich, Athletic Director Hathaway, Dr. Schurin, Special Assistant to the President Callahan, Mr. John Saddlemire, Dean of Students, Assistant Attorney General McCarthy, Faculty Senate Representatives Gerald Gianutsos and Kent Holsinger, and Ms. Locke were also present.

EXECUTIVE SESSION

On a motion by Dr. Jacobs, seconded by Ms. Bailey, **THE BOARD VOTED** to go into Executive Session at 12:51 p.m. to discuss matters pertaining to pending litigation. The Chairman noted that on the advice of counsel only staff members whose presence was necessary to provide their opinion would be permitted to attend Executive Session.

Trustees present were: Bailey, Gatling, Gresczyk, Jacobs, Martinez, Napolitano, Nichols, O'Leary, Rowe, and Twilley.

Trustee Ritter participated by telephone.

University staff present were: President Austin, Provost and Executive Vice President for Academic Affairs Petersen, Vice President and Chief Financial Officer Aronson, Dr. Schurin, Special Assistant to the President Callahan, and Assistant Attorney General McCarthy were also present.

Executive Session ended at 1:20 p.m. and the Board returned to Open Session at 1:25 p.m. Trustees Ritter and Treibick participated by telephone. Executive Vice President for Health Affairs Deckers, Vice Provost for Multicultural Affairs Taylor, Associate Provost for Enrollment Management Evanovich, and Faculty Senate Representative Robert Tilton joined the meeting at this time.

All actions taken were by unanimous vote of the Trustees present.

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OPEN SESSION**1. Public Participation**

The following members of the public addressed the Board on the topic noted:

- Mr. Leonard Byrd, Project Manager at Bechtel Infrastructure Corporation

Mr. Byrd discussed his workers' compensation complaint for injuries sustained while he was employed by a private contractor, Bechtel Infrastructure Corporation, working on a University project. Mr. Byrd voiced objections to the University's OCIP program and related matters.

Chairman Rowe thanked Mr. Byrd for sharing his concerns and asked him to provide documentation regarding his dispute with the University. He assured him that the appropriate staff would be consulted and that someone at the University would follow up on this matter. The Board will receive a report with respect to the status of Mr. Byrd's dispute with the University.

2. Chairman's Report

Chairman Rowe thanked the Board members for their warm welcome. He also thanked President Austin for his guidance over the past several weeks. He noted that he met with the deans of the schools and colleges, and has spoken to the Commissioner of Higher Education, the Mayor of Mansfield, several students, and received a campus tour. Chairman Rowe said that he has gained a greater appreciation of the tremendous strength and momentum of the University. He also expressed his gratitude to Trustee Ritter, who has been one of the University's most influential supporters and contributors.

Chairman Rowe announced that he recently approved the appointment of Dr. Gerard Burrow of Hampden as his replacement on the Health Center Board of Directors. Former Board of Trustees Vice-Chair Leonardi and Executive Vice President Deckers recommended Dr. Burrow, who also received strong support from the Governor. Chairman Rowe noted that he is a very distinguished physician, biomedical scientist, and medical administrator, who has had a very productive career at a number of major institutions. He is currently Dean of Yale University School of Medicine.

Chairman Rowe also announced that there will be an event honoring former Chairman Roger Gelfenbien this fall. The Board will be notified once details are confirmed.

Chairman Rowe introduced his Chief of Staff at Aetna, Ms. Patricia Hassett, who has had a long career in higher education, including service in state supported higher education at the City University of New York.

(a) Board recognitions

1. Roger A. Gelfenbien

Trustee Gatling read the following resolution in honor of Roger A. Gelfenbien.

