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2014 March 26 -- Agenda and attachments

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**MEETING OF THE BOARD OF TRUSTEES
UNIVERSITY OF CONNECTICUT**

AGENDA

University of Connecticut
Rome Commons Ballroom
South Campus Complex
Storrs, Connecticut

March 26, 2014

BOARD OF TRUSTEES SCHEDULE OF THE DAY

9:00 a.m.	Academic Affairs Committee Meeting
9:15 a.m.	Financial Affairs Committee Meeting
9:45 a.m.	Board of Trustees Meeting

BOARD MEETING AGENDA

Call to order at **9:45 a.m.**

1. Public Participation
2. Chairman's Report
 - (a) Matters outstanding
 - (b) Minutes of the meeting of February 26, 2014
 - (c) Consent Agenda Items:
 - (1) Contracts and Agreements for the Storrs-based Programs and the Health Center (Attachment 1)
 - (2) Recommendation for Designation as Board of Trustees Distinguished Professors, Academic Year 2013-2014 (Attachment 2)
 - (3) Tenure at Hire (Attachment 3)
 - (4) Sabbatical Leave Recommendations (Attachment 4)
 - (5) Establishment of the James Barnett Professorship in Humanistic Anthropology in the College of Liberal Arts and Sciences (Attachment 5)
 - (6) Establishment of the United Technologies Corporation Chair for Advanced Systems Engineering (Attachment 6)
 - (7) Establishment of the United Technologies Corporation Professorship for Advanced Systems Engineering (Attachment 7)
 - (8) Establishment of the United Technologies Corporation Institute for Advanced Systems Engineering (Attachment 8)
 - (9) Professional Master's Degree in Biostatistics in the College of Liberal Arts and Sciences (Attachment 9)
 - (10) Graduate Certificate in Leadership and Diversity Management in Sport (Attachment 10)
 - (11) Policy on Scholarly Integrity in Graduate Education and Research (Attachment 11)

3. President's Report
4. Academic Affairs Committee Report
 - (a) Report on Committee activities
 - (b) Informational item:
 - (1) Renaming the Undergraduate Major Business and Technology to Business Data Analytics in the School of Business (Attachment 12)
5. Financial Affairs Committee Report
 - (a) Report on Committee activities
 - (b) Items requiring Board discussion and approval:

Project Budgets – Storrs-based Programs:

 - (1) Project Budget (Planning) for Avery Point – Building 21/23 Demolition (Attachment 13)
 - (2) Project Budget (Revised Planning) for Engineering Building – Engineering and Science Building (Attachment 14)
 - (3) Project Budget (Revised Planning) for Technology Quadrant Phase III – Innovation Partnership Building (Attachment 15)
 - (4) Project Budget (Revised Final) for Residential Life Facilities – South Campus Envelope – Gable Repairs (Attachment 16)

Project Budgets – Health Center:

 - (1) Project Budget (Planning) for the UConn Health Center Demolition – Dowling North and Dowling South Buildings (Attachment 17)
 - (2) Project Budget (Final) for the UConn Health Center Anechoic Chamber Relocation (Attachment 18)
6. Health Center Report
 - (a) Report on Health Center activities
7. Joint Audit and Compliance Committee Report
 - (a) Report on Committee activities
8. Buildings, Grounds and Environment Committee Report
 - (a) Report on Committee activities
9. Construction Management Oversight Committee Report
 - (a) Report on Committee activities
10. Student Life Committee Report
 - (a) Report of Committee activities
11. Institutional Advancement Committee Report
 - (a) Report on Committee activities
 - (b) Informational items:
 - (1) Development Progress Executive Summaries (Attachment 19)

12. Committee on Compensation Report
 - (a) Report on Committee activities
13. Other business
14. Executive Session anticipated.
15. Adjournment

PLEASE NOTE: *If you are an individual with a disability and require accommodations, please call the Board of Trustees Office at (860) 486-2333 prior to the meeting.*

ATTACHMENT 1

CONTRACTS AND AGREEMENTS
FOR APPROVAL
March 26, 2014

PROCUREMENT - AMENDMENTS										
COMPUTER HARDWARE AND PERIPHERALS										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 1/31/14	Expenditures FY 13	Expenditures FY 12	Purpose
1	GovConnection, Inc.	CNR-01141	\$1,640,000 [Contract Value Previously \$1,200,000; Total New Contract Value \$2,840,000]	12/01/06-03/31/17	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Officer	\$643,821	\$643,821	\$0	Personal computers, peripherals, software, and support services to University students, faculty, and staff on all University campuses other than UCHC. Contract through the Education & Institutional Cooperative Purchasing Group. Amend to increase contract value by \$1,640,000, for a total new contract value of \$2,840,000. Increase will provide for purchase of Adobe Enterprise Licenses for students. The cost of these licenses will be funded from the Student Technology Fee. Zero (0) options to extend.
NETWORK HARDWARE										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 1/31/14	Expenditures FY 13	Expenditures FY 12	Purpose
1	IGX Acquisitions LLC (d/b/a IGX Global)	UC-11-B001651-G	\$881,078 [Contract Value Previously \$980,000; Total New Contract Value \$1,861,078]	07/07/10-06/30/15	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Officer	\$966,078	\$24,942	\$89,365	Operating system, network, and security hardware and services for all University campuses, including UCHC. Amend to increase contract value by \$881,078 for a total new contract value of \$1,861,078. Amend to extend term by one (1) year, to 6/30/15. Zero (0) of four (4) one (1) year extensions remaining.
ON-CALL CONSULTANT SERVICES - PROGRAM/PROJECT MANAGEMENT SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 1/31/14	Expenditures FY 13	Expenditures FY 12	Purpose
1	Jacobs Project Management Co.	PMO-01-1.5/01/31/15	\$1,686,200 [Contract Value Previously \$2,300,000; Total New Contract Value \$3,986,200]	02/01/12-01/31/15	Multiple Sources	Matthew Larson, Director of Procurement Services	\$1,736,346	\$662,454	\$0	Program/project management oversight services to support capital construction programs on all University campuses other than UCHC. Amend to increase contract value by \$1,686,200, for a total new contract value of \$3,986,200. Contract term remains the same. Zero (0) options to extend.
PROGRAM/PROJECT MANAGEMENT SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 1/31/14	Expenditures FY 13	Expenditures FY 12	Purpose
1	Jacobs Project Management Co.	090711MS	\$431,025 [Contract Value Previously \$15,817,936; Total New Contract Value \$16,248,961]	01/03/12-12/18/17	Multiple Sources	Matthew Larson, Director of Procurement Services	\$3,353,498	\$1,622,205	\$279,223	Program/project management oversight services to support UCHC's capital construction programs. Amend to increase contract value by \$431,025, for a total new contract value of \$16,248,961. Increase will fund services for the information technology program included in the Ambulatory Care Center project. Contract term remains the same. Zero (0) options to extend.

**CONTRACTS AND AGREEMENTS
FOR APPROVAL
March 26, 2014**

UNIVERSITY VEHICLES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 1/31/14	Expenditures FY 13	Expenditures FY 12	Purpose
1	Gates Commercial & Fleet	UC-13-LP042712	\$942,983 [Contract Value Previously \$900,000; Total New Contract Value \$1,842,983]	10/01/12-09/30/15	Multiple Sources	Matthew Larson, Director of Procurement Services	\$819,103	\$317,103	\$0	Purchase of new and/or used vehicles for all University campuses, including UCHC. Amend to increase contract value by \$942,983, for a total new contract value of \$1,842,983. Amend to extend term by one (1) year, to 9/30/15. Two (2) of five (5) one (1) year extensions remaining.
UTILITY PLANT SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 1/31/14	Expenditures FY 13	Expenditures FY 12	Purpose
1	Apcom Power, Inc. (d/b/a Alstom Power)	UC-08-KJ120307-1	\$112,682 [Contract Value Previously \$1,150,000; Total New Contract Value \$1,262,682]	07/29/09-06/30/14	Operating Funds - General	Michael Jednak, AVP Facilities Operations & Building Services	\$1,069,827	\$156,445	\$219,442	Operational, maintenance, and repair services for the Central Utility Plant/CoGeneration facility on the Storrs campus. Amend to increase contract value by \$112,682 for a total new contract value of \$1,262,682. Contract term remains the same. Zero (0) options to extend.
PROPERTY LEASE AGREEMENTS										
UNIVERSITY AS LESSEE										
No.	Lessor	Annual Amount Receivable	Term	Fund Source	Program Director	Purpose				
1	E.H. 800 Connecticut Boulevard, LLC	\$164,618.00	10/01/14-09/30/29	Operating Funds - General	Elizabeth Bolt, Interim COO, UConn Medical Group	This lease located at 800 Connecticut Boulevard, East Hartford, CT will provide 12,424 square feet of space estimated at \$ 13.25 per sq ft and base rent increase of 1.5% annual . This is a ten year lease with one five year option . This new location provides UMG with Class A Medical space in the Greater Hartford Area, it is in close proximity to the current location allowing minimum disruption and continuity of patient care.				
2	Grove Property Fund, LLC	\$87,645.00	05/01/14-04/30/19	Operating Funds - General	Elizabeth Bolt, Interim COO, UConn Medical Group	This lease located at 720 Hop Meadow Street Simsbury, CT will provide 3,786 square feet of space, base rent estimated at \$22.00 per sq foot . This five year lease of space is the prime location of a recently acquired practice(Dr. Cappadona).				

ATTACHMENT 2

March 26, 2014

TO: Members of the Board of Trustees

FROM: Susan Herbst

RE: Recommendations for Designation as Board of Trustees Distinguished Professors, Academic Year 2013-2014

RECOMMENDATION:

That the Board of Trustees accept the recommendation of the Distinguished Professor Review Committee and designate the following faculty members as University of Connecticut Board of Trustees Distinguished Professors: Scott W. Brown (Department of Educational Psychology), Nancy A. Naples (Department of Sociology), and Kathleen Segerson (Department of Economics).

BACKGROUND:

At its November 10, 1998 meeting, the Board of Trustees voted to establish the title, Board of Trustees Distinguished Professor. This designation is the University's highest academic honor.

Pursuant to the *By-Laws of the University of Connecticut*, the Board of Trustees Distinguished Professor award is reserved exclusively to recognize faculty who have achieved exceptional distinction in scholarship, teaching, and service while at the University of Connecticut. Faculty chosen must have distinguished themselves in all three of these categories. The designation process occurs annually, as a result of a peer review process.

After careful deliberations, the Review Committee recommended the three individuals named above. I am recommending that the Board of Trustees designate these faculty as its Distinguished Professors.

Scott W. Brown

Dr. Brown is a Professor of Educational Psychology at the University of Connecticut, Storrs. Dr. Brown's previous awards and recognitions include *The 2008 Outstanding Research Award* from the Neag School of Education, the 2006 Thomas F. Donlon Award for Distinguished Mentoring from the Northeastern Educational Research Association, and the 2006 University of Connecticut Outreach Research-Based Public Service and Engagement Program Award for the GlobalEd Program. He has been named the 1997 L.C. Essig Summer Lecturer at Utah State University, the 1995 *Tamkang University Chair Lecturer* (Taiwan), and a Visiting Scholar, Victoria University in Wellington, New Zealand in 1998. He is a Fellow in the American Educational Research Association and the Association for Psychological Science.

Professor Brown is recognized as an expert in learning and cognitive processing, specifically in the area of problem-based learning designing instructional materials by anchoring them in authentic environments designed to promote learning, engagement and the transfer of knowledge, attitudes and behaviors to various related environments. Professor Brown's work in PBL has addressed issues in Lyme disease education, scientific literacy in deaf and hearing impaired students, and most recently, promoting STEM education in students through international negotiations on the web through the GlobalEd 2 project, resulting in over 120 scientific papers, book chapters, abstracts and proceedings, in addition to two books, a monograph, and over 250 conference presentations and addresses to professional groups. The CDC, NSF, and the U.S. Department of Education have funded his work for more than \$12 million during his career at the University of Connecticut. He currently serves as co-director of the GlobalEd 2 project, which has provided web-based STEM simulations to over 3,000 middle school students.

Professor Brown is an accomplished teacher who regularly teaches graduate courses in learning, cognitive processes, and research ethics. In 1998, he was awarded the Excellence in Teaching Award by the University of Connecticut Alumni Association. He has supervised 27 Ph.D. students and 15 M.A. students from nine different countries, many of who have coauthored publications and presentations at professional conferences.

Professor Brown has a history of dedicated service to the Educational Psychology department, the Neag School of Education, the University and the profession. He has served as the Educational Psychology department head for eight years, Director of the Teachers for a New Era Project for five years, and the UConn NCAA Faculty Athletics Representative for 14 years. He has held leadership positions in national professional organizations.

Nancy A. Naples

Dr. Nancy A. Naples is Professor of Sociology and Women's, Gender, and Sexuality Studies [WGSS] and is currently Director of WGSS at the University of Connecticut, Storrs. Her previous awards include the University of Connecticut's College of Liberal Arts and Sciences' 2011 Excellence in Research Award for Social Sciences and the Alumni Association's 2008 Faculty Excellence Award in Research (Humanities/Social Sciences). She also received the 2010 Distinguished Feminist Lecturer Award and the 2011 Feminist Mentor Award from *Sociologists for Women in Society*. Other awards include the Scholar Activist Award from the Division of Sociology and Social Welfare, *Society for the Study of Social Problems* and the Community Action Research Fellowship from the *American Sociological Association*.

Professor Naples is an internationally known scholar whose interdisciplinary scholarship includes the development of theoretical and methodological innovations in the fields of sociology and women's, gender, and sexuality studies. Her research focuses on the intersection of gender, sexuality, race, political activism, and citizenship in comparative perspective. She analyzes diverse social policies including welfare, immigration, education, economic development, and family policies to understand how these policies are developed in response to political activism, how they vary across different geographic and political contexts, and how they influence constructions of citizenship. She has published two sole authored books, five edited books, and over fifty articles and book chapters. She received awards or honorable mention for two of her books from three different professional associations. Her articles are published in top journals in sociology, feminist studies, and other interdisciplinary journals. She has been invited to give keynote addresses in fourteen conferences and to present her research in numerous professional associations and universities. Her research has been supported by grants from National Institutes of Mental Health, the Center for U.S. Mexican Studies, the American Sociological Association's Fund for the Advancement of the Discipline, and National Science Foundation.

Professor Naples has a strong commitment to teaching both undergraduate and graduate students. She has taught a wide range of courses at all levels including large introductory classes and small advanced graduate seminars. She designed or facilitated the development of twenty-five new courses in Sociology and WGSS that expand and diversify the curriculum in both units. Professor Naples has served as major advisor to twenty-nine, and associate advisor to thirty-two graduate students. Fifteen of these students were in fields outside of sociology, which is another testament to her interdisciplinary breadth and intellectual influence.

Professor Naples has contributed significantly to the University, serving on over fifty different department, college, and university committees, including fourteen search committees. She has also served as a member or chair of thirty-five different committees in various professional associations, including fifteen research or book award committees. Her outstanding professional leadership is demonstrated by her election as president of three different professional associations: the *Society for the Study of Social Problems*, *Sociologists for Women in Society*, and the *Eastern Sociological Society*.

Kathleen Segerson

Dr. Segerson is a Professor of Economics at the University of Connecticut, Storrs. She was the inaugural holder of the Philip E. Austin Endowed Chair (2008-2013) and was recently recognized as a UConn Alumni Association Distinguished Professor. In 2008 she was named a fellow of both the Association of Environmental and Resource Economists and the Agricultural and Applied Economics Association. She is also a member of the Connecticut Academy of Arts and Sciences.

Professor Segerson is an internationally recognized leader in the field of environmental economics. Her research has focused primarily on the incentive effects of alternative environmental policy instruments, including applications to groundwater contamination, hazardous waste management, workplace accidents; land use regulation, climate change and nonpoint pollution from agriculture. She is perhaps best known for her pioneering work on agricultural non-point pollution, voluntary agreements, and legal liability for environmental contamination. She has published extensively, with over 115 total scholarly publications. Her pioneering contributions have been reprinted in many collected volumes and stimulated a large body of follow-up research in the area, including a recent body of experimental work on the “Segerson mechanism.” In recognition of her research contributions, in 2007 Professor Segerson received the Research Excellence Award from the AAUP UConn Chapter.

Professor Segerson is also an outstanding and dedicated teacher, particularly at the graduate level. She has regularly taught courses in microeconomic theory, mathematical economics and environmental economics. Her classes attract students not only from within the Economics department but also from outside. She has served as major advisor for 18 PhD students. In 2006, she received the UConn Alumni Association Excellence in Graduate Teaching Award, and has three times been named the “Most Appreciated Faculty” by the Association of Graduate Economics Students.

Professor Segerson has also had a significant impact on both the University and the profession through her service. At UConn, she led the development of the new Environmental Studies major and is a co-founder/chair of the Teale Lecture Series on Nature and the Environment. She served as department head in Economics for four years and has participated in and/or chaired multiple university committees. Her service to the profession includes separate terms as President and Vice-President of the Association of Environmental and Resource Economists, membership on the U.S. Environmental Protection Agency’s Science Advisory Board and the National Academy of Science’s Board on Agriculture and Natural Resources, membership on numerous other scientific advisory boards, and multiple editorial positions for leading scholarly journals.