**ROGER A. GELFENBIEN
1997-2003**

WHEREAS, Roger Gelfenbien served with honor and distinction as the Chairman of the University of Connecticut Board of Trustees from 1997 to 2003; and

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WHEREAS, During the time he presided over the Board he helped guide the University through a period of unprecedented progress on multiple fronts, including the massive improvement of physical infrastructure; growth in the size, diversity, and academic strength of the student body; enhancement of the University's role as a center of groundbreaking research; strengthening of the University of Connecticut Health Center; and vast growth in private support; and

WHEREAS, Roger Gelfenbien has been a forceful and effective advocate for this institution, ever mindful of the needs of students, always committed to the pursuit of quality, and consistently dedicated to enhancing the University's capacity to contribute to Connecticut's economic development and quality of life; and

WHEREAS, His service as Chairman of the Board of Trustees represents only the most recent of Roger Gelfenbien's contributions to his alma mater, with earlier leadership roles on the University of Connecticut Foundation Board, the University's Operations and Management Advisory Board, and in multiple other capacities; and

WHEREAS, In addition to his service on behalf of the University, Roger Gelfenbien is widely recognized as a leader of Connecticut's business community and a major contributor to the civic life of the Greater Hartford area and of the State of Connecticut; and

WHEREAS, The members of the Board of Trustees gratefully acknowledge the generosity, humor, perception and dedication he demonstrated as Chairman throughout the past six challenging years;

THEREFORE BE IT RESOLVED, That the Board of Trustees records its deep appreciation to Roger A. Gelfenbien for his exemplary service to the Board and to the University of Connecticut, and wishes him well in all his future endeavors.

On a motion by Dr. Napolitano, seconded by Mr. Martinez, **THE BOARD VOTED** to approve the resolution for Roger A. Gelfenbien.

2. Frank A. Napolitano

Vice-Chair Bailey read the following resolution in honor of Frank A. Napolitano.

**FRANK A. NAPOLITANO
1995-2003**

WHEREAS, Frank Napolitano has served with high distinction as a member of the University of Connecticut Board of Trustees for the past eight years, elected twice by his fellow alumni to help guide the University; and

WHEREAS, For the past four decades Frank Napolitano has been an active, loyal, constructive and enthusiastic member of the University of Connecticut community—a holder of three UConn degrees, a former Assistant Vice President for Student Affairs, an active member of the Alumni Council, and recipient of the Alumni Service Award; and

WHEREAS, As a member of the Board of Trustees Frank Napolitano has consistently displayed the same level of commitment that characterized his past service to the University, serving as a member of the Student Life, Financial Affairs, Institutional Advancement, Honors and Awards, and other committees; and

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WHEREAS, In committee, at meetings of the full Board, and in countless other ways Frank Napolitano has raised important concerns, offered useful recommendations, and forthrightly advocated for the interests of students and all other members of the University community; and

WHEREAS, Mr. Napolitano's colleagues on the Board of Trustees will greatly miss his participation, but look forward to working with him in other ways to continue to advance the progress of the University of Connecticut;

THEREFORE BE IT RESOLVED, That the Board of Trustees records its deep appreciation to Frank A. Napolitano for exemplary service to the Board and to the University of Connecticut.

On a motion by Mr. Martinez, seconded by Ms. Bailey, **THE BOARD VOTED** to approve the resolution for Frank A. Napolitano.

Chairman Rowe presented Dr. Napolitano with the framed resolution.

Dr. Napolitano expressed his gratitude for serving on the Board as the alumni representative for two terms. He recounted the many years he spent working with the Alumni Association and how the University has impacted his life.

- (b) Minutes of the meetings of June 25 and July 22, 2003

On a motion by Mr. Martinez, seconded by Ms. Bailey, **THE BOARD VOTED** to approve the minutes of the meetings of June 25 and July 22, 2003.

- (c) Consent Agenda Items:

Chairman Rowe moved the following item to the Academic Affairs Report:

- (4) Acceptance of the Report of the Academic Plan Task Force (Attachment 4)

On a motion by Mr. Martinez, seconded by Mrs. Gatling, **THE BOARD VOTED** to approve the following items listed on the Consent Agenda.