ATTACHMENT 3

**PROMOTION AND TENURE RECOMMENDATIONS
UNIVERSITY OF CONNECTICUT
PRESENTED TO THE BOARD OF TRUSTEES – March 26, 2014**

Effective August 23, 2014:

COLLEGE OF LIBERAL ARTS AND SCIENCES

TENURE AS PROFESSOR:

Kim Gans

Human Development & Family Studies

ATTACHMENT 4

University of Connecticut Office of the Provost
Sabbatical Leave Recommendations Requiring Board of Trustees Approval
March 26, 2014 Board of Trustees Meeting

CHANGES TO PREVIOUSLY APPROVED SABBATICAL LEAVES:

<u>NAME</u>	<u>TITLE</u>	<u>DEPARTMENT</u>	<u>SCHOOL/COLLEGE</u>	<u>PAY</u>	<u>PERIOD</u>
Fan, Tai-His	Associate Professor	Mechanical Engineering	School of Engineering	Half Full	2014-2015 AY Fall 2014
Maresh, Carl M.	Dist. Professor	Kinesiology	Neag School of Education	Full	2014-2015 AY Cancelled
Souder, David	Assistant Professor	Management	School of Business	Full	Fall 2014 TBD

SABBATICAL LEAVE REQUESTS:

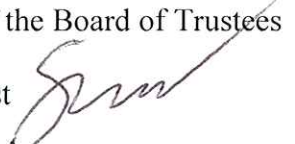
Semester at Full Pay

<u>NAME</u>	<u>TITLE</u>	<u>DEPARTMENT</u>	<u>SCHOOL/COLLEGE</u>	<u>PAY</u>	<u>PERIOD</u>
Comer, Edna	Associate Professor		School of Social Work	Full	
Davidson, Kay	Professor		School of Social Work	Full	Spring 2015
Plesko, George A.	Associate Professor	Accounting	School of Business	Full	Spring 2015

ATTACHMENT 5

March 26, 2014

TO: Members of the Board of Trustees

FROM: Susan Herbst 

RE: Establishment of the James Barnett Professorship in Humanistic Anthropology

RECOMMENDATION:

That the Institutional Advancement Committee approve the establishment of the James Barnett Professorship in Humanistic Anthropology in the College of Liberal Arts and Sciences.

BACKGROUND:

Proceeds from the estate of Dennison J. Nash totaling \$3,646,617 have been received by the UConn Foundation. In accordance with a Memorandum of Understanding the donor established with the University and the UConn Foundation in 2006, the gift is designated for support of University programs, including an endowed professorship within the Department of Anthropology in the University's College of Liberal Arts and Sciences along with undergraduate scholarships and graduate fellowships.

The individual appointed to the professorship will be a nationally recognized researcher, scholar and teacher, who has made significant contributions to the field of anthropology. In addition, the individual shall hold a joint appointment as a faculty member in both the College's Department of Anthropology and the Humanities Institute. The selection of the individual appointed to the professorship shall be made jointly by and between the Department and the Institute, subject to the approval of the dean of the College.

Dr. Nash joined UConn in 1957 in what was then the Department of Anthropology and Sociology, and retired in 1991 as a Professor Emeritus. It was Dr. Nash's wish that this professorship be named in honor of the late Dr. James Barnett, a faculty colleague at the University of Connecticut specializing in the history of social thought and the sociology of art and literature.

At its March 11, 2014 meeting, the Institutional Advancement Committee recommended approval to the Board of Trustees.

ATTACHMENT 6

March 26, 2014

TO: Members of the Board of Trustees

FROM: Susan Herbst 

RE: Establishment of the United Technologies Corporation Chair for Advanced Systems Engineering

RECOMMENDATION:

That the Institutional Advancement Committee approve the establishment of the United Technologies Corporation Chair for Advanced Systems Engineering in the School of Engineering.

BACKGROUND:

United Technologies Corporation (UTC) has pledged \$10 million — a gift of \$7.5 million and a sponsored research grant of \$2.5 million — to support extensive University programs related to advanced systems engineering.

Within its philanthropic support, UTC has provided an endowed gift of \$1.5 million for a chair for advanced system engineering, an endowed gift of \$750,000 for a professorship for advanced systems engineering, a non-endowed gift of \$1.75 million for operations of an Institute for Advanced Systems Engineering, and \$3.5 million for endowed and non-endowed fellowships in advanced systems engineering.

The individual appointed to the chair will be a nationally or internationally recognized researcher, scholar and teacher, who has made significant contributions to the field of advanced systems engineering. The chair will help oversee the Institute, which will be an education and research facility dedicated to the training of innovators and engineers in the field of advanced systems engineering.

UTC and UConn have enjoyed a highly successful 85-year history of collaboration that includes UTC's employment of approximately 5,000 UConn graduates, strong financial support for engineering programs at the University, and support for its Employee Scholar Program that allows employees to earn UConn degrees.

At its March 11, 2014 meeting, the Institutional Advancement Committee recommended approval to the Board of Trustees.

ATTACHMENT 7

March 26, 2014

TO: Members of the Board of Trustees

FROM: Susan Herbst 

RE: Establishment of the United Technologies Corporation Professorship for
Advanced Systems Engineering

RECOMMENDATION:

That the Institutional Advancement Committee approve the establishment of the United Technologies Corporation Professorship for Advanced Systems Engineering in the School of Engineering.

BACKGROUND:

United Technologies Corporation (UTC) has pledged \$10 million — a gift of \$7.5 million and a sponsored research grant of \$2.5 million — to support extensive University programs related to advanced systems engineering.

Within its philanthropic support, UTC has provided an endowed gift of \$750,000 for a professorship for advanced systems engineering, an endowed gift of \$1.5 million for a chair for advanced system engineering, a non-endowed gift of \$1.75 million for operations of an Institute for Advanced Systems Engineering, and \$3.5 million for endowed and non-endowed fellowships in advanced systems engineering.

The individual appointed to the professorship will be a nationally or internationally recognized researcher, scholar and teacher, who has made significant contributions to the field of advanced systems engineering. The professor will help oversee the Institute, which will be an education and research facility dedicated to the training of innovators and engineers in the field of advanced systems engineering.

UTC and UConn have enjoyed a highly successful 85-year history of collaboration that includes UTC's employment of approximately 5,000 UConn graduates, strong financial support for engineering programs at the University, and support for its Employee Scholar Program that allows employees to earn UConn degrees.

At its March 11, 2014 meeting, the Institutional Advancement Committee recommended approval to the Board of Trustees.

ATTACHMENT 8

March 26, 2014

TO: Members of the Board of Trustees

FROM: Susan Herbst 

RE: Establishment of the United Technologies Corporation Institute for Advanced Systems Engineering

RECOMMENDATION:

That the Institutional Advancement Committee approve the establishment of the United Technologies Corporation Institute for Advanced Systems Engineering.

BACKGROUND:

United Technologies Corporation (UTC) has pledged \$10 million — a gift of \$7.5 million and a sponsored research grant of \$2.5 million — to support extensive University programs related to advanced systems engineering.

Within its philanthropic support, UTC has provided for a non-endowed gift of \$1.75 million for operations of an Institute for Advanced Systems Engineering, an endowed pledge of \$1.5 million for a chair for advanced system engineering, an endowed pledge of \$750,000 for a professorship for advanced systems engineering, and \$3.5 million for endowed and non-endowed fellowships in advanced systems engineering.

The Institute will be an education and research facility dedicated to the training of innovators and engineers in the field of advanced systems engineering, which focuses on understanding and optimizing the interactions between intelligent components and sub-systems to make products more efficient and reliable, with greater capabilities, at a lower cost.

UTC and UConn have enjoyed a highly successful 85-year history of collaboration that includes UTC's employment of approximately 5,000 UConn graduates, strong financial support for engineering programs at the University, and support for its Employee Scholar Program that allows employees to earn UConn degrees.

At its March 11, 2014 meeting, the Institutional Advancement Committee recommended approval to the Board of Trustees.

ATTACHMENT 9

March 26, 2014

TO: Members of the Board of Trustees
FROM: Mun Y. Choi, Provost
RE: Professional Master's Degree in Biostatistics in the College of Liberal Arts and Sciences
RECOMMENDATION:

That the Board of Trustees approve the Professional Master's Degree in Biostatistics in the College of Liberal Arts and Sciences.

BACKGROUND:

There is a high demand in industry, government, and medical center settings for people trained at the master's level who can contribute to the statistical design and analysis of biomedical studies. To meet this demand, the Department of Statistics proposes a Professional Master's Degree Program in Biostatistics, emphasizing practical skills needed in the work force. Students completing this program will have statistical expertise in inference, linear regression, analysis of variance, design and analysis of clinical trials and epidemiological studies, programming in SAS and R, and consulting experience in working on the statistical aspects of biomedical problems. The program will require 31 credits and passing a written qualifying exam on both theoretical and applied aspects of biostatistics. The program will take three semesters to complete.

The objectives of the Program are to provide rigorous training in modern biostatistics knowledge and skills that are sought after in all health related fields, including genomics. While statistical science is the general study of the collection, organization, analysis, and interpretation of data, biostatistics specifically involves the theory and application of statistical science to solve problems in public health, health services, policy, and biomedical research.

The target clientele for the program are baccalaureate students with degrees in science, engineering, business or other related fields who wish to pursue careers in the health industry as biostatisticians. Such students may be recent graduates, or non-traditional students who wish to update training for new career opportunities or for advancements with current employers. It is expected that graduates will find employment in pharmaceutical companies, clinical research labs, biotechnology companies, hospitals, and healthcare services.

Item: **Professional Master's Degree Program in Biostatistics**

Background & Description

There is a high demand in industry, government, and medical center settings for people trained at the master's level who can contribute to the statistical design and analysis of biomedical studies. To meet this demand, we propose to start a Professional Master's Program (henceforth "the Program") in Biostatistics in Fall 2014, emphasizing practical skills needed in the work force. Students completing this program will have statistical expertise in inference, linear regression, analysis of variance, design and analysis of clinical trials and epidemiological studies, programming in SAS and R, and consulting experience in working on the statistical aspects of biomedical problems. The Program will require 31 credits and passing a written qualifying exam on both theoretical and applied aspects of biostatistics. The Program will take three semesters to complete.

The Program builds on the strengths of the Department of Statistics at the University. Founded in 1962, the Department of Statistics has awarded a total of 132 Ph.D. and 310 M.S. degrees, including 57 Ph.D. and 122 M.S. degrees awarded in the past ten years. A majority of the Ph.D. graduates are employed in biostatistics departments at research universities and pharmaceutical companies. Most of the M.S. graduates are employed as biostatisticians in the health industry. Ten years ago we initiated a Biostatistics concentration within the M.S. program in Statistics. At that time, we added three biostatistics courses (Introduction to Biostatistics, Clinical Trials, and Survival Analysis) as electives to our M.S. curriculum. Based on our success since then, we will build an innovative, comprehensive, and practical biostatistics program by adding more biostatistics courses and restructuring the requirements. We are in an especially advantageous position to do so given the close ties we have cultivated with UConn Health Center, the Jackson Laboratory for Genomic Medicine, the Connecticut Institute for Clinical and Translational Science (CICATS), Center for Health, Intervention, and Prevention (CHIP), Center for Public Health and Health Policy (CPHHP), Center for Environmental Sciences and Engineering (CESE), College of Agricultural and Natural Resources, the School of Engineering, the Neag School of Education, the School of Nursing, the School of Pharmacy, and many pharmaceutical companies such as Pfizer, Boehringer-Ingelheim, and Bristol Meyers Squibb. Consequently, we will be successful in offering modern courses and consulting services and providing valuable learning and internship opportunities to our students. In view of the heavy demand for workers with training in biostatistics from pharmaceutical companies, hospitals, and government, and the near future employment in the field of genomics, there is strong impetus for us to offer the proposed Professional Master's Program in Biostatistics.

Purpose and Objectives

The objectives of the Program are to provide rigorous training in modern biostatistics knowledge and skills that are sought after in all health related fields, including genomics. While statistical science is the general study of the collection, organization, analysis, and interpretation of data, biostatistics specifically involves the theory and application of statistical science to solve problems in public health, health services, policy, and biomedical research.

This is also directly related to our institution's strategic plan: through teaching and learning, to help our students grow intellectually and become contributing members of the state, national and world communities.

The target clientele for the Program are baccalaureate students with degrees in science, engineering, business or other related fields who wish to pursue careers in the health industry as biostatisticians. Such students may be recent graduates, or non-traditional students who wish to update training for new career opportunities or for advancements with current employers. We expect that our master's graduates will find employment in pharmaceutical companies, clinical research labs, biotechnology companies, hospitals, and healthcare services.

Curriculum & Program Outline

Degree Requirements: Minimum of 31 credits.

Content Key: PS (problem solving), CL (computer literacy), ELS (ethical, legal, social aspects)

Required Courses: (Each course has 3 credits except Stat. 5099 Student Seminar)

Stat. 5099. Investigation of Special Topics, Student Seminar (PS, ELS) (1 credit)

Stat. 5505. Applied Statistics I (PS, CL)

Stat. 5605. Applied Statistics II (PS, CL)

Stat. 5515. Design of Experiment (PS, CL)

Stat. 5585. Mathematical Statistics I (PS)

Stat. 5685. Mathematical Statistics II (PS)

Stat. 5625. Introduction to Biostatistics (PS, CL)

Stat. 6494. Data Management and Programming in SAS and R (CL, PS)

Stat. 6494. Statistical Consulting (PS, CL, ELS)

Elective I: one course from the following three courses:

Stat. 5635. Clinical Trial (PS, CL)

Stat. 5645. Survival Analysis (PS, CL)

Stat. 6494. Epidemiology (PS, CL)

Elective II: one course from the following list, except the course chosen in Elective I:

Stat. 5635. Clinical Trial (PS, CL)

Stat. 5645. Survival Analysis (PS, CL)

Stat. 6494. Epidemiology (PS, CL)

Stat. 3965. Elementary Stochastic Processes (PS)

Stat. 4875. Nonparametric Methods (PS, CL)

Stat. 5361. Statistical Computing (CL, PS)

Stat. 5525. Sampling Theory (PS, CL)

Stat. 5665. Applied Multivariate Analysis (PS, CL)

Stat. 5725. Linear Models I (PS)

Stat. 5825. Applied Time Series (PS, CL)

Stat. 6494. Applied Bayesian Data Analysis (PS, CL)

Stat. 6494. Bioinformatics I (PS, CL)

Stat. 6494. Bioinformatics II (PS, CL)

Stat. 6494. Categorical Data Analysis (PS, CL)

Stat. 6494. Longitudinal Data Analysis (PS, CL)

Stat. 6494. Environmental Statistics (PS, CL)

Stat. 5099. Independent Study (PS, CL, ELS)

Or one course involving the application of biostatistics offered by any other departments on campus approved by the student's advisory committee.

The recommended sequences of courses are:

First Semester:

Stat. 5505. Applied Statistics I

Stat. 5585. Mathematical Statistics I

Stat. 5625. Introduction to Biostatistics

Stat. 6494. Data Management and Statistical Programming in SAS and R

There are two recommended sequences for the second and third semesters. Each student needs to consult with his/her major advisor to choose the most suitable sequence:

Choice I

Second Semester:

Stat. 5605. Applied Statistics II

Stat. 5685. Mathematical Statistics II

Stat. 5515. Design of Experiments

Elective I (Elective II)

Third Semester:

Stat. 6494. Statistical Consulting

Elective II (Elective I)

Stat. 5099 (1 credit). Investigation of Special Topics, Student Seminar

Choice II

Second Semester:

Stat. 5605. Applied Statistics II

Stat. 5685. Mathematical Statistics II

Stat. 5515. Design of Experiments

Stat. 5099. (1 credit) Investigation of Special Topics, Student Seminar

Third Semester:

Stat. 6494. Statistical Consulting

Elective I

Elective II

Advisory Committee

Three members of the Graduate faculty in the Biostatistics Program Development Committee will be selected to serve as the advisory committee for each candidate for the Professional Master's of Science in Biostatistics. The Advisory Committee will assist students in the selection of courses best suited to meet her/his career aspirations.

Plan of Study

The student will prepare a Plan of Study containing the courses he or she takes to fulfill her/his MS degree requirements, before taking the Exit Exam. The Advisory Committee and the Executive Committee of the Graduate School has to approve it.

Exit Examination

The final requirement for the Professional Master Degree is a passing grade on a comprehensive written exam covering the basic material from six courses taken in the first year. The exam has two parts with theory and application tested separately. The theory exam is based on the courses: Mathematical Statistics I and II (Stat. 5585 and 5685). The applications exam is based on the following courses: Applied Statistics I and II (Stat. 5505 and 5605), Design of Experiment (Stat. 5515), and Introduction to Biostatistics (Stat. 5625).

Enrollment & Graduation Projections

The Graduate School's regulations and policies will govern admission to the program.

The projected demand for the proposed Professional Master's Program in Biostatistics is strongly supported by the continually increasing number of applicants for admissions to our graduate programs. The numbers were 167, 214, 196, 214, 318, 433, and 435 respectively for the years of 2007 to 2013. Majority of them have indicated their preference for biostatistics.

The first cohort of students will enroll in the program for fall 2014. The program takes 3 semesters (full time) for completion.