- (1) Designation of the James L. and Shirley A. Draper Chair in American History (Attachment 1)

- (2) Appointment of Dr. Robert Gross to the James L. and Shirley A. Draper Chair in American History (Attachment 2)

- (3) Designation as the Ballard Institute and Museum of Puppetry (Attachment 3)

- (d) Personnel matters (Storrs-based programs) (Attachment 5)

On a motion by Dr. Jacobs, seconded by Ms. Bailey, **THE BOARD VOTED** to approve the personnel action recommendations.

- (1) Award of Tenure
 (2) Designation of Emeritus Faculty
 (3) Sabbatics
 (4) Informational matters

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3. **President's Report**

President Austin welcomed Chairman Rowe and Trustee Ritter to the Board. He announced the return of Jeff Hathaway, who accepted the appointment as Athletic Director in July. He also welcomed Ms. Linda Flaherty-Goldsmith as the University's new Vice President and Chief Operating Officer and presented Mr. John Martin as the new President of the UConn Foundation. President Austin noted that Mr. Curt Hunter has been selected to be the new Dean of the School of Business, but will not arrive on campus until late fall. Most recently, he served as Senior Vice President and Director of Research of the Federal Reserve Bank of Chicago.

President Austin informed the Trustees that Trustee Barry has undergone surgery and has been hospitalized for several weeks. His wife has provided updates about his continued progress and they both send their regards to the Board.

President Austin reported that the State budget has passed, but the administration has not received any details about how it will impact the University, especially with respect to the Early Retirement Incentive Program refill rate. For the Storrs-based programs, the appropriation will be \$197.1 million, which is about a \$5 million increase over FY 03, but about \$10.7 million less than what is required for current services. The wage freezes that were reported earlier, including the freeze of administrative salaries and the voluntary wage freezes taken by the AAUP and UCPEA unions on campus, have done much to mitigate the overall impact. For the Health Center, the appropriation will be \$74.9 million, which is approximately \$1.8 million more than FY03, but represents \$2.7 million less than would be required to maintain current services. President Austin cautioned that it was too soon to tell how much savings the University would retain from the Early Retirement Incentive Program. The University will manage this year, because many of the faculty who have retired are likely to return to cover courses on an adjunct basis.

President Austin stated that there was no appropriation for the Matching Grant Program, which has been fundamental to the enormous success of the UConn Foundation over the past five years. He is hopeful that this Program will be reinstated sometime in the fall.

He invited Board members to attend this year's Convocation ceremony, which will be held tomorrow at 4:00 p.m. in Gampel Pavilion. It serves to welcome the incoming freshmen and their families to the campus.

President Austin called upon Associate Provost Evanovich to give the Board a brief report on the demographics of the entering freshmen class. Associate Provost Evanovich reported that there are approximately 600 new freshmen arriving this afternoon to the North Campus residence halls. The remaining freshmen will move in tomorrow.

This past year the Admissions Office received over 18,700 applications, which is a 28% increase or 4,000 more applications than were received last year. For the first time, the University received more out-of-state than in-state applications. This enabled his staff to reduce the admit rate or to become more selective. Last year the University admitted 62% of the students who applied. This year they admitted 53%. Because the admissions staff were more selective on the out-of-state students, the admit rate was 45%, which is in-line with the University's plan. At the Storrs campus, Associate Provost Evanovich expected between 3,200 – 3,220 freshmen would be arriving, which is a slight increase from last year in which 3,185 students were enrolled.

In addition, he reported that the SAT scores have gone up approximately 20 points in one year. Last year the scores were 1149 and this year they are between 1169 -1170. The percentage of students of

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color in the freshmen class has increased from 16% to 18%. The high school preparedness based upon rank and class has also risen from the 78th percentile to the 80th percentile. Associate Provost Evanovich was pleased to report that the number of valedictorians and salutatorians have risen as well. The University has received 72 deposits over 60 last year. Although the official enrollment numbers are expected sometime in September, it is expected that the number will rise. Enrollment at the regional campuses is expected to be 10% higher than last year with the majority of that growth occurring at the new Waterbury campus.