According to Occupational Outlook Handbook, 2010-11 ed. (<http://www.bls.gov/oco>), "employment of statisticians is projected to grow 13 percent from 2008 to 2018, about as fast as the average for all occupations. The use of statistics is widespread and growing. Statistical models aid in decision making in both private industry and government. There will always be a demand for the skills statisticians provide. Technological advances are expected to spur demand for statisticians. Ever-faster computer processing allows statisticians to analyze greater amounts of data much more quickly and to gather and sort through large amounts of data that would not have been analyzed in the past. As data processing continues to become more efficient and less expensive, an increasing number of employers will want to employ statisticians to take advantage of the new information available. Biostatisticians should experience employment growth, primarily because of the growing pharmaceuticals business. As pharmaceutical companies develop new treatments and medical technologies, biostatisticians will be needed to do research and clinical trials."

The most important change is that statisticians are now seen as integral members of the team from day one of any project, rather than as an afterthought as in the past. They now are at the table when new problems are discussed, whether it is in drug discovery, manufacturing, new medical treatments, or health policy.

The American Statistical Association has been actively advocating for statistical literacy, not only to increase the pipeline for future statisticians, but also to help develop critical

thinkers for the future. We agree on that communication, computational, quantitative and analytical/critical thinking skills are essential to be a good statistician. Future biostatisticians need to build their knowledge and skills in mathematics, computation, communication, and biological and health sciences. Our Program will provide rigorous yet practical training for these needs.

Financial Resources

The department has all the resources, including faculty members, established courses and facilities to start a Professional Master's Program in Biostatistics. No negative impact on other existing programs will occur. It is planned to admit in the first year 15 additional MS students to the new Professional Master's Program in Biostatistics.

Facilities/Equipment/Library/Special Resources

All required office space is currently available in individual faculty, departmental, or shared spaces. More office space is will be needed in the future for new faculty and Ph. D. graduate students that are appointed as Teaching Assistants or Graduate Assistants. The administration of the Professional Master's Program itself does not require additional space.

The University of Connecticut Libraries have the largest public research collection in the state. The collection includes books, print and electronic periodicals, microfilm, maps, sound and video recordings, musical scores, and an ever growing array of electronic resources, including e-books, streaming audio and video collections, as well as art and photographic image databases. The Babbidge Library also houses a Map and Geographic Information Center (MAGIC), which is the largest public map collection in New England and a nationally acclaimed resource for geospatial data. MAGIC, in collaboration with several other campus departments, operates the Connecticut State Data Center, the state's official liaison to the U.S. Census Bureau, which provides a single portal for all socioeconomic data for the state and its municipalities. Also included are an Art & Design Library and reading room; the Roper Center Public Opinion Archives; comprehensive collections of current and retrospective Federal and Connecticut documents; extensive video and audio collections; and two video theaters. Other libraries on the Storrs campus include the Pharmacy Library in the Pharmacy/Biology building, and the Archives & Special Collections at the Thomas J. Dodd Research Center.

Program Administration

For the initial period of establishment of the Biostatistics Professional Degree, the Director will be Professor Joseph Glaz, Head of the Department of Statistics. He has extensive experience in advising and mentoring graduate students. He is a member of the Connecticut Academy of Arts and Sciences, Fellow of the Institute of Mathematical Statistics, and Fellow of the American Statistical Association. He initiated the plan for a professional degree in Biostatistics and will be devoted to the development of the Program. He will be assisted by the Associate Head and Director of Graduate Programs, Professor Zhiyi Chi and the Director of Graduate Admissions, Professor Vladimir Pozdnyakov. He will be also assisted by all faculty members of the department of Statistics in teaching, and by the Professional Master's Program in Biostatistics

Development Committee (Lynn Kuo, chair, Ming-Hui Chen, Ofer Harel, Elizabeth Schifano, and Jun Yan) in curriculum planning and student advising.

We will need an additional administrative assistant for this program, and, when the Program matures, we anticipate the need for a full time Program Assistant, funded by the tuition generated from this new program.

Faculty

We will start with the current faculty in the Statistics Department. When the Program matures, we anticipate the need for two additional faculty members. This will be fully funded by the tuition generated by the Professional Master's Program in Biostatistics.

Similar Programs in Connecticut or Region

Harvard, Yale, Brown, and Boston University offer an MS degree in Biostatistics, and Yale also offers an MS degree in Epidemiology and Public Health with a concentration in Biostatistics. None of these is structured as a Professional Master's Program. As far as Professional Master's degrees in Statistics or Biostatistics are concerned, there are only a few including Applied Statistics awarded by Cornell University and Penn State University, and Master of Business and Science with Statistics & Biostatistics concentration offered by Rutgers University. We have also carefully studied the curricula of a dozen biostatistics MS programs to develop our curriculum. Although there are overlaps between the above mentioned programs and ours, there are no programs like ours. The unique features include: (1) most of our courses contain a major computing component that is integrated seamlessly to the problem solving part of the course, so active and hands-on learning is emphasized; (2) in the required 11 courses, one of them can be selected from three courses: clinical trials, epidemiology, and survival analysis, and another one can be selected from a list of 16 modern biostat/stat courses, and so students have the freedom to develop individual interests and follow career aspirations; and (3) the required student seminar course (STAT5099) is primarily for students to report and share their internship experiences. Moreover, it includes lessons on research ethics, management, and research paper discussions.

In Connecticut, we will be the only program that offers a Professional Master's degree in Biostatistics. Although Yale offers a regular MS degree in Biostatistics and an MPH degree with Biostatistics concentration, our excellent curriculum, central geographical location, and more affordable tuition will make our program more attractive than that of Yale for a majority of students.

List of Faculty

The following faculty members will be teaching in the proposed program (attach additional pages if needed)

Haim Y. Bar Assistant Professor	Ph.D. Statistics Cornell University	High-Throughput Analysis in Biostatistics Variable and Model Selection Machine Learning High-Dimensional/Correlated Data Analysis
Joseph Cappelleri Adjunct Professor Senior Director	Ph.D. Psychometrics Cornell University Pfizer Inc.	Patient-Reported Outcomes Meta-Analysis Clinical Trials Epidemiologic Studies
Kun Chen Assistant Professor	Ph.D. Statistics University of Iowa	Dimension Reduction & Variable Selection Multivariate Analysis High-Dimensional Statistics Statistical Computing
Ming-Hui Chen Professor	Ph.D. Statistics Purdue University	Bayesian Data Analysis Design of Clinical Trials Meta-Analysis Missing Data Analysis Prostate Cancer Research Repeated Measures & Longitudinal Analysis Survival Analysis
Zhiyi Chi Professor	Ph.D. Applied Math Brown University	Applied Probability Stochastic Processes Multiple Hypothesis Testing Large Deviations Statistical Analysis of Neural Data

STAFF REPORT**NEW PROGRAM PROPOSAL**

Dipak Dey Professor	Ph.D. Statistics Purdue University	Bayesian Modeling Multivariate Analysis Reliability and Survival Analysis Statistical Genetics
Joseph Glaz Professor	Ph.D. Statistics Rutgers University	Applied Probability Parametric Bootstrap Scan Statistics Simultaneous Inference
Ofer Harel Associate Professor	Ph.D. Statistics The Pennsylvania State University	Methods for Incomplete Data Causal Inference Verification Bias Statistical Consulting
Sangwook Kang Assistant Professor	Ph.D. Biostatistics Univ. of North Carolina at Chapel Hill	Survival Analysis Design of Epidemiological Studies Analysis of Epidemiological Studies Statistics in Sports
Lynn Kuo Professor	Ph.D. Math (Statistics) University of California at Los Angeles	Bioinformatics Biostatistics Survey Sampling Survival Analysis
Nitis Mukhopadhyay Professor	Ph.D. Statistics Indian Statistical Institute	Survey Sampling Environmental Sampling Clinical Trials Multivariate Data Analysis
Nalini Ravishanker Professor	Ph.D. Statistics New York University	Time Series Modeling Time-To-Events Analysis Marketing Environmental and Transportation Eng.

STAFF REPORT**NEW PROGRAM PROPOSAL**

Naitee Ting Adjunct Professor Sr. Principal Biostatistician Boehringer-Ingelheim Pharmaceuticals	Ph.D. Statistics Colorado State University	Clinical Trial Dose Finding in Drug Development Therapeutic Equivalencies Variance Component Models
Elizabeth D. Schifano Assistant Professor	Ph.D. Statistics Cornell University	Biostatistics Variable and Model Selection Statistical Genomics High-Dimensional/Correlated Data Analysis
Alexander Tartakovsky Professor	Ph.D. Statistics/Information Statistics Moscow Institute of Physics and Technology	Decision Theory Sequential Analysis
Richard Vitale Professor	Ph.D. Applied Mathematics Brown University	Convex-Geometric Methods Stochastic Geometry Inequalities
Xiaoqing Wang Assistant Professor	Ph.D. Statistics Duke University	Bayesian Modeling Time Series Data Analysis Gaussian Processes and Spatial Statistics Subgroup Analysis and Multiplicity
Jun Yan Associate Professor	Ph.D. Statistics University of Wisconsin	Dynamic Survival Models Longitudinal Data Analysis Spatial Statistics Statistical Computing

ATTACHMENT 10

March 26, 2014

TO: Members of the Board of Trustees
FROM: Mun Y. Choi, Provost
RE: Graduate Certificate in Leadership and Diversity Management in Sport

RECOMMENDATION:

That the Board of Trustees approve the Graduate Certificate in Leadership and Diversity Management in Sport.

BACKGROUND:

Graduates of the Leadership & Diversity Management in Sport program will be immersed in the process of leadership (including theory and practice), engage in the development of diversity management practices, and will have the opportunity to work with UConn faculty who have leadership and diversity management expertise. In addition, this program will link students with recognized leaders in the field and will provide students opportunities to engage in hands-on projects that will help them to enhance their leadership skills, with a focus on leading a diverse workforce.

This online certificate program will provide students with an in-depth understanding of the process of leadership within a sport context and to develop the skills to utilize leadership in managing a diverse workforce in sport organizations. The primary audience is post-graduate students with employment experience in sport organizations.

The graduate certificate program in Leadership & Diversity Management in Sport is comprised of four required courses (12 credits). It is anticipated that the program will have approximately 40 enrollments per year. It is expected that the revenue generated by program enrollments will cover expenses incurred. The typical student will be able to complete all requirements for the certificate in one year.

The \$750/credit hour comprehensive fee applies to enrollments in this certificate program.

Item: **Graduate Certificate in Leadership & Diversity Management in Sport**

Background & Description

Graduates of the Leadership & Diversity Management in Sport program will be immersed in the process of leadership (including theory and practice), engage in the development of diversity management practices, and will have the opportunity to work with UConn faculty who have leadership and diversity management expertise. In addition, this program will link students with recognized leaders in the field and will provide students opportunities to engage in hands-on projects that will help them to enhance their leadership skills, with a focus on leading a diverse workforce.

Reasons for the Proposed Program/Modification/Discontinuation

The mission of this certificate program is to provide students with an in-depth understanding of the process of leadership within a sport context and to develop the skills to utilize leadership in managing a diverse workforce in sport organizations. The primary audience is post-graduate students with employment experience in sport organizations.

Curriculum & Program Outline

The graduate certificate program in Leadership & Diversity Management in Sport is comprised of four required courses (12 credits):

EDLR 5360: Leadership in Sport Organizations

This course examines multiple perspectives of leadership, from original theories of leadership through to the most contemporary forms of collaborative and team-based leadership. This course will examine the personal, interpersonal, and team-based skills that are required in leadership roles. In addition, course content will include exploring the practice of effective leadership, understanding how leadership roles require different skills during times of change or crisis, and in different contexts (intercollegiate, professional, sport for development, etc.).

Instructor: L. Burton

First online offering of course: Summer 2014

EDLR 5365: Managing Diversity in Sport Organizations

Managing Diversity in Sport Organizations focuses on managing sport as a social and cultural phenomenon. It uses management theories, sociological concepts and critical thinking to investigate the following: how sport impacts our ideas about masculinity, femininity, sexual orientation, class inequality, race and ethnicity, dis/ability, achievement, competition, and individualism; how the organization and management of sport is connected with social relations in groups and societies; and how sport extends beyond competitive outcomes and deals with issues of power and power relations in society.

Instructor: J. Bruening

First online offering of course: Summer 2014

EDLR 5370: Ethical Leadership in Sport Organizations

This course will provide a broad understanding of ethics, ethical theory development and the importance and relevance of ethics to the sport management profession. Students will examine ethical frameworks as they relate to the sport industry, the environment, and the individual within a sport organization. Students will work to develop personal and professional codes of ethics and ethical leadership based on sound ethical theory, and apply critical thinking and ethical decision making skills to contemporary ethical and legal issues within the sport context.

Instructor: J. Cooper

First online offering of course: Summer 2015

EDLR 5375: Strategic Human Resource Management in Sport Organizations

Sport management is the coordination of several factors and the most significant of those factors is human resources. Through this course, students will understand that managing human resources requires more knowledge, time, and patience than managing any other resource. The course will examine both the technical and the legal aspects of human resource management from a strategic sport business perspective. They will learn from faculty and industry professionals how to direct a sport organization through decisions on staffing patterns and responsibilities, evaluation of and rewards for performance, and establishing a fair and just work environment. Emphasis in this course will be how to integrate human resource management into overall strategy in a sport organization.

Instructors: L. Burton, J. Bruening, J. Cooper

First online offering of course: Summer 2015

Learning Outcomes

At the completion of the program, students will be able to:

- Become successful leaders in sport organizations.
- Develop the skills to establish a successful network of leaders in the field of sport.
- Embrace working and leading diverse organizations in the field of sport.
- Successfully lead a diverse workforce.
- Recognize ethical issues and make ethical decisions as a leader in sport organizations.

Enrollment & Graduation Projections

The \$750/credit hour comprehensive fee applies to enrollments in this certificate program.

It is anticipated that the program will have approximately 40 enrollments per year. This figure is based on approximately 10 students in each of the four courses offered per year. It is expected that the revenue generated by program enrollments will cover expenses incurred. Enrollment will be reserved for students matriculated in the graduate certificate program with seats made available to other matriculated graduate students on the basis of availability.

The typical student will be able to complete all requirements for the certificate in one year.

Financial Resources

The Graduate Certificate in Leadership & Diversity Management in Sport will be coordinated by the Educational Leadership Department within the Neag School of Education.

eCampus will provide instructional design support and stipends to course developers. A portion of the revenue generated by student fees will be returned to the School, allowing the program to be self-sustaining.

Facilities//Equipment/Library/Special Resources

Students in the program will have access to UConn library resources and other resources available to all other matriculated students (HuskyCT, technical support, etc.). As an online certificate program, no other facilities or resources are required other than those provided by UConn eCampus.

Program Administration

Laura Burton, Associate Professor in the Department of Educational Leadership, will provide lead program administration.

Faculty

The course instructors are:

- Jennifer Bruening, Associate Professor of Sport Management, in Educational Leadership
- Laura Burton, Associate Professor of Sport Management, in Educational Leadership
- Joseph Cooper, Assistant Professor of Sport Management, in Educational Leadership

Similar Programs in Connecticut or Region

Other universities offer graduate certificate programs in the area of Sport Management. However, the proposed UConn certificate program is unique in that it focuses on leadership/diversity while the majority of other programs emphasize marketing, facilities, and event planning, in addition to leadership/diversity. Of these, there are only three in the region:

- Southern New Hampshire University
- West Chester University of Pennsylvania
- Duquesne University (PA)

Other similar graduate certificate programs in the U.S. include:

- Winona State University
- American Public University System

- Missouri State University
- Marquette University
- University of North Florida
- University of Wisconsin – Parkside
- Jacksonville State University
- East Carolina University
- University of Tennessee – Knoxville

ATTACHMENT 11

March 26, 2014

TO: Members of the Board of Trustees
FROM: Mun Y. Choi, Provost
RE: Revisions to the Policy on Scholarly Integrity in Graduate Education and Research

RECOMMENDATION:

That the Board of Trustees approve revisions to the Policy on Scholarly Integrity in Graduate Education and Research.

BACKGROUND:

On November 10, 1998, the Board of Trustees adopted an existing policy on Academic Integrity in Graduate Education. On April 24, 2013, the Board of Trustees adopted a substantially updated and revised Policy on Scholarly Integrity in Graduate Education and Research to replace the earlier policy. Since adoption of the policy, The Graduate School has identified several ways in which the policy can be further enhanced and clarified.

- The policy now states clearly that it applies to both graduate students and post-doctoral scholars.
- The policy now requires that both the affected student and The Graduate School be notified when an instructor intends to apply sanctions in response to a case of alleged scholarly misconduct within an academic course.
- In the event of a hearing, the procedures to be followed are described in the Graduate Hearing Procedures. These procedures are used for all hearings at The Graduate School. By consolidating them in one place, the possibility of confusion is minimized.

No new funding is required to implement this policy. If adopted, it will replace the existing policy on Academic Integrity in Graduate Education.

The Graduate Faculty Council voted to recommend that the Board replace the existing policy with the policy on Scholarly Integrity in Graduate Education on January 24, 2013.

Scholarly Integrity in Graduate and Post-Doctoral Education and Research

Scholarly activity at the graduate and postdoctoral level takes many forms, including, but not limited to, classroom activity, -laboratory- or field experience, writing for publication, presentation, and forms of artistic expression. Integrity in all of these activities is of paramount importance, and The Graduate School of the University of Connecticut requires that the highest ethical standards in teaching, learning, research, and service be maintained.

Scholarly integrity encompasses “both research integrity and the ethical understanding and skill required of researchers/scholars in domestic, international, and multicultural contexts.” It also addresses “ethical aspects of scholarship that influence the next generation of researchers as teachers, mentors, supervisors, and successful stewards of grant funds” (Council of Graduate Schools, *Research and Scholarly Integrity in Graduate Education: A Comprehensive Approach*, 2012).

The Graduate Faculty Council, in accordance with the provisions of its By-Laws, has adopted this policy concerning scholarly integrity in graduate education and research and has approved the procedures set forth herein for addressing alleged violations. The Dean of The Graduate School shall coordinate the reporting, investigation, and determination of alleged breaches of scholarly integrity by graduate students and postdoctoral scholars in accordance with this policy. ~~(A graduate student is defined as any individual who holds admission to the Graduate School to pursue either a graduate certificate or graduate degree, as well as any other individual enrolled in a graduate-level course who is not strictly enrolled in an undergraduate degree or an undergraduate certificate program.)~~

Members of the Graduate Faculty have primary responsibility to foster an environment in which the highest ethical standards prevail. All members of the University community have a responsibility to uphold the highest standards of scholarship, which encompasses activities of teaching, research, and service, and to report any violation of academic-scholarly integrity of which they have knowledge. Instructors have a responsibility to take reasonable steps to prevent scholarly misconduct in their courses and to inform students of course-specific requirements.

Note: Student misconduct other than scholarly misconduct, as defined herein, is governed by the University’s Student Code, which is administered under the direction of the Office of the Provost. Enforcement of its provisions is the responsibility of the Director of Community Standards. At the Health Center, student misconduct other than scholarly misconduct is governed by the Health Center Rules of Conduct.

A. Definitions of scholarly misconduct

Scholarly misconduct is broadly defined as a failure to uphold standards of scholarly integrity in teaching, learning, research, or service.

For the purpose of this Policy, scholarly misconduct shall be deemed to include, but not be limited to, the following types of misconduct. The list is not intended to be exhaustive, but does identify major categories of scholarly misconduct, and provides illustrations where appropriate.

- *Cheating* involves dishonesty during a course, on an examination required for a particular degree, or at other times during graduate study, e.g., copying the work of another student.
- *Plagiarism* involves using another person's language, thoughts, data, ideas, expressions, or other original material without acknowledging the source. (adapted from Council of Writing Program Administrators, *Defining and Avoiding Plagiarism: The WPA Statement on Best Practices*, 2003).
- *Distorted reporting* involves "any omission or misrepresentation of the information necessary and sufficient to evaluate the validity and significance of research, at the level appropriate to the context in which the research is communicated" (D. Fanelli, *Nature* 494:149; 2013).
- *Fabrication or Falsification of Grades* involves any form of falsification of coursework or tampering with grades, e.g., a student making unauthorized changes to her/his own grades or an instructor consciously misreporting grades of students.
- *Misrepresentation* involves taking an examination for another student, submitting work done by another individual as one's own, submitting the same work for evaluation in two or more courses without prior approval, unauthorized use of previously completed work or research for a thesis, dissertation, or publication, or making false, inaccurate, or misleading claims or statements when applying for admission to the Graduate School or in any scholarly or research activity, including publication.
- *Academic or Research Disruption* involves unauthorized possession, use, or destruction of examinations, library materials, laboratory or research supplies or equipment, research data, notebooks, or computer files, or it might involve tampering with, sabotage of, or piracy of computer hardware, computer software, or network components.
- *Fabrication or Falsification in Research* involves falsification of, tampering with, or fabricating results or data.
- *Research Violations* include violation of protocols governing the use of human or animal subjects, breaches of confidentiality, obstruction of the research progress of another individual, or disregard for applicable University, local, State, or federal regulations.
- *Professional Misconduct* involves violation of standards governing the professional conduct of students in particular fields (e.g., pharmacy, nursing, education, counseling, therapy).
- *Deliberate Obstruction* involves hindering investigation of any alleged act of scholarly misconduct.
- *Aiding or Abetting* involves actions that assist or encourage another individual to plan or commit any act of scholarly misconduct.
-

A version of this policy was first approved and adopted by the Board of Trustees on November 10, 1998. It was amended on April 24, 2013. This version was approved and adopted by the Board of Trustees on XXXX.

~~B. Addressing Allegations of Scholarly Misconduct~~

~~Allegations of scholarly misconduct will be addressed in accordance with the procedures set forth below. If a graduate student accused of scholarly misconduct is part of a combined degree program, the appropriate Associate Dean of the Graduate School (whether for Storrs and the regional campuses or the Health Center) and the academic leader of the other degree program will determine whether the complaint will be addressed in accordance with these procedures or in accordance with those of the other degree program, using the procedures of the program to which the alleged misconduct is more germane.~~

~~1. Misconduct Allegedly Committed Within an Academic Course~~

~~When an instructor or relevant dean or department head believes that an act of scholarly misconduct within an academic course has occurred, the procedures set forth below shall be followed:~~

- ~~a. The instructor, dean or department head who believes that scholarly misconduct has occurred within an academic course (the "Complainant") shall retain all evidence of the alleged misconduct in its original form. Original papers or other materials need not be returned to the accused student. Copies of the accused student's work will be provided to him or her upon request. All instructors within the course shall be notified of the allegation and the proposed academic consequences before the student is notified of the alleged misconduct.~~
- ~~b. Within thirty (30) business days of becoming aware of alleged misconduct the Complainant shall notify the accused student in writing of the allegation of misconduct and the sanctions to be imposed. The notice shall be sent by the Complainant to the accused student by e-mail, to the student's official University e-mail address, and by first class mail, postage prepaid, to the mailing address on file with the University. The notification shall advise the student that s/he has ten (10) business days from the date the notice is sent via e-mail to contact the Complainant to address the alleged misconduct and/or request a hearing and that if s/he fails to do so, the sanctions described in the notice shall be imposed. The Complainant shall maintain a copy of the written notification sent to the accused student.~~
- ~~c. The accused student may request a hearing by filing a written request with the appropriate Associate Dean of the Graduate School (whether for Storrs and the regional campuses or the Health Center). The Associate Dean shall notify the Complainant of the receipt of accused student's request for a hearing within five (5) business days. The Complainant shall within five (5) business days forward to the Associate Dean copies of the written notification sent to the student, copies of the student's work, and information about other evidence supporting the allegation. The Associate Dean will arrange for a hearing to be conducted in accordance with Section C hereof.~~

- d. ~~A student who has been notified that s/he has been accused of scholarly misconduct may not withdraw from the course in which the alleged misconduct has occurred without the approval of the Dean of the Graduate School. If a semester concludes before a scholarly misconduct matter is resolved, the student shall receive a temporary "I" (Incomplete) grade in the course until the instructor submits the appropriate grade.~~

~~2. Misconduct Allegedly Committed Outside of an Academic Course~~

~~A substantial portion of a graduate student's course of study takes place in contexts where they are not students within a course (e.g., serving as a teaching or research assistant, working as an intern, conducting thesis or dissertation research, taking a written or oral general exam). Allegations of scholarly misconduct committed outside of an academic course will be addressed according to the procedures described in this section with the following exceptions:~~

- ~~• Cases involving allegations of research misconduct by students enrolled at Storrs or regional campuses will be referred to the Vice President for Research for review under the Policy on Alleged Misconduct in Research. Cases involving allegations of research misconduct by students enrolled at the Health Center will be referred to the Research Integrity Officer for action under the Policy on Review of Alleged Misconduct of Research. In either case, if the allegation is found to have merit, the case will be referred to a Hearing Committee for additional action (section C).~~
- ~~•~~
- ~~• Cases involving alleged violation of standards governing the professional conduct of students in particular fields (e.g., pharmacy, nursing, education, counseling, therapy) may be subject to additional review by other entities inside or outside the University (e.g., professional organizations, Institutional Animal Care and Use Committee, Human Subjects Institutional Review Board).~~

~~When any person (the "Complainant") believes that an act of scholarly misconduct outside of an academic course has occurred, the procedures set forth below shall be followed:~~

- ~~1. The Complainant shall retain the evidence of the alleged misconduct in its original form. provide writing for~~
- ~~2. Within thirty (30) business days of becoming aware of the alleged violation, the Complainant shall notify the appropriate Associate Dean of the Graduate School (whether for Storrs and the regional campuses or the Health Center) of the alleged misconduct, in writing. The notification shall fully describe the nature of the alleged misconduct and the circumstances involved and shall be accompanied by evidence supporting the allegation. The notification shall bear the signature of the Complainant, and shall be dated. The Complainant shall maintain a copy of the notification sent to the Associate Dean.~~
- ~~3. The Associate Dean shall notify the accused student in writing of the allegation of misconduct within five (5) business days. The notice shall be sent by the Associate Dean to the student by e-mail, to the student's official University e-mail address, and by first class mail, postage prepaid, to the mailing address on file with the University. The notification sent by the Associate Dean shall advise the student that s/he has ten (10) business days from the date the~~

~~notice is sent via e-mail to contact the Associate Dean to address the alleged misconduct. The Associate Dean shall maintain a copy of the notification sent to the accused student.~~

~~4. The Associate Dean will review the evidence submitted with the notification and consider any additional information provided by the accused student. Within twenty (20) business days of receiving the notification from the Complainant, the Associate Dean will determine whether the case should be referred to a Hearing Committee (section C) or to a different office within the university.~~

~~C. Hearing on allegation of Scholarly Misconduct~~

~~Accusations of scholarly misconduct to be subjected to a hearing will be heard by a Hearing Committee. The hearing will be scheduled no longer than thirty (30) business days after the accused student is initially notified of advised of accusation of misconduct.~~

~~For good cause shown, the Associate Dean may reschedule the hearing at the request of either the Complainant or the accused student. If the accused student fails to appear at the hearing, the Hearing Committee will hear evidence from the Complainant and render its finding. If the Complainant fails to appear at the hearing, the complaint will be dismissed. A finding of responsibility for scholarly misconduct or a dismissal of the complaint that arises from a party's failure to appear at the hearing may be appealed in writing to the Dean of the Graduate School, but only on the grounds that extreme circumstances prevented the party's attendance at the hearing. Should the appeal be accepted, the Dean will determine a new period within which a hearing must be held, and no further extensions will be granted.~~

~~The Hearing Committee shall be composed of three (3) voting members (two members of the graduate faculty and one graduate student). The appropriate Associate Dean of the Graduate School (whether for Storrs and the regional campuses or the Health Center) shall select members of the Hearing Committee with advice from the Executive Committee of the Graduate Faculty Council. The Associate Dean shall conduct the hearing as a non-voting member. No member of the Hearing Committee may be a member of the program/department of either party to the hearing. Nor may any member of the Hearing Committee have personal/professional associations with the parties. The accused student and the complainant(s) will be notified in writing of the composition of the Hearing Committee, and may object to the appointment of any committee member on the grounds that the member's participation would jeopardize his or her right to a fair hearing. The Associate Dean conducting the hearing will determine whether any objections have merit and will decide whether a panel member will be seated.~~

~~The hearing, although formal, is not a court proceeding. As such, the Hearing Committee will not be bound by the procedures and rules of evidence of a court of law. The Hearing Committee will determine whether the student is responsible for scholarly misconduct as identified within notification of alleged misconduct sent to the accused student and will determine the appropriate sanction(s) if the student is found responsible. The Hearing Committee's decision shall be made by majority vote. A finding of scholarly misconduct shall be based on clear and convincing evidence submitted at the hearing.~~

~~The hearing will occur in private, and it will be recorded. The Graduate School will maintain a copy of the recording. The student may invite one person to attend the hearing as a support person. The student may consult with the support person throughout the hearing, but the support person shall not be permitted to participate in the hearing.~~

~~Both the student and the Complainant may submit documentary evidence and invite witnesses to provide testimony. The student and the Complainant shall submit the names of witnesses whose testimony they intend to offer to the Associate Dean conducting the hearing at least five (5) business days in advance of the hearing. The Associate Dean shall provide a list of the witnesses to the Hearing Committee, the student, the Complainant, and all witnesses at least two (2) business days before the scheduled hearing date.~~

~~The Associate Dean will conduct the hearing in accordance with the following procedure:~~

- ~~• The Associate Dean will identify the accused student, the Complainant, the witnesses, the support person accompanying the student, if any, and the members of the Hearing Committee;~~
- ~~• The Associate Dean will state the allegations of misconduct, as set forth in the notification sent to the accused student;~~
- ~~• The Complainant and the accused student will be offered the opportunity to make opening statements;~~
- ~~• The Complainant may present evidence of the alleged misconduct, which may include written statements;~~
- ~~• personal testimony, oral testimony of witnesses, and physical exhibits;~~
- ~~• The accused student may present evidence to support his/her position, which may include written statements, personal testimony of the accused student, oral testimony of witnesses, and physical exhibits;~~
- ~~• The Committee will be offered the opportunity to question the accused student, the accused student's witnesses, the Complainant, and/or the Complainant's witnesses.~~
- ~~• The Complainant will be offered the opportunity to present a summation; and~~
- ~~• The accused student will be offered the opportunity to present a summation.~~

~~During the hearing the accused student:~~

- ~~• May decline to make statements. The accused student's refusal to answer questions shall not be interpreted as evidence of guilt; and~~
- ~~• May decline to appear at the hearing. The refusal of the accused student to appear at the hearing shall not be interpreted as evidence of guilt. The hearing panel will consider the evidence presented in the absence of the accused student.~~

~~At the conclusion of the hearing, the Hearing Committee shall deliberate and render a decision. The decision will be sent to the accused student, the Complainant, the Dean of the school or college in which the alleged misconduct occurred, and to the Dean of the Graduate School within ten (10) business days from the date of the hearing. The notice shall be sent to the student by e-mail, to the student's official University e-mail address, and by first class mail,~~

~~postage prepaid, to the mailing address on file with the University.~~

~~-~~

~~The Hearing Committee's decision will specify clearly:~~

- ~~• Whether the student has been found responsible for scholarly misconduct; and~~
- ~~• If so, the sanctions to be imposed. The Hearing Committee will consider mitigating circumstances in determining the severity of the sanctions to be imposed.~~

~~If the Hearing Committee finds that the student is responsible for scholarly misconduct, the finding will stand and the recommended sanctions will be imposed unless the student files a written appeal with the Dean of the Graduate School within ten (10) business days of his or her receipt of the Hearing Committee's decision. An appeal is not a new hearing. It is a review of the record of the original hearing. In order to prepare an appeal, the accused student and his or her support person (with the written consent of the accused student), shall have the right to review the records of the hearing, including the audio recording.~~

~~An appeal may be sought on the following three grounds:~~

- ~~1. On a claim of error in the hearing procedure;~~
- ~~2. On a claim of new evidence or information material to the case that was not available at the time of the hearing.~~
- ~~3. On a claim of substantive error arising from misinterpretation of evidence presented at the hearing.~~

~~The Dean of the Graduate School shall have the authority to dismiss an appeal not sought on one or more of these three grounds.~~

~~If an appeal is upheld, the Dean of the Graduate School shall refer the matter back to the Hearing Committee with appropriate instructions.~~

~~The decision of the Dean of the Graduate School concerning an appeal shall be final.~~

~~A version of this policy was first approved and adopted by the Board of Trustees on November 10, 1998. This version was approved and adopted by the Board of Trustees on April 24, 2013.~~

Procedures for Addressing Allegations of Scholarly Misconduct

Allegations of scholarly misconduct will be addressed in accordance with the procedures set forth below. If a graduate student accused of scholarly misconduct is part of a combined degree program, the appropriate Associate Dean of The Graduate School (whether for Storrs and the regional campuses or the Health Center) and the academic leader of the other degree program will determine whether the complaint will be addressed in accordance with these procedures or in accordance with those of the other degree program, using the procedures of the program to which the alleged misconduct is more germane.

1. Misconduct Allegedly Committed Within an Academic Course

When an instructor or relevant dean or department head believes that an act of scholarly misconduct within an academic course has occurred, the procedures set forth below shall be followed:

- a. The instructor, dean or department head who believes that scholarly misconduct has occurred within an academic course (the “Complainant”) shall retain all evidence of the alleged misconduct in its original form. Original papers or other materials need not be returned to the accused student. Copies of the accused student's work will be provided to him or her upon request. All instructors within the course shall be notified of the allegation and the proposed academic consequences before the student is notified of the alleged misconduct.
- b. Within thirty (30) business days of becoming aware of alleged misconduct, the Complainant shall notify the accused student in writing of the allegation of misconduct and the sanctions to be imposed. The notice shall be sent by the Complainant to the accused student by e-mail, to the student’s official University e-mail address, and by first class mail, postage prepaid, to the mailing address on file with the University. The notification shall advise the student that s/he has ten (10) business days from the date the notice is sent via e-mail to contact the Complainant to address the alleged misconduct and/or request a hearing and that if s/he fails to do so, the sanctions described in the notice shall be imposed. The Complainant will provide a copy of the written notification sent to the accused student to The Graduate School, and also will maintain a copy.
- c. The accused student may request a hearing by filing a written request with the appropriate Associate Dean of The Graduate School (whether for Storrs and the regional campuses or the Health Center). The written request will be structured using the *Graduate Hearing Request Form* available on The Graduate School website. The Associate Dean shall notify the Complainant of the receipt of accused student’s request for a hearing within five (5) business days. The Complainant shall within five (5) business days forward to the Associate Dean information supporting the allegation.
- d. The Graduate Hearing Procedures will be used to evaluate the request for a hearing and to determine whether a hearing will be held.
- e. A student who has been notified that s/he has been accused of scholarly misconduct may not withdraw from the course in which the alleged misconduct has occurred without the approval of the Dean of The Graduate School. If a semester concludes before a scholarly

misconduct matter is resolved, the student shall receive a temporary "I" (Incomplete) grade in the course until the instructor submits the appropriate grade.