Trustee Twilley asked if he knew what the number was of valedictorian and salutatorians who were seeking enrollment at the regional campuses, in particular at the Waterbury Campus.

Associate Provost Evanovich responded that four students will be enrolling at the regional campuses, two of whom who are valedictorians will be enrolled at Waterbury.

President Austin thanked Associate Provost Evanovich for his leadership.

President Austin introduced Dean of Students John Saddlemire, who provided a housing update. Dr. Saddlemire reported that his staff have been extremely busy all summer as projects have come on-line. He noted that some of the high profile projects include the new Husky Village, which has state-of-the-art facilities and will accommodate approximately 300 students. Another major project that has come on-line is the Charter Oak Suites and Apartments. The new Towers Dining Facility, which includes the Kosher Kitchen, will provide students with exciting new dining opportunities. Dr. Saddlemire noted that there have renovation projects, which include the North Campus exterior and dining hall.

President Austin asked Athletic Director Hathaway to provide a brief report on the status of Rentschler Field. Mr. Hathaway recounted the beginning stages of a move to Division IA football over ten years ago. In the fall of 1993 a committee was convened and a report was issued in March 1994, after working with Coopers and Lybrand. Mr. Hathaway stated that five years ago this season, we were in our last year of Division IAA football averaging 10,000 to 11,000 people in Memorial Stadium. The last hurdle was the stadium. Next week the University begins a new chapter at Rentschler Field on August 30 for the first game against our big ten opponent – University of Indiana. In 2004, we become a full member of the BIG EAST football conference. Today we will be announcing the Big East schedule for 2004. For our first season at home, we will be playing the University of Pittsburgh, West Virginia University, and Temple. Mr. Hathaway noted that it is hard to believe that in this short amount of time we have become the only Division IAA football playing school that has had the opportunity and has successfully completed the move to a bowl championship series conference.

He reported that there are approximately 23,000 in season tickets sold and he remains hopeful that over the next several years the stadium will be sold out. They are operating a parallel process right now - the development of the football team on the field and the development of the football team in all the support entities, such as ticket sales, boxes, club seating, etc. They are very excited about the upcoming year and the first game on August 30.

President Austin introduced Vice President and Chief Operating Officer Linda Flaherty-Goldsmith. She reported that she has been meeting with staff over the past several weeks in an effort to gain some insight into the matter of Waterbury parking fees, which has become more complex because there has been no consistent policy for parking fees at the regional campuses. She has convened a group to resolve this issue and will report back to the Board with a policy recommendation.

Provost and Executive Vice President Petersen provided a brief update on regional campus enrollment. He stated that last November there was discussion about applying minimal resources to encourage

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enrollment growth at the regional campuses by 33% or 1,125 students by 2007. As of this fall, the University has grown closer to achieving that goal with an incoming freshmen class of 935, which is an increase of 10% over last year, which the majority of the increase is made up of the Waterbury campus. Currently, the University has received 234 freshmen deposits for the Waterbury Campus, which is up from 150 last year or a 56% increase. Provost and Vice President Petersen reiterated that Associate Provost Evanovich had estimated that we will likely net about 220 students. He expected that the University will continue to attract more students at the regional campuses that meet or exceed our goals.

4. Academic Affairs Committee Report

(a) Report on Committee activities

Dr. Jacobs reported that the Academic Affairs Committee met this morning and approved a number of items, which were approved on the Consent Agenda. He noted that the Academic Plan Task Force was moved from the Consent Agenda to the Academic Affairs report. He summarized that in the fall of 2002, Provost and Executive Vice President Petersen convened a group of students, faculty, deans, and the members of the senior administration to identify the aspirations of the students and faculty would have to have a great experience both in the teaching and learning environment at UConn. The first preliminary report was presented to the Board on January 14, 2003, and then subsequent reports were presented in April, June, and again today. This has resulted in a 26-page document, which illustrates the areas that Provost and Executive Vice President Petersen just touched upon, which includes resource allocation, facilities planning, faculty achievement, student excellence, and an implementation plan. The Plan was discussed this morning and voted upon by the Committee.