2. Misconduct Allegedly Committed Outside of an Academic Course

A substantial portion of graduate and postdoctoral study takes place in contexts where they are not students within a course (e.g., serving as a teaching or research assistant, working as an intern, conducting research and disseminating findings, taking a written or oral general exam). Allegations of scholarly misconduct committed outside of an academic course will be addressed according to the procedures described in this section. When any person (the “Complainant”) believes that an act of scholarly misconduct outside of an academic course has occurred, the procedures set forth below shall be followed:

- a) The Complainant shall retain the evidence of the alleged misconduct in its original form.
- b) Within thirty (30) business days of becoming aware of alleged misconduct, the Complainant shall notify the accused person in writing of the allegation of misconduct and the course of action to be taken. The course of action will include sanctions and if appropriate, referral to entities inside or outside the university for further investigation.¹ The notice shall be sent by the Complainant to the accused person by e-mail, to the student’s official University e-mail address, and by first class mail, postage prepaid, to the mailing address on file with the University. The notification shall advise the person that s/he has ten (10) business days from the date the notice is sent via e-mail to provide writing request for a hearing through The Graduate School and that if s/he fails to do so, the sanctions described in the notice will be imposed. The Complainant will provide a copy of the written notification sent to the accused student to The Graduate School, and also will maintain a copy.
- c) If the person decides to request a hearing through The Graduate School, the *Graduate Hearing Request Form* must be submitted to the Associate Dean within ten (10) business day from the date of the e-mail notification. The Associate Dean shall notify the Complainant of the receipt of accused student’s request for a hearing within five (5) business days. The Complainant shall within five (5) business days after notice of the request for hearing is sent forward to the Associate Dean copies of information supporting the allegation.
- d) The procedures for evaluating the request for and determining referral for a hearing will follow the guidelines set forth in the Graduate Hearing Procedures.

¹Examples of referrals to inside or outside the university include:

- Cases involving allegations of research misconduct on a sponsored project by graduate students or postdoctoral scholars enrolled at Storrs or regional campuses will be referred to the Vice President for Research for review under the Policy on Alleged Misconduct in Research. Cases involving allegations of research misconduct by students enrolled at the Health Center will be referred to the Research Integrity Officer for action under the Policy on Review of Alleged Misconduct of Research.

- Cases involving alleged violation of standards governing the codes of conduct for students in professional fields (e.g., pharmacy, nursing, education, counseling, therapy) may be subject to additional review by other entities inside or outside the University (e.g., professional organizations, credentialing boards).

Hearing Procedures of The Graduate School

Attempts by all parties to resolve disputes are strongly encouraged before pursuing a request for a hearing through The Graduate School. Assistance with problem-solving and mediation toward resolution should be pursued at local levels (e.g. program, department, school) and also is available through the university ombudsperson (ombuds.uconn.edu).

In the event that a resolution is not reached following such efforts, either party may submit a written request to pursue a hearing through The Graduate School using the *Graduate Hearing Request Form* available on The Graduate School website. Information on the form must provide sufficient detail to allow the Associate Dean to determine whether a hearing is warranted.

The Associate Dean (whether for Storrs and the regional campuses or the Health Center) will review the information submitted, may request additional clarification, and will consider all relevant information in determining whether the case should be referred to a Hearing Committee. In particular, evidence of “good faith effort” at mediation will be considered. Within twenty (20) business days of receiving the written request, the Associate Dean will determine whether the case should be referred to a Hearing Committee.

If the case is forwarded for hearing, both parties will be notified of the reason for the hearing and scheduled hearing date. The hearing will be scheduled by the Associate Dean no later than thirty (30) business days after notification to proceed with the hearing request.

For good cause shown, the Associate Dean may reschedule the hearing at the request of either the person(s) filing the hearing request or the person(s) against whom the hearing is requested. If the person(s) filing the hearing request fails to appear at the hearing, the issue will be dismissed. If the person(s) against whom the hearing is requested fails to appear at the hearing, the Hearing Committee will hear evidence from the person(s) filing the hearing request and render its finding. A finding that arises from a party’s failure to appear at the hearing may be appealed in writing to the Associate Dean of The Graduate School, but only on the grounds that extreme circumstances prevented the party’s attendance at the hearing. Should the appeal be accepted, the Associate Dean will determine a new period within which a hearing must be held, and no further extensions will be granted.

The Hearing Committee shall be composed of three (3) voting members (two members of the graduate faculty and one graduate student). The Associate Dean of the Graduate School (whether for Storrs and the regional campuses or the Health Center) will select members of the Hearing Committee. The Associate Dean shall conduct the hearing as a non-voting member. No member of the Hearing Committee may be a member of the program/department of either party to the hearing, nor may any member of the Hearing Committee have personal or professional associations with the parties. Both parties will be notified in writing of the composition of the Hearing Committee at least ten (10) business days prior to the scheduled hearing, and any objections to the appointment of any committee member on the grounds that the member's participation would jeopardize a fair hearing must be provided to the Associate Dean no later than five (5) business days prior to the hearing date. The Associate Dean conducting the hearing

will determine whether any objections have merit and will decide whether a panel member will be seated.

The hearing, although formal, is not a court proceeding. As such, the Hearing Committee will not be bound by the procedures and rules of evidence of a court of law. The Hearing Committee will determine whether the issue has merit, and will determine the appropriate response if merit is found. The Hearing Committee's decision shall be made by majority vote. The burden of proof for a finding lies with the party bringing the case, and any finding shall be based on clear and convincing evidence submitted at the hearing.

The hearing will occur in private, and it will be audio recorded. The Graduate School will maintain a copy of the recording through the end of the appeal process only.

Either party may invite one person to attend the hearing as a support person. The support person may be consulted throughout the hearing, but the support person shall not be permitted to directly participate in the hearing. Either party may invite witnesses to provide testimony. Both parties shall submit the names of support persons and any witnesses whose testimony they intend to offer to the Associate Dean conducting the hearing at least ten (10) business days in advance of the hearing. The Associate Dean shall provide a list of all persons expected to be present (members of each party, witnesses, support persons) to the Hearing Committee and both parties at least five (5) business days before the scheduled hearing date.

All documentary evidence to be submitted by either party should be clearly identified and provided to The Graduate School using the *Graduate Hearing Documentary Evidence Coversheet* at least ten (10) business days before the hearing. New evidence will be allowed at the hearing only if permitted at the sole discretion of the Associate Dean. To be allowed the party seeking to introduce new evidence must provide sufficient copies of additional evidence (at least 5) for review at the hearing. In all cases, the evidence must be clearly organized and labeled to be allowed for consideration. The Graduate School will maintain one complete copy of all evidence submitted.

The Associate Dean will conduct the hearing in accordance with the following procedures:

- The Associate Dean will identify the person(s) filing the hearing request, the person(s) against whom the hearing is requested, the witnesses and the support persons (if any), and the members of the Hearing Committee. (Note that witnesses will be present in the hearing room only during the period in which their oral testimony is provided.)
- The Associate Dean will state the issue, as set forth in the notification sent to both parties.
- Both parties will be offered the opportunity to make brief opening statements. Each opening statement should consist of a brief summary and position as documented on the *Graduate Hearing Request Form* and/or *Graduate Hearing Documentary Evidence Coversheet*. It should not involve lengthy discussion or presentation of evidence.
- The person filing the hearing request may present evidence to support his/her position, which may include written statements, personal testimony, oral testimony of witnesses, and physical exhibits.

- The person(s) against whom the hearing is requested may present evidence to support his/her position, which may include written statements, personal testimony, oral testimony of witnesses, and physical exhibits.
- The Committee will be offered the opportunity to question both parties and all witnesses. At all times, all questions by the Committee or from any party must be addressed through the Associate Dean as the Hearing Chair.
- The person(s) filing the hearing request will be offered the opportunity to present a summation.
- The person(s) against whom the hearing is requested will be offered the opportunity to present a summation.

During the hearing either party:

- May decline to make statements. A refusal to answer questions shall not be interpreted unfavorably with respect to that party's position; and
- May decline to appear at the hearing. The refusal of person(s) against whom the issue is lodged shall not be interpreted as evidence that the issue is valid.

At the conclusion of the hearing, the Hearing Committee shall deliberate and render a decision. The decision will be sent to both parties, the major advisor, the graduate program coordinator and/or department head, the Dean of the school or college in which the issue occurred, and to the Dean of The Graduate School within ten (10) business days from the date of the hearing. The notice shall be sent to the all parties by e-mail, to the official University e-mail address, and if appropriate, by first class mail, postage prepaid, to the mailing address on file with the University.

The Hearing Committee's decision will specify clearly:

- Whether the issue has merit.
- If so, the appropriate course of action.

A written appeal can be filed with the Dean of The Graduate School within ten (10) business days after e-mail notification of the Hearing Committee's decision is sent. An appeal is not a new hearing. It is a review of the record of the original hearing. In order to prepare an appeal, the person filing the hearing request shall have the right to review the records of the hearing, including the audio recording. This review of records, including the audio recording, is limited to the purpose for preparation of the appeal only.

An appeal may be sought on the following three grounds:

1. On a claim of error in the hearing procedure;
2. On a claim of new evidence or information material to the case that was not available at the time of the hearing.
3. On a claim of substantive error arising from misinterpretation of evidence presented at the hearing.

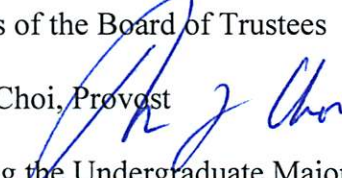
The Dean of The Graduate School shall have the authority to dismiss an appeal not sought on one or more of these three grounds. If an appeal is upheld, the Dean of The Graduate School may determine an appropriate course of action or return the case to the Hearing Committee with instructions to guide additional deliberations.

The Dean of The Graduate School has thirty (30) business days to complete and provide notice regarding the outcome of the appeal. The decision of the Dean of The Graduate School concerning an appeal shall be final.

ATTACHMENT 12

March 26, 2014

TO: Members of the Board of Trustees

FROM: Mun Y. Choi, Provost 

RE: Renaming the Undergraduate Major Business and Technology to Business Data Analytics in the School of Business

BACKGROUND:

The Bachelor of Science in Business and Technology served students very well for a number of years. Increasingly, employers and students have expressed interest in a more data analytics intensive focus for this major, highlighted by discussions that regional campus faculty and staff have had with numerous employers and students.

The Department of Operations and Information Management will refocus one of the courses in the major, drop and replace two courses with business data analytics courses, and incorporate an elective project course, incorporating project management.

The Business Data Analytics major is open to students at the Hartford, Stamford, Torrington, and Waterbury campuses. The objection of the BDA major is to provide a business degree with a special emphasis in the application of information technology to data analytics.

This change has been approved by the School of Business' faculty, Dean John Elliott, and it has my full support.

ATTACHMENT 13



March 26, 2014

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Avery Point – Building 21/23 Demolition
(Planning: \$10,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Planning Budget of \$10,000,000 for demolition of Buildings 21/ 23 at the Avery Point campus.

BACKGROUND:

Buildings 21/ 23 are located at the center of the Avery Point campus. They are approximately 460,000 square feet in total area combined and were formerly known as the Coast Guard Research & Development Building Barracks and Mess Hall. The buildings were built in the 1930's and were actively used until the early 1970's. Over the last 30 years, the use of the buildings has diminished, and ultimately came to an end in 2006. Due to their size and current condition, it is the recommendation of the engineers and campus administrators to remove the structure. The area can then be reused for landscaping and exterior public space.

Many of the campus' services and utilities run through this main building. As a result, part of this project will include relocating and minor upgrades to electrical, telecommunications, chilled water and steam services. Demolition costs are also expected to be higher than normal due to the close proximity of other buildings and the addressing of a variety of environmental conditions required to remove the facility.

This Planning Budget is attached for your consideration and approval.

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CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: PLANNING

**PROJECT NAME: AVERY POINT RENOVATION - BUILDING 21 & 23
DEMOLITION**

<u>BUDGETED EXPENDITURES</u>	<u>PROPOSED PLANNING 3/26/2014</u>
CONSTRUCTION	\$ 7,100,000
DESIGN SERVICES	700,000
TELECOMMUNICATIONS	350,000
FURNITURE, FIXTURES AND EQUIPMENT	-
CONSTRUCTION ADMINISTRATION	350,000
OTHER AE SERVICES (including Project Management)	175,000
ART	-
RELOCATION	50,000
ENVIRONMENTAL	250,000
INSURANCE AND LEGAL	50,000
MISCELLANEOUS	50,000
OTHER SOFT COSTS	-
SUBTOTAL	\$ 9,075,000
PROJECT CONTINGENCY	925,000
TOTAL BUDGETED EXPENDITURES	<u>\$ 10,000,000</u>
<u>SOURCE(S) OF FUNDING</u>	
UConn 2000 PHASE III	<u>\$ 10,000,000</u>
TOTAL BUDGETED FUNDING	<u>\$ 10,000,000</u>

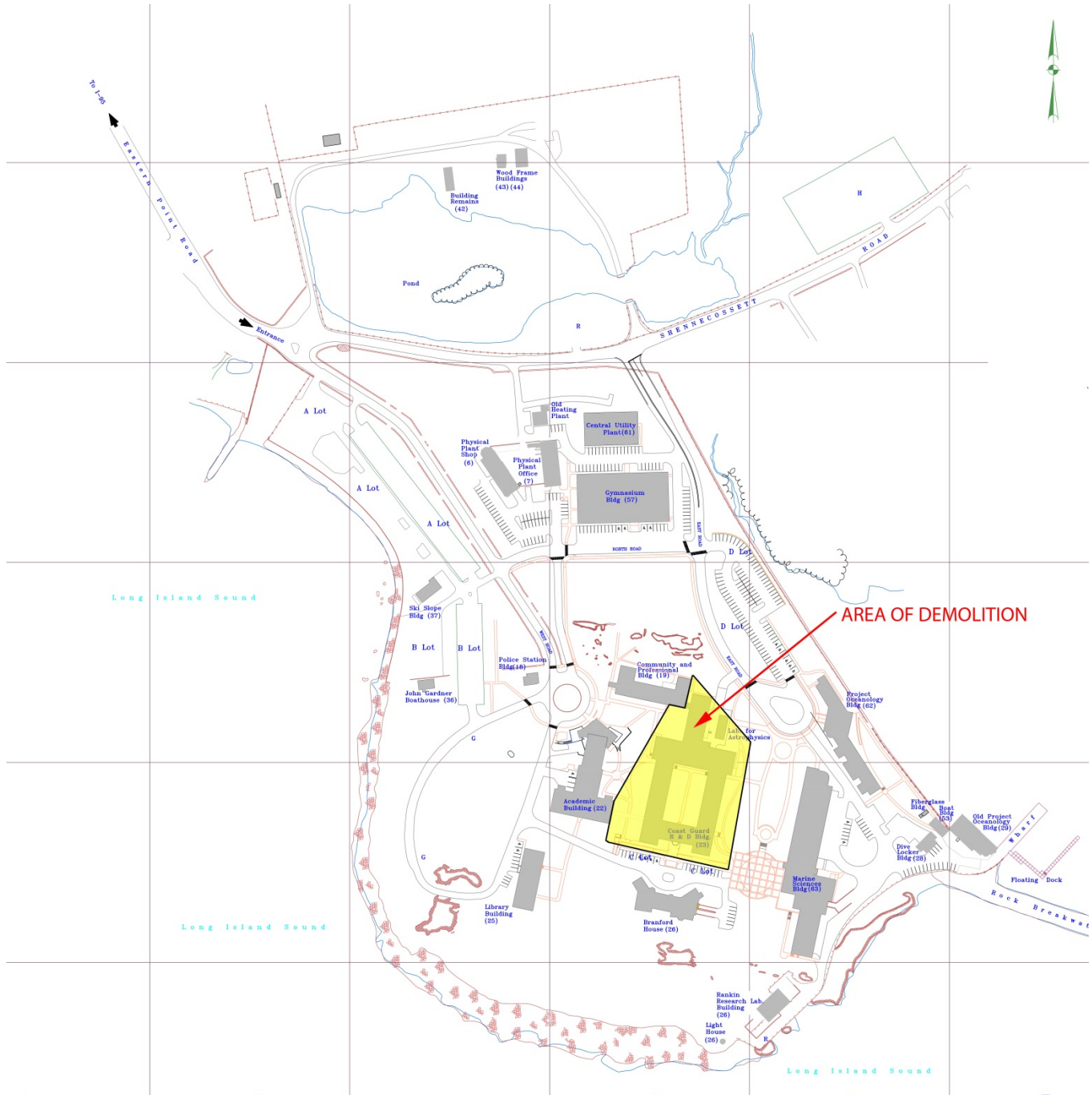
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AVERY POINT - BUILDING 21/23 Demolition

Project Budget (Planning)

03/26/2014



ATTACHMENT 14



March 26, 2014

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Engineering Building - Engineering & Science Building
(Revised Planning Budget \$92,500,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Planning Budget of \$92,500,000 for a new Engineering & Science Building and approve the construction of the enabling projects which includes the demolition of the Old Central Warehouse, utility relocations and site access roadway work.

BACKGROUND:

The proposed new Engineering & Science Building will be a state-of-the-art laboratory for trans-disciplinary research in Bio-Nano Engineering, Cyber-Physical Systems Engineering, Chemical Engineering and other Sciences that will catalyze research advances in convergence technologies. Planning for this new structure has evolved over time in response to the significant shortage of high-quality laboratory space for trans-disciplinary research.

The planning and design of the building has been integrated with the planning for the *Next Generation Connecticut* initiative. The net result is the building size will be increased to provide additional space to accommodate some of the anticipated STEM faculty growth associated with *Next Generation Connecticut*.

Since the new building will be located on the site of the Old Central Warehouse, the demolition of this building must take place over this summer. Therefore, it is anticipated that hazardous materials abatement will start in May 2014. Demolition of the building will start in June and continue through the end of July 2014. Additionally, the new construction will cut off truck access from North Eagleville Road to the Central Utility Plant. Therefore, work on the new site

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access road from Hillside Road to the Central Utility Plant and utility relocations associated with the demolition and roadwork are scheduled to begin in August 2014 in advance of the construction of the new building.

This Revised Planning Budget is attached for your consideration and approval.

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: REVISED PLANNING

PROJECT NAME: ENGINEERING BUILDING - ENGINEERING & SCIENCE BUILDING

	APPROVED PLANNING 6/24/2008	APPROVED REVISED PLANNING 2/18/2010	APPROVED REVISED PLANNING 9/21/2010	APPROVED REVISED PLANNING 8/3/2011	APPROVED REVISED PLANNING 9/25/2013	PROPOSED REVISED PLANNING 3/26/2014
<u>BUDGETED EXPENDITURES</u>						
CONSTRUCTION	\$ -	\$ -	\$ -	\$ 45,750,000	\$ 68,100,000	\$ 68,100,000
DESIGN SERVICES	760,000	1,300,000	1,300,000	4,458,147	7,150,000	7,150,000
TELECOMMUNICATIONS	-	-	-	1,025,000	1,500,000	1,100,000
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-	-	1,100,000	1,610,000
CONSTRUCTION ADMINISTRATION	10,000	10,000	10,000	-	1,812,000	1,812,000
OTHER AE SERVICES (including Project Management)	50,000	50,000	50,000	1,683,750	2,544,205	2,675,000
ART	-	-	-	450,000	681,000	681,000
RELOCATION	-	-	-	300,000	-	50,000
ENVIRONMENTAL	45,000	45,000	45,000	45,000	75,000	110,000
INSURANCE AND LEGAL	5,000	15,000	15,000	35,000	55,000	50,000
MISCELLANEOUS	-	350,000	350,000	253,103	150,000	50,000
OTHER SOFT COSTS	30,000	30,000	30,000	-	85,195	-
SUBTOTAL	\$ 900,000	\$ 1,800,000	\$ 1,800,000	\$ 54,000,000	\$ 83,252,400	\$ 83,388,000
PROJECT CONTINGENCY	100,000	200,000	200,000	6,500,000	9,247,600	9,112,000
TOTAL BUDGETED EXPENDITURES	<u>\$ 1,000,000</u>	<u>\$ 2,000,000</u>	<u>\$ 2,000,000</u>	<u>\$ 60,500,000</u>	<u>\$ 92,500,000</u>	<u>\$ 92,500,000</u>
<u>SOURCE(S) OF FUNDING</u>						
UConn 2000 PHASE III	<u>\$ 1,000,000</u>	<u>\$ 2,000,000</u>	<u>\$ 2,000,000</u>	<u>\$ 60,500,000</u>	<u>\$ 92,500,000</u>	<u>\$ 92,500,000</u>
TOTAL BUDGETED FUNDING	<u>\$ 1,000,000</u>	<u>\$ 2,000,000</u>	<u>\$ 2,000,000</u>	<u>\$ 60,500,000</u>	<u>\$ 92,500,000</u>	<u>\$ 92,500,000</u>

ENGINEERING BUILDING
ENGINEERING & SCIENCE BUILDING
Project Budget (Revised Planning)
03/26/2014



ATTACHMENT 15



March 26, 2014

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Technology Quadrant Phase III – Innovation Partnership Building (Revised Planning: \$162,300,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Planning Budget in the amount of \$162,300,000 for Technology Quadrant Phase III – Innovation Partnership Building.

BACKGROUND:

Over the past 15 years the University of Connecticut (UConn) has built strong industry–university relationships by focusing on technology that supports business growth. Additionally, UConn has achieved strong academic success by recruiting outstanding research faculty, doubling research grants, increasing technology transfer activities and increasing the size, strength and diversity of its undergraduate and graduate student body.

The world class Innovation Partnership Building will offer shared laboratories with highly specialized instrumentation and equipment for use by industry scientists and business entrepreneurs as they work side by side with UConn researchers. With state-of-the-art technology, we will be able to transform research advances into commercially applicable products in areas identified in the 2006 Battelle Study. Technology supported in the Innovation Partnership Building will include product development and testing, information systems and biomedical engineering. The building will provide specialty labs which focus on additive manufacturing and advanced characterization as well as provide tenant space for technology focused companies wanting to use the instrumentation and equipment, and/or partner with UConn.

This facility will be a multi-story research building (approximately 112,000 gross square foot) comprised primarily of the specialty labs containing specialized instrumentation and equipment

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FAX 860.486.1070

and large open space for future tenant lab and offices. Funding for the specialized instrumentation and equipment is also included in this project.

Public Act 11-57 authorized the issuance of \$172,500,000 of State General Obligation Bonds for the purpose of the development of a technology park and related buildings at the university, including planning, design, construction and improvements, and purchase of equipment; land acquisition and on-site and off-site utilities and infrastructure improvements. This budget only reflects the Innovation Partnership Building (\$162,300,000 allocated from Tech Park bonds) and does not include the North Hillside Road Completion project (\$7,200,000 allocated from Tech Park bonds plus other funds), approved by the Board on February 26, 2014, or the new Water Supply project (\$3,000,000 allocated from Tech Park bonds), which will be separately submitted in the future for Board approval.

This Revised Planning Budget is attached for your consideration and approval.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: REVISED PLANNING

PROJECT NAME: TECHNOLOGY QUADRANT PHASE III - INNOVATION PARTNERSHIP BUILDING

	APPROVED PLANNING 6/23/2011	PROPOSED REVISED PLANNING 3/26/2014
<u>BUDGETED EXPENDITURES</u>		
CONSTRUCTION	\$ 103,300,000	\$ 90,200,000
DESIGN SERVICES	10,575,000	9,200,000
TELECOMMUNICATIONS	60,000	800,000
FURNITURE, FIXTURES AND EQUIPMENT	1,300,000	1,300,000
LAB EQUIPMENT	38,000,000	40,000,000
CONSTRUCTION ADMINISTRATION	1,000,000	1,300,000
OTHER AE SERVICES (including Project Management)	3,628,750	3,500,000
ART	890,000	900,000
RELOCATION	-	-
ENVIRONMENTAL	416,250	100,000
INSURANCE AND LEGAL	55,000	100,000
MISCELLANEOUS	25,000	100,000
OTHER SOFT COSTS	-	-
SUBTOTAL	\$ 159,250,000	\$ 147,500,000
PROJECT CONTINGENCY	13,250,000	14,800,000
TOTAL BUDGETED EXPENDITURES	\$ 172,500,000	\$ 162,300,000
<u>SOURCE(S) OF FUNDING</u>		
STATE GENERAL OBLIGATION BONDS*	\$ 172,500,000	\$ 162,300,000
TOTAL BUDGETED FUNDING	\$ 172,500,000	\$ 162,300,000

* Note: Public Act 11-57 authorized the issuance of \$172,500,000 of State General Obligation Bonds for the purpose of the development of a technology park and related buildings at the university, including planning, design, construction and improvements, land acquisition, purchase of equipment, on-site and off-site utilities and infrastructure improvements. This budget only reflects the Innovation Partnership Building and does not include the North Hillside Road Completion project (900965), approved by the Board on 2/26/14, or the new Water Supply project (901662), which will be separately submitted in the future for Board approval.

TECHNOLOGY QUADRANT PHASE III –
INNOVATION PARTNERSHIP BUILDING
Project Budget (Revised Planning)
03/26/2014



ATTACHMENT 16



March 26, 2014

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Residential Life Facilities – South Campus Envelope –
Gable Repairs & Protective Work (Revised Final: \$3,000,000)

RECOMMENDATION:

That the Board of Trustees approve an exigent Revised Final Budget of \$3,000,000 for repair of the gable walls in the South Campus Building Complex to include the installation of emergency protection determined to be required as a result of the failure of an element of pre-cast concrete on the South elevation of Rosebrooks Hall on Tuesday February 25, 2014.

BACKGROUND:

The South Campus Complex comprises four buildings; Wilson, Rosebrooks and Snow Halls which are residential housing; and Rome Hall which contains a cafeteria, function rooms and offices. The buildings were constructed in approximately 1998. The buildings have water infiltration problems as well as evidence of significant masonry and exterior envelope deterioration.

The architectural firm of Wiss, Janney Elstner (WJE) was retained to investigate and report on the cause of the leaks and to make recommendations for proactive corrective action. WJE observed that the leaks are due to numerous conditions including improper flashing, deteriorated and cracked masonry, and deteriorated roofing and caulking. In addition, pre-cast concrete capstones located on the gables and intermediate walls throughout the complex were improperly secured at the time of the original construction and this condition represented a potential and significant safety hazard requiring prompt corrective action. The work associated with the correction of the capstones was successfully completed in March 2013.

During the course of investigative field work necessary to complete the construction documents for the balance of the deficiency repairs, WJE observed and documented additional defects relating to the masonry gable walls which had not previously been identified. WJE noted that the walls were designed and/or constructed such that they do not meet the Connecticut State Building Code design wind load requirements in effect at the time of the design and construction of the buildings representing a potential safety hazard.

A third party structural engineer, retained by the University, has confirmed WJE's findings with respect to the gable end masonry walls.

WJE has advised that immediate repairs are necessary to preserve public safety. A two phased approach has been developed for the corrective work to achieve this goal. The request allows for abatement of the immediate safety concerns, while allowing the balance of the repairs to the gable walls to be completed in the spring/summer of 2014.

Phase I will provide for interior bracing of the gables within the attic space of the existing buildings and this bracing will become a part of the permanent remedial repairs.

Phase II will be undertaken in the spring of 2014 involves more extensive repairs to the masonry walls themselves.

In order to complete this work the University seeks approval of the award of an exigent construction contract in order that the work can be started as soon as possible. The University anticipates phase I construction to begin in early February 2014 and can be completed in approximately eight weeks from the start of construction.

The funding request is based on a negotiated bid for phase I work with a selected contractor and has been verified to be within market conditions by an independent estimator third party estimate of construction costs. The phase II portion of the work is currently based on an estimate of construction costs.

The University also seeks approval of the award of an exigent contract with the project architect to provide Construction Administration services for Phase I of the Gable Wall repairs and photographic documentation and to complete the additional investigation necessary to complete its design for phase II of this work.

The University also seeks approval of the award of an exigent contract with an outsourced project management oversight firm to provide pre-construction, administrative, managerial services and quality control services for phase I of the work and for Preconstruction Services for Phase II of the work.

The University also requests that the three-step budget approval be waived at this time due to safety concerns.

Additional Exigent Request Based on Pre-Cast Concrete Failure on February 25, 2014

On February 25, 2014 at approximately 11 am, PAES was informed that an element of the precast stone façade at the fourth floor of the south elevation of Rosebrooks Hall had fallen from the building to the ground below. PAES immediately visited the site and determined that the area should be cordoned off. Due to similar architectural details present on the other buildings in the complex (all of which were designed and constructed by the same team and as a single project) PAES determined that additional protective measures would be required. PAES immediately notified the UConn Office of Fire Marshal and Building Inspector to request assistance. PAES also contacted Wiss, Janney, Elstner (WJE) to provide professional assistance in terms of identifying areas of the complex which should be protected or barricaded in order to protect the public safety.

WJE is currently engaged by the University and is providing professional engineering services for the South Campus complex related to historical and ongoing problems related to the building envelopes. Concurrently, PAES contacted Daniel O'Connell's Sons who is the Construction Manager currently under contract to manage the construction of the University's Basketball Development Center and has both the managerial manpower and subcontractor resources available to ensure that protective measures deemed necessary could be expeditiously put in place.

The University request that the following exigent contracts be awarded for engineering and "make safe" services for the South Campus Complex, Pre- Cast Stone failure in order to maintain the public safety;

Wiss, Janney, Elstner – Professional Engineers

1. Visit the complex and identify, by sketches and/or drawings, all locations where temporary protection should be placed in order to expeditiously protect the public from the potential of similar events in advance of any subsequent detailed investigations determined to be necessary.
2. Evaluate the conditions leading to the failure of the precast stone element on February 25, 2014 and provide a letter report identifying the likely cause of failure.
3. Examine, preliminarily, the exterior of the four buildings in the South Campus Complex and identify any and all areas which may pose a risk of pre cast stone failure.

Daniel O'Connell's Sons – Construction Services

1. Provide and install temporary protective scaffolding and barriers as determined by WJE in order to protect the public safety.
2. Monthly Cost of rental of the protective scaffolding and banners until such time as remedial construction is in place so as not to warrant the temporary protection, or when WJE has determined that the protection is no longer required.
3. Management services in relation to the procurement, installation of all protection deemed necessary by WJE and/or the University Fire and Building Officials.

The balance of the remedial work necessary to correct remaining defects, not included as part of this request, will include masonry repairs, caulking, pre-cast concrete repairs, window repairs and slate roof replacement and is estimated to be approximately \$20 million.

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: RESIDENTIAL LIFE FACILITIES - SOUTH CAMPUS ENVELOPE: GABLE REPAIRS & PROTECTIVE WORK

	APPROVED FINAL 12/11/2013	APPROVED REVISED FINAL 1/29/2014	PROPOSED REVISED FINAL 3/26/2014
<u>BUDGETED EXPENDITURES</u>			
CONSTRUCTION	\$ 2,000,000	\$ 2,000,000	\$ 2,000,000
DESIGN SERVICES	125,000	125,000	145,000
TELECOMMUNICATIONS	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-
CONSTRUCTION ADMINISTRATION	250,000	250,000	250,000
OTHER AE SERVICES (including Project Management)	150,000	150,000	150,000
ART	-	-	-
RELOCATION	-	-	-
ENVIRONMENTAL	-	-	-
INSURANCE AND LEGAL	25,000	25,000	25,000
MISCELLANEOUS	50,000	50,000	30,000
OTHER SOFT COSTS	-	-	-
SUBTOTAL	\$ 2,600,000	\$ 2,600,000	\$ 2,600,000
PROJECT CONTINGENCY	400,000	400,000	400,000
TOTAL BUDGETED EXPENDITURES	<u>\$ 3,000,000</u>	<u>\$ 3,000,000</u>	<u>\$ 3,000,000</u>
<u>SOURCE(S) OF FUNDING</u>			
UCONN 2000 PHASE III	\$ 3,000,000	\$ 3,000,000	\$ 3,000,000
TOTAL BUDGETED FUNDING	<u>\$ 3,000,000</u>	<u>\$ 3,000,000</u>	<u>\$ 3,000,000</u>


ATTACHMENT 17


UConn

HEALTH CENTER

March 26, 2014

TO: Members of the Board of Trustees

FROM: Frank M. Torti, MD, MPH
Executive Vice President for Health Affairs & Dean, School of Medicine 

Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer 

SUBJECT: **Project Budget for the UConn Health Center (UHC) Demolition – Dowling North and Dowling South Buildings (Planning: \$1,070,000)**

RECOMMENDATION:

That the Board of Trustees approve the Planning Budget in the amount of \$1,070,000 for the UHC Demolition – Dowling North and Dowling South Buildings.

BACKGROUND:

As a result of the Bioscience Connecticut initiative, the State of Connecticut and Jackson Laboratory (JAX) have formed a collaboration that includes the development of the Jackson Laboratory for Genomics Medicine on the UHC Farmington campus. The Jackson Laboratory Center for Genomic Medicine will position Connecticut as a globally important center for developing new medical treatments tailored to each patient's unique genetic makeup.

The ground lease agreement, approved in January 2012, called for the leased property to be cleared and delivered to JAX in two parcels. The first parcel was cleared by UHC in December 2012 to allow the new Center for Genomic Medicine to begin construction. The second parcel is required to be cleared and delivered to JAX by April 30, 2015.

This project will clear the second parcel by demolishing the Dowling North and Dowling South Buildings. The project will be competitively bid to pre-qualified contractors.

The Planning Budget is attached for your consideration. The Planning Budget is based upon conceptual estimates and may change based upon the actual design work. This Planning Budget is anticipated to be approved by the Board of Directors Finance Committee and the UHC Board of Directors at their respective meetings on March 24th, 2014.