On a motion by Dr. Jacobs seconded by Mrs. Gatling, **THE BOARD VOTED** to accept the Report of the Academic Plan Task Force.

Chairman Rowe raised several questions about the Plan and made the following suggestions. He noted that the themes identified in the Academic Action Plan are broad in nature and lack specificity with respect to targeted disciplines of excellence. He asked the administration to consider a form of analysis that involves either grants, projects, departments, faculty, or some other unit of analysis that would demonstrate to the Board how many disciplines are closely aligned, somewhat aligned, or not aligned with one or more of these themes.

Chairman Rowe also asked for more detail in outlining an operational plan that will integrate the Academic Plan with the capital plan. Along those lines, he discussed the importance of the relationship between the Health Center faculty and the Storrs-based faculty, including the regional campus faculty. He suggested that it would be helpful to the Board if a set of realistic goals were identified based upon the available skills and resources, so that if a specific investment were needed, then the Board could assist or possibly consider other opportunities, such as philanthropic opportunities.

Provost and Executive Vice President Petersen responded that the implementation plan will identify specific targets, but that a broad plan at the outset will offer opportunities to consider a number of issues. He stressed that those areas that will be addressed will be narrowly focused, strategic, and competitive. Provost and Executive Vice President Petersen said that he and Executive Vice President Deckers have already begun to look at specific areas in which faculty interaction can take place.

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5. Health Center Board of Directors Committee Report

(a) Report on Committee activities

Dr. Deckers reported that the Health Center Board of Directors reviewed and approved the Health Center budget for FY 04 at their budget workshop meeting despite the uncertainty of the state budget and the impact of the early retirement program. A revised budget will be presented at the next Board of Directors meeting.

Dr. Deckers commended the collaboration of the members of the Board of Directors and the subcommittees, which include Finance, Compliance and Audit, Clinical Affairs and Peer Review, and Academic Affairs. All the members have been engaged in making constructive suggestions and contributions to the well-being of the Health Center, for which he is very appreciative.

Dr. Deckers welcomed the appointment of Dr. Gerard Burrow on the Health Center Board of Directors. He also welcomed Mr. Jeffrey Hathaway back to the University. He noted that last spring the Women's basketball team toured the neonatal unit and brought joy to many parents and staff in the unit.

Dr. Deckers acknowledged the pledge and commitment of Coach Jim Calhoun for the development of a celebrity golf classic to support the new Center for Cardiology and Cardiovascular Biology at the Health Center. This is an exceptional commitment by Coach Calhoun to the School of Medicine's research and academic activities. More recently, Coach Calhoun agreed to allow his name to be used in conjunction with the Center, which will soon be named as the Jim and Pat Calhoun Center for Cardiology and Cardiovascular Biology. He also accepted membership to their leadership council and he has agreed to participate in a cholesterol-screening program the Health Center has set up for the State. The Center receives approximately \$250,000 annually.

Dr. Deckers' directed Trustee attention to Attachments 6 and 7 – Capital Spending Plan and Environmental Impact Evaluation, respectively. He noted that plans have been moving forward to develop a surgery center within a musculoskeletal building, which will be located close to the helicopter pad at the Health Center. In order to complete the project, an environmental analysis is necessary. Outside consultants were engaged for this purpose.

(b) Items requiring Board discussion and approval:

- (1) Approval of the Capital Spending Plan for Fiscal Year 2004 and the Five-Year Capital Spending Plan for Fiscal Years 2005-2009 for the University of Connecticut Health Center (Attachment 6)

On a motion by Mr. Twilley, seconded by Dr. Jacobs, **THE BOARD VOTED** to approve the University of Connecticut Health Center Capital Spending Plan for Fiscal Year 2004 and the Five Year Capital Spending Plan for Fiscal Years 2005-2009.