Attachment

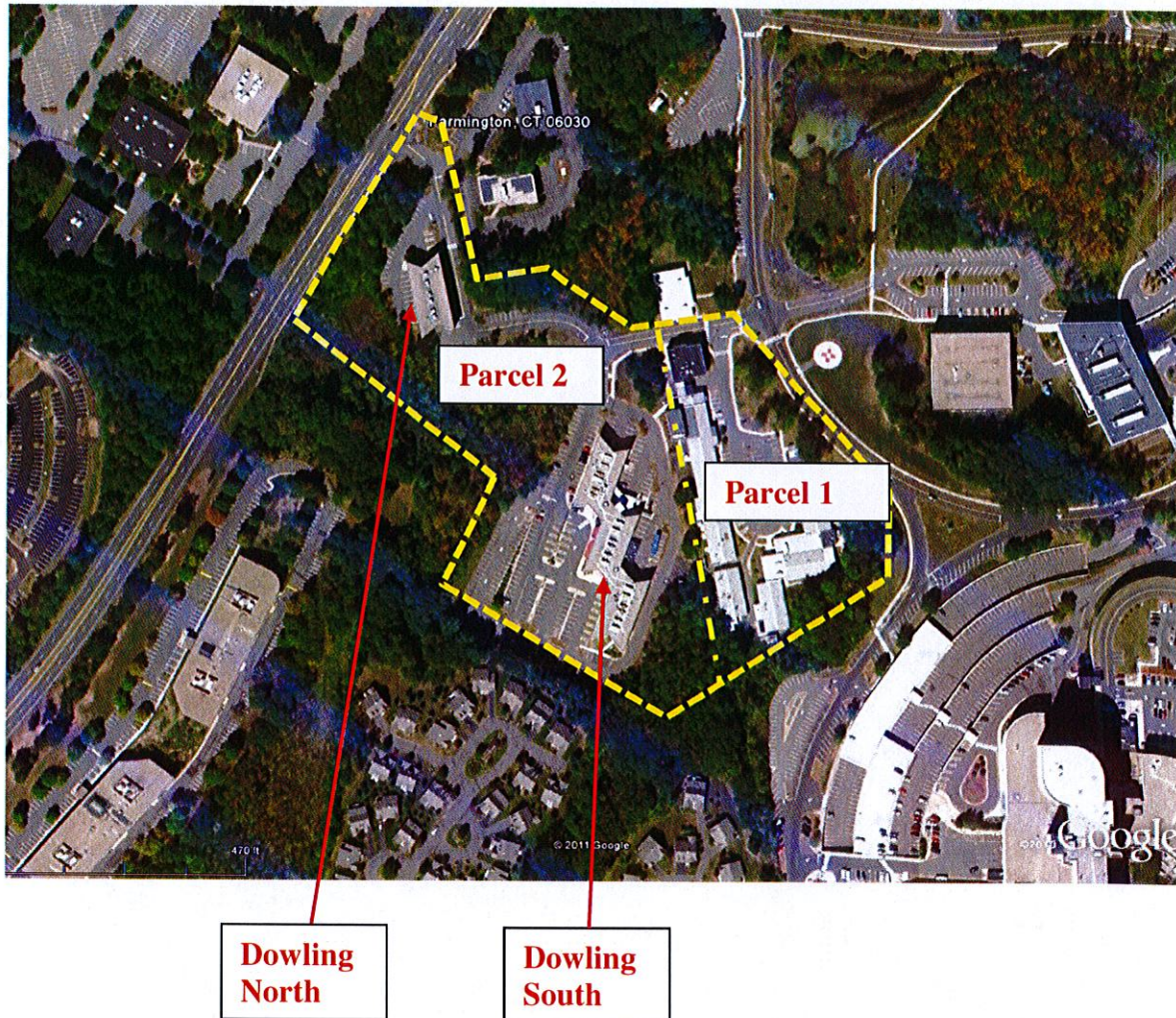
CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: PLANNING

PROJECT NAME: UCHC - DEMOLITION OF DOWLING NORTH AND DOWLING SOUTH BUILDINGS

<u>BUDGETED EXPENDITURES</u>	PROPOSED PLANNING 3/26/2014
CONSTRUCTION	\$ 800,000
DESIGN SERVICES	85,000
TELECOMMUNICATIONS	42,000
FURNITURE, FIXTURES AND EQUIPMENT	-
CONSTRUCTION ADMINISTRATION	-
OTHER AE SERVICES (including Project Management)	-
ART	-
RELOCATION	-
ENVIRONMENTAL	30,000
INSURANCE AND LEGAL	5,000
MISCELLANEOUS	10,000
SUBTOTAL	\$ 972,000
PROJECT CONTINGENCY	98,000
TOTAL BUDGETED EXPENDITURES	\$ 1,070,000
<u>SOURCE(S) OF FUNDING</u>	
UCONN 2000 PHASE III - DM	\$ 1,070,000
TOTAL BUDGETED FUNDING	\$ 1,070,000

UCHC LOWER CAMPUS – JAX LAB SITE



ATTACHMENT 18

UConn

HEALTH CENTER

March 26, 2014

TO: Members of the Board of Trustees

FROM: Frank M. Torti, MD, MPH
Executive Vice President for Health Affairs & Dean, School of Medicine

Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer

RE: **Project Budget for the UConn Health Center (UHC) Anechoic Chamber Relocation (Final: \$598,000)**

RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$598,000 for the UHC Anechoic Chamber Relocation.

BACKGROUND:

As a result of the Bioscience Connecticut initiative, the State of Connecticut and Jackson Laboratory (JAX) have formed a collaboration that includes the development of the Jackson Laboratory Center for Genomics Medicine on the UHC Farmington campus.

The parcel of land that the JAX facility is being constructed on was cleared and required the demolition of several aged metal buildings on the site. One of the research programs in the metal buildings was the Biodynamic laboratory. The Biodynamic Lab had a special room known as an anechoic chamber. The room is lined with sound-absorbing material and there is no reverberation and thus allows scientists to analyze acoustic noise for research projects.

This project replaces the anechoic chamber that was demolished and provides a new chamber in the main building on the UHC campus. The work will include the mechanical and electrical systems associated with the room.

The Final Budget is attached for your consideration and upon approval will proceed into construction. The budget is based upon estimates and may be subject to change when bids are received on March 5, 2014. This Final Budget is anticipated to be approved by the Board of Directors Finance Committee and the UHC Board of Directors at their respective meetings on March 24th, 2014.

Attachment

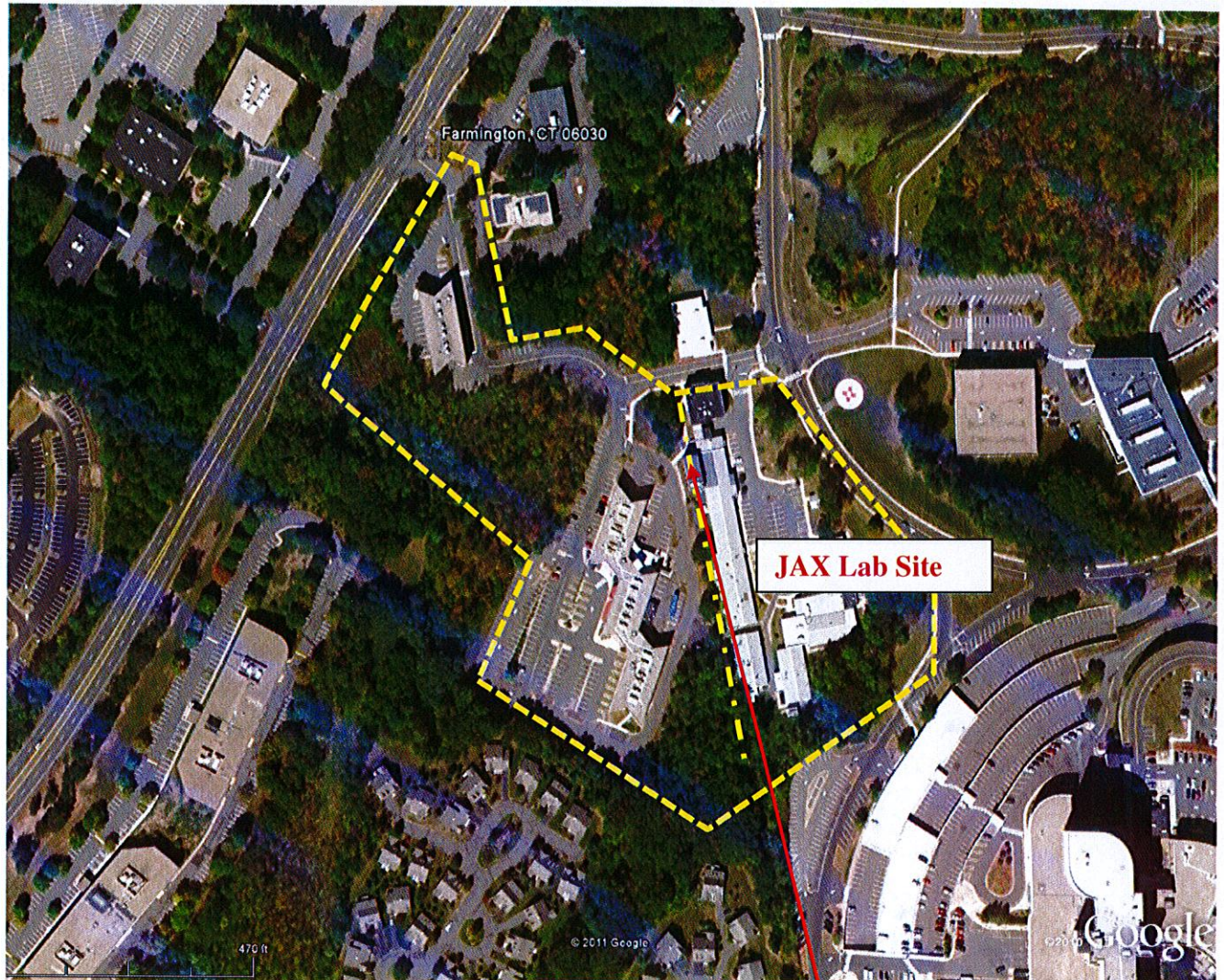
CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: UCHC ANECHOIC CHAMBER RELOCATION

	APPROVED PLANNING 6/28/2012	PROPOSED FINAL 3/26/2014
<u>BUDGETED EXPENDITURES</u>		
CONSTRUCTION	\$ 105,000	\$ 198,500
DESIGN SERVICES	21,000	25,000
TELECOMMUNICATIONS	900	900
FURNITURE, FIXTURES AND EQUIPMENT	350,000	314,000
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES (including Project Management)	2,600	2,600
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	-	-
INSURANCE AND LEGAL	-	-
MISCELLANEOUS	500	3,000
SUBTOTAL	\$ 480,000	\$ 544,000
PROJECT CONTINGENCY	75,000	54,000
TOTAL BUDGETED EXPENDITURES	\$ 555,000	\$ 598,000
<u>SOURCE(S) OF FUNDING</u>		
UCHC CAPITAL	\$ 555,000	\$ 598,000
TOTAL BUDGETED FUNDING	\$ 555,000	\$ 598,000

UCHC Lower Research Campus



**Demolished
Anechoic Chamber**

ATTACHMENT 19



TO: Marilda Gandara, Chair, IA Committee

FROM: Josh Newton, President & CEO, UConn Foundation

DATE: February 21, 2014

RE: UConn Foundation fundraising report

As of February 18, 2014, fiscal year 2014 new gifts and commitments totaled \$30.6 million, toward the goal for the year of \$65 million (47 percent to goal). There have been 131 gifts at the major and principal gift level, including 40 pledges and outright gifts at \$100,000 and above. Support for endowment comprises \$12.8 million of the total new gifts and commitments raised (42 percent), while support for current operations totals \$17.8 million (58 percent). Overall, fundraising is down 21.8% or \$8.5M from last year. However, there is \$7.5M pending in verbal commitments in the process of being closed that will significantly close this gap and the fourth quarter is annually our strongest quarter of the year. Our proposal pipeline report is still strong, Pending and Expected Opportunities for FY'14, at the Principal and Major Gift level total over \$61M.

The Development Committee of the UConn Foundation Board of Directors will meet on Thursday, March 6th to review current fiscal year fundraising progress and begin discussion on FY '15 fundraising goals. These goals will be reviewed and discussed with University leadership for approval. The Development Committee will present FY '14 fundraising results to the UConn Board of Directors on Friday, March 7th.

The UConn Foundation Board Development Committee will also discuss longer-term goal setting with the aim of moving fundraising from \$60M to \$100M over the next five years. Initial analysis has concluded there is a sufficient base of prospective donors to achieve this long-term target. The UConn Foundation's staff reorganization in December was implemented in part to position the development staff for this growth by improving focus on lead donor relationships and strategically allocating resources to align with University priorities.

Summary of Commitments by Level
Through 2/18/2014

Printed 02-18-2014

Giving Range	FY 2012		FY 2013		FYTD 2013 through 2/18		FYTD 2014 through 2/18		FYTD 2014 vs. FYTD 2013
	Dollars	Donors	Dollars	Donors	Dollars	Donors	Dollars	Donors	Dollars
\$500,000 +	\$28,791,757	23	\$33,692,276	18	\$21,197,632	10	\$13,570,976	9	-36.0%
\$250,000 - \$499,999	\$5,338,403	15	\$5,597,145	17	\$3,954,806	12	\$3,000,380	8	-24.1%
\$100,000 - \$249,999	\$5,944,349	39	\$5,377,038	40	\$3,259,907	23	\$3,198,090	23	-1.9%
\$25,000 - \$99,999	\$7,058,839	167	\$6,706,972	152	\$4,235,066	97	\$4,065,306	91	-4.0%
\$10,000 - \$24,999	\$3,593,433	258	\$3,601,141	260	\$1,875,709	137	\$2,061,383	151	9.9%
\$1,000 - \$9,999	\$5,908,914	2,404	\$5,943,001	2,445	\$2,675,864	1,165	\$2,947,572	1,239	10.2%
\$1 - \$999	\$3,086,499	25,222	\$3,480,919	30,487	\$1,901,425	17,971	\$1,749,011	14,692	-8.0%
Totals	\$59,722,194	28,128	\$64,398,492	33,419	\$39,100,409	19,415	\$30,592,720	16,213	-21.8%

Summary of Commitments by Unit

Through 2/18/2014

Printed 02-18-2014

Executive Level Unit	FY 2012	FY 2013	FYTD 2013 through 2/18	FYTD 2014 through 2/18	FYTD 2014 vs. FYTD 2013
University	\$26,487,741	\$22,228,465	\$11,133,732	\$5,209,123	-53.2%
Athletics	\$21,738,520	\$14,276,525	\$7,321,407	\$3,372,347	-53.9%
Diversity & Equity	\$0	\$100,000	\$0	\$0	0.0%
Economic Development	\$0	\$27,000	\$5,000	\$3,025	-39.5%
Enrollment Planning And Management	\$3,106,409	\$6,916,561	\$3,180,949	\$1,340,140	-57.9%
University	\$1,412,168	\$780,475	\$570,752	\$417,129	-26.9%
Department					
General - Includes Fund for UCONN	\$1,412,168	\$780,475	\$570,752	\$417,129	-26.9%
University Communications	\$230,644	\$122,213	\$55,599	\$76,444	37.5%
Vice President For Research	\$0	\$5,692	\$25	\$39	56.8%
Executive Vice President For Administration & Chief Financial Officer	\$220	\$1,200	\$1,100	\$150	-86.4%
Facilities Operation	\$120	\$1,000	\$1,000	\$0	-100.0%
Public Safety	\$100	\$200	\$100	\$150	50.0%
Provost & Executive Vice President For Academic Affairs	\$1,643,669	\$2,201,492	\$1,428,660	\$597,295	-58.2%
Center For Continuing Studies	\$10,262	\$7,077	\$6,302	\$6,556	4.0%
Provost & Executive Vice President For Academic Affairs	\$1,132,335	\$1,355,244	\$837,637	\$63,935	-92.4%
Undergraduate Education	\$190,035	\$237,176	\$52,648	\$43,959	-16.5%
University Information Technology Services	\$6,691	\$2,545	\$1,220	\$820	-32.8%
University Libraries	\$299,200	\$590,833	\$527,598	\$99,891	-81.1%
Vice Provost For Global Affairs	\$5,147	\$8,617	\$3,254	\$382,134	11642.2%
Schools & Colleges	\$21,558,856	\$31,830,255	\$22,901,815	\$19,532,117	-14.7%
Agriculture And Natural Resources	\$1,329,075	\$787,517	\$537,041	\$598,604	11.5%