- (2) Environmental Impact Evaluation for the Medical Arts and Research Building, Farmington, Connecticut (Attachment 7)

On a motion by Mr. Martinez, seconded by Mr. Twilley, **THE BOARD VOTED** to approve the Environmental Impact Evaluation for the previously approved Medical Arts Research Building.

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6. Financial Affairs Committee Report**(a) Report on Committee activities**

Vice President Aronson gave the report of the Financial Affairs Committee on behalf of Vice-Chair Nayden. She reported that President Austin provided an informative summary of the budget situation and we now await direction with regard to the distribution of funds affiliated with the Early Retirement Program. The University has been operating on the FY03 budget with adjustments made for the Early Retirement Program by covering the institution's highest priority replacements and continuing revenue maximization efforts at the Health Center.

Trustee Ritter asked if the bond package would be put back. Vice President Aronson responded that the administration is still waiting for information, especially because there is a proposal for an allocation at the Law School Library.

7. Institutional Advancement Committee Report**(a) Report on Committee activities**

Trustee Napolitano gave the report of the Institutional Advancement Committee on behalf of Vice-Chair Treibick. He reported that on July 8, 2003 the Committee met via teleconference. He directed Trustee attention to Attachments 8 and 9 in the Board agenda, which deal with naming recommendations and the Development Progress Executive Summary, respectively. With respect to the progress report from the Foundation, the Committee heard a report on the operational side. He commended Foundation Senior Vice President Amyot and her staff for their hard work and guidance over the past year.

Trustee Napolitano stated that the Capital Campaign remains on track and will conclude by June 30, 2004. At the close of the fiscal year, the Foundation had reached 84% of its goal with over \$252 million raised toward the goal of \$300 million. Other significant points in the Summary include commitments and new gifts of nearly \$50 million, which represent an increase over FY02. The increase is attributed to increased giving in support of athletics, much of which is associated with the football program. During the year, 48 new endowment funds were established, which now total 941, with scholarship endowments being the most prominent donor designation. Total assets in the UConn Foundation stand at \$262 million. A record high \$25 million in University support was disbursed by the Foundation.

Mr. Brohinsky provided a legislative update and covered issues that were expected to be resolved later on, which included the state appropriations for the Storrs-based programs and the Health Center, impact of the Early Retirement Incentive Program, and the status of the State Matching Grant Program.

Mr. Feudo updated the Committee on changes in leadership of the Alumni Board of Directors and the election of a new Alumni Trustee to replace himself. The election should conclude this week.

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(b) Items requiring Board discussion and approval:

(1) Naming Recommendations: (Attachment 8)

On a motion by Dr. Rowe, seconded by Dr. Jacobs, **THE BOARD VOTED** to approve the following naming recommendations:

- (a) Dr. Janice Thibodeau Faculty Office (School of Nursing)
- (b) Captain Kathleen RN, MHA, MA, and Colonel Joel Hiatt Shared Clinical Faculty Office (School of Nursing)
- (c) Dr. Robert and Maureen McCarthy Shared Clinical Faculty Office (School of Pharmacy)
- (d) Daniel C. and Maryanne V. Leone Group Study Area (School of Pharmacy)
- (e) Hait Family Computer Lab (School of Pharmacy)

(2) Development Progress Executive Summary (Attachment 9)

8. Other

Trustee O'Leary commented that several years ago he, Trustee Ritter, and Vice President Aronson worked together to provide capital improvements at the Health Center, but financial issues suspended the projects. He commended Dr. Deckers, Vice President Aronson, and former Vice-Chair Leonardi for their hard work and commitment to revitalizing the Health Center.

9. Adjournment

Chairman Rowe announced that the next meeting of the Board would be held on Tuesday, September 23, 2003 and will be preceded by a Budget Workshop.

There being no further business, the Board meeting adjourned at 2:30 p.m.

Respectfully submitted,

Louise M. Bailey
Secretary