Summary of Commitments by Unit
 Through 2/18/2014

Printed 02-18-2014

Business	\$5,427,250	\$4,368,276	\$1,457,305	\$997,755	-31.5%
Education	\$1,903,366	\$1,829,737	\$1,029,615	\$960,035	-6.8%
Engineering	\$2,055,855	\$8,915,416	\$6,639,623	\$9,647,206	45.3%
Fine Arts	\$4,789,527	\$3,785,719	\$3,262,072	\$857,363	-73.7%
Law	\$1,723,705	\$798,035	\$623,876	\$957,316	53.4%
Liberal Arts And Sciences	\$3,309,482	\$9,759,180	\$8,613,619	\$3,962,865	-54.0%
Nursing	\$408,126	\$762,692	\$166,463	\$197,179	18.5%
School Of Pharmacy	\$518,831	\$508,557	\$299,503	\$1,035,723	245.8%
Social Work	\$83,690	\$314,076	\$271,648	\$317,041	16.7%
Vice Provost For Graduate Education & Dean Of The Graduate School	\$9,950	\$1,050	\$1,050	\$1,030	-1.9%
Regional Campuses	\$284,286	\$210,167	\$71,066	\$86,684	22.0%
Avery Point Regional Campus	\$74,209	\$82,038	\$33,301	\$30,873	-7.3%
Hartford Regional Campus	\$4,590	\$5,298	\$4,800	\$4,993	4.0%
Stamford Regional Campus	\$175,359	\$100,957	\$24,841	\$41,901	68.7%
Torrington Regional Campus	\$6,269	\$7,236	\$4,604	\$4,988	8.3%
Waterbury Regional Campus	\$23,858	\$14,637	\$3,520	\$3,930	11.6%
Office Of Vice President For Student Affairs	\$250,532	\$227,833	\$100,937	\$93,898	-7.0%
Health Services	\$0	\$0	\$0	\$10,000	100.0%
Student Activities	\$50,437	\$112,932	\$64,463	\$48,782	-24.3%
Student Union	\$4,785	\$6,480	\$5,880	\$4,530	-23.0%
Vice President Of Student Affairs	\$195,310	\$108,421	\$30,594	\$30,586	0.0%
Health Affairs	\$8,488,525	\$5,986,746	\$3,255,218	\$4,454,043	36.8%
Dental Medicine	\$566,996	\$371,207	\$271,139	\$230,782	-14.9%
Executive Vice President Of Health Affairs	\$1,808,977	\$1,241,768	\$1,152,426	\$607,569	-47.3%
Foundation Fundraising Health Center	\$1,277,398	\$1,648,048	\$551,665	\$471,354	-14.6%
Medicine	\$4,835,154	\$2,725,723	\$1,279,988	\$3,144,337	145.7%

Summary of Commitments by Unit
 Through 2/18/2014

Printed 02-18-2014

Foundation	\$838,156	\$1,652,901	\$153,712	\$371,186	141.5%
Foundation Operations	\$838,156	\$1,652,901	\$153,712	\$371,186	141.5%
Other Entities	\$170,209	\$59,434	\$54,171	\$248,224	358.2%
Hillel	\$170,209	\$59,434	\$54,171	\$248,224	358.2%
Totals	\$59,722,194	\$64,398,492	\$39,100,409	\$30,592,720	-21.8%
Endowment and Similar	\$12,710,373	\$26,198,174	\$19,487,359	\$12,785,006	-34.4%
Other Current Operations	\$47,011,821	\$38,200,318	\$19,613,051	\$17,807,713	-9.2%

Summary of Commitments by Purpose
FYTD 2014 Through 2/18/2014

Printed 02-18-2014

Development Area Unit / Group	Capital Improvements	Faculty Support	Research	Program Support	Student Support	TOTAL	FYTD 2014 vs. FYTD 2013
University	\$1,565,942	\$0	\$0	\$1,966,915	\$1,676,265	\$5,209,123	-53.2%
Athletics	\$1,558,526	\$0	\$0	\$1,514,863	\$298,958	\$3,372,347	-53.9%
Economic Development	\$0	\$0	\$0	\$3,025	\$0	\$3,025	-39.5%
Enrollment Planning And Management	\$0	\$0	\$0	\$831	\$1,339,309	\$1,340,140	-57.9%
University	\$6,577	\$0	\$0	\$410,552	\$0	\$417,129	-26.9%
Department							
General - Includes Fund for UCONN	\$6,577	\$0	\$0	\$410,552	\$0	\$417,129	-26.9%
University Communications	\$800	\$0	\$0	\$37,645	\$37,999	\$76,444	37.5%
Vice President For Research	\$39	\$0	\$0	\$0	\$0	\$39	56.8%
Executive Vice President For Administration & Chief Financial Officer	\$0	\$0	\$0	\$150	\$0	\$150	-86.4%
Facilities Operation	\$0	\$0	\$0	\$0	\$0	\$0	-100.0%
Public Safety	\$0	\$0	\$0	\$150	\$0	\$150	50.0%
Provost & Executive Vice President For Academic Affairs	\$35,230	\$10,991	\$0	\$443,331	\$107,742	\$597,295	-58.2%
Center For Continuing Studies	\$0	\$0	\$0	\$3,239	\$3,317	\$6,556	4.0%
Provost & Executive Vice President For Academic Affairs	\$35,230	\$10,000	\$0	\$15,705	\$3,000	\$63,935	-92.4%
Undergraduate Education	\$0	\$70	\$0	\$41,347	\$2,542	\$43,959	-16.5%
University Information Technology Services	\$0	\$0	\$0	\$820	\$0	\$820	-32.8%
University Libraries	\$0	\$921	\$0	\$98,970	\$0	\$99,891	-81.1%
Vice Provost For Global Affairs	\$0	\$0	\$0	\$283,251	\$98,883	\$382,134	11642.2%
Schools & Colleges	\$54,962	\$2,604,877	\$1,529,112	\$8,052,836	\$7,290,330	\$19,532,117	-14.7%

Summary of Commitments by Purpose
FYTD 2014 Through 2/18/2014

Printed 02-18-2014

Agriculture And Natural Resources	\$45,968	\$150	\$65,688	\$399,260	\$87,538	\$598,604	11.5%
Business	\$0	\$20,190	\$0	\$682,692	\$294,873	\$997,755	-31.5%
Education	\$0	\$0	\$302,280	\$462,570	\$195,185	\$960,035	-6.8%
Engineering	\$1,950	\$2,292,000	\$0	\$3,354,249	\$3,999,007	\$9,647,206	45.3%
Fine Arts	\$1,400	\$0	\$0	\$802,536	\$53,427	\$857,363	-73.7%
Law	\$0	\$0	\$0	\$889,150	\$68,166	\$957,316	53.4%
Liberal Arts And Sciences	\$0	\$256,210	\$398,644	\$1,152,317	\$2,155,694	\$3,962,865	-54.0%
Nursing	\$5,644	\$0	\$15,000	\$71,993	\$104,541	\$197,179	18.5%
School Of Pharmacy	\$0	\$36,327	\$747,500	\$208,731	\$43,165	\$1,035,723	245.8%
Social Work	\$0	\$0	\$0	\$29,307	\$287,734	\$317,041	16.7%
Vice Provost For Graduate Education & Dean Of The Graduate School	\$0	\$0	\$0	\$30	\$1,000	\$1,030	-1.9%
Regional Campuses	\$0	\$0	\$0	\$50,896	\$35,789	\$86,684	22.0%
Avery Point Regional Campus	\$0	\$0	\$0	\$20,150	\$10,723	\$30,873	-7.3%
Hartford Regional Campus	\$0	\$0	\$0	\$993	\$4,000	\$4,993	4.0%
Stamford Regional Campus	\$0	\$0	\$0	\$22,731	\$19,170	\$41,901	68.7%
Torrington Regional Campus	\$0	\$0	\$0	\$3,092	\$1,895	\$4,988	8.3%
Waterbury Regional Campus	\$0	\$0	\$0	\$3,930	\$0	\$3,930	11.6%
Office Of Vice President For Student Affairs	\$0	\$0	\$10,000	\$54,521	\$29,377	\$93,898	-7.0%
Health Services	\$0	\$0	\$10,000	\$0	\$0	\$10,000	100.0%
Student Activities	\$0	\$0	\$0	\$36,654	\$12,128	\$48,782	-24.3%
Student Union	\$0	\$0	\$0	\$4,530	\$0	\$4,530	-23.0%
Vice President Of Student Affairs	\$0	\$0	\$0	\$13,337	\$17,249	\$30,586	0.0%
Health Affairs	\$17,385	\$10,888	\$1,931,076	\$2,379,867	\$114,827	\$4,454,043	36.8%
Dental Medicine	\$3,000	\$100	\$40,000	\$163,122	\$24,560	\$230,782	-14.9%

Summary of Commitments by Purpose

FYTD 2014 Through 2/18/2014

Printed 02-18-2014

Executive Vice President Of Health Affairs	\$400	\$200	\$0	\$595,223	\$11,746	\$607,569	-47.3%
Foundation Fundraising Health Center	\$0	\$0	\$0	\$471,354	\$0	\$471,354	-14.6%
Medicine	\$13,985	\$10,588	\$1,891,076	\$1,150,167	\$78,521	\$3,144,337	145.7%
Foundation	\$75,000	\$32,520	\$0	\$263,636	\$30	\$371,186	141.5%
Foundation Operations	\$75,000	\$32,520	\$0	\$263,636	\$30	\$371,186	141.5%
Other Entities	\$224,574	\$0	\$0	\$23,650	\$0	\$248,224	358.2%
Hillel	\$224,574	\$0	\$0	\$23,650	\$0	\$248,224	358.2%
Totals	\$1,973,094	\$2,659,275	\$3,470,188	\$13,235,802	\$9,254,361	\$30,592,720	-21.8%
Endowment and Similar	\$800	\$2,550,461	\$0	\$3,022,375	\$7,211,370	\$12,785,006	-34.4%
Other Current Operations	\$1,972,294	\$108,815	\$3,470,188	\$10,213,426	\$2,042,991	\$17,807,713	-9.2%

Summary of Commitments by Source

Through 2/18/2014

Printed 02-18-2014

Executive Level Unit	Individuals			Organizations			TOTAL	FYTD 2014 vs. FYTD 2013
	Alumni / Students	Parents	Other Individuals	Corporations	Foundations	Other Organizations		
University	\$1,653,304	\$159,977	\$2,066,620	\$1,030,243	\$229,994	\$58,628	\$5,209,123	-53.2%
Athletics	\$1,197,086	\$66,251	\$988,913	\$976,954	\$91,994	\$44,490	\$3,372,347	-53.9%
Economic Development	\$25	\$0	\$3,000	\$0	\$0	\$0	\$3,025	-39.5%
Enrollment Planning And Management	\$223,919	\$9,726	\$966,547	\$12,654	\$116,492	\$8,748	\$1,340,140	-57.9%
University	\$178,424	\$82,680	\$103,418	\$37,753	\$10,644	\$2,615	\$417,129	-26.9%
Department								
General - Includes Fund for UCONN	\$178,424	\$82,680	\$103,418	\$37,753	\$10,644	\$2,615	\$417,129	-26.9%
University Communications	\$53,850	\$1,320	\$4,703	\$2,882	\$10,864	\$2,775	\$76,444	37.5%
Vice President For Research	\$0	\$0	\$39	\$0	\$0	\$0	\$39	56.8%
Executive Vice President For Administration & Chief Financial Officer	\$0	\$150	\$0	\$0	\$0	\$0	\$150	-86.4%
Facilities Operation	\$0	\$0	\$0	\$0	\$0	\$0	\$0	-100.0%
Public Safety	\$0	\$150	\$0	\$0	\$0	\$0	\$150	50.0%
Provost & Executive Vice President For Academic Affairs	\$94,982	\$11,933	\$87,367	\$31,873	\$286,950	\$76,180	\$597,295	-58.2%
Center For Continuing Studies	\$4,396	\$25	\$2,135	\$0	\$0	\$0	\$6,556	4.0%
Provost & Executive Vice President For Academic Affairs	\$12,050	\$0	\$36,355	\$15,500	\$0	\$0	\$63,935	-92.4%
Undergraduate Education	\$29,283	\$3,871	\$720	\$8,035	\$1,850	\$0	\$43,959	-16.5%
University Information Technology Services	\$32	\$224	\$400	\$100	\$0	\$0	\$820	-32.8%
University Libraries	\$20,427	\$2,283	\$18,606	\$6,313	\$9,000	\$42,647	\$99,891	-81.1%
Vice Provost For Global Affairs	\$28,795	\$5,530	\$29,151	\$1,925	\$276,100	\$33,533	\$382,134	11642.2%
Schools & Colleges	\$3,578,725	\$80,445	\$3,778,652	\$9,064,687	\$936,191	\$1,992,343	\$19,532,117	-14.7%
Agriculture And Natural Resources	\$259,203	\$8,675	\$180,379	\$21,033	\$106,438	\$10,827	\$598,604	11.5%
Business	\$366,682	\$9,445	\$41,190	\$342,135	\$201,425	\$29,188	\$997,755	-31.5%

Summary of Commitments by Source
 Through 2/18/2014

Printed 02-18-2014

Education	\$132,925	\$7,935	\$164,580	\$322,664	\$186,170	\$144,650	\$960,035	-6.8%
Engineering	\$348,993	\$4,666	\$1,340,937	\$7,911,444	\$17,370	\$23,417	\$9,647,206	45.3%
Fine Arts	\$68,980	\$30,826	\$573,097	\$49,871	\$64,658	\$31,613	\$857,363	-73.7%
Law	\$403,522	\$1,100	\$434,548	\$58,694	\$27,000	\$31,545	\$957,316	53.4%
Liberal Arts And Sciences	\$1,744,168	\$11,527	\$713,273	\$203,313	\$262,650	\$996,903	\$3,962,865	-54.0%
Nursing	\$57,938	\$1,945	\$85,766	\$3,475	\$36,850	\$7,800	\$197,179	18.5%
School Of Pharmacy	\$127,805	\$2,485	\$8,585	\$148,008	\$31,520	\$716,275	\$1,035,723	245.8%
Social Work	\$68,510	\$1,810	\$235,297	\$4,050	\$2,110	\$125	\$317,041	16.7%
Vice Provost For Graduate Education & Dean Of The Graduate School	\$0	\$30	\$1,000	\$0	\$0	\$0	\$1,030	-1.9%
Regional Campuses	\$14,662	\$2,594	\$14,845	\$14,290	\$33,000	\$295	\$86,684	22.0%
Avery Point Regional Campus	\$5,528	\$770	\$10,925	\$1,165	\$11,000	\$190	\$30,873	-7.3%
Hartford Regional Campus	\$468	\$125	\$0	\$0	\$0	\$0	\$4,993	4.0%
Stamford Regional Campus	\$6,080	\$179	\$580	\$13,000	\$22,000	\$0	\$41,901	68.7%
Torrington Regional Campus	\$1,565	\$1,350	\$1,830	\$75	\$0	\$75	\$4,988	8.3%
Waterbury Regional Campus	\$1,021	\$170	\$1,510	\$50	\$0	\$30	\$3,930	11.6%
Office Of Vice President For Student Affairs	\$31,803	\$9,292	\$13,630	\$19,870	\$15,500	\$1,530	\$93,898	-7.0%
Health Services	\$0	\$0	\$0	\$0	\$10,000	\$0	\$10,000	100.0%
Student Activities	\$19,221	\$5,805	\$9,633	\$10,695	\$500	\$1,430	\$48,782	-24.3%
Student Union	\$3,980	\$0	\$500	\$0	\$0	\$0	\$4,530	-23.0%
Vice President Of Student Affairs	\$8,602	\$3,487	\$3,496	\$9,175	\$5,000	\$100	\$30,586	0.0%
Health Affairs	\$232,169	\$23,374	\$1,668,615	\$605,990	\$415,019	\$1,441,141	\$4,454,043	36.8%
Dental Medicine	\$61,739	\$250	\$14,905	\$25,620	\$34,000	\$41,375	\$230,782	-14.9%
Executive Vice President Of Health Affairs	\$14,322	\$5,644	\$491,906	\$35,729	\$42,164	\$11,437	\$607,569	-47.3%
Foundation Fundraising Health Center	\$22,686	\$3,037	\$90,794	\$309,703	\$36,470	\$6,757	\$471,354	-14.6%
Medicine	\$133,422	\$14,444	\$1,071,010	\$234,939	\$302,385	\$1,381,572	\$3,144,337	145.7%

Summary of Commitments by Source
Through 2/18/2014

Printed 02-18-2014

Foundation	\$212,078	\$2,086	\$13,197	\$27,820	\$25,600	\$88,815	\$371,186	141.5%
Foundation Operations	\$212,078	\$2,086	\$13,197	\$27,820	\$25,600	\$88,815	\$371,186	141.5%
Other Entities	\$26,025	\$11,723	\$36,918	\$1,618	\$169,500	\$1,580	\$248,224	358.2%
Hillel	\$26,025	\$11,723	\$36,918	\$1,618	\$169,500	\$1,580	\$248,224	358.2%
Totals	\$5,843,749	\$301,574	\$7,679,842	\$10,796,391	\$2,111,755	\$3,660,512	\$30,592,720	-21.8%
Endowment and Similar	\$2,529,281	\$44,619	\$3,580,183	\$5,390,968	\$150,625	\$1,053,155	\$12,785,006	-34.4%
Other Current Operations	\$3,314,468	\$256,954	\$4,099,659	\$5,405,423	\$1,961,130	\$2,607,357	\$17,807,713	-9.2%

INFORMATIONAL ITEMS

University of Connecticut Department of Human Resources
New Hires Processed from February 7, 2014 to March 7, 2014
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	DEPARTMENT	DATE
ANDALIBI, ALI	ASST VICE PRESIDENT	VICE PRES FOR RESEARCH	2/28/2014
CARON, ELIZABETH E.	UC PROFESSIONAL 06 - MEDIA PRODUCER I	UNIVERSITY COMMUNICATIONS	2/21/2014
CORLEY, DAVID A. JR	SPECIALIST IIA	ATHLETICS MENS INTERCOL	3/3/2014
FORTE, CHRISTOPHER M.	UC PROFESSIONAL 04 - COMP TECH SUP CONS 1	BUSINESS DEAN OFC	2/7/2014
FOURNIER, STACEE L.	UC PROFESSIONAL 04 - ADMIN SERV SPEC II	CENTRAL STORES	2/21/2014
GAGNON, PAUL	UC PROFESSIONAL 06 - CAREER CONSULTANT	CAREER DEVELOPMENT	2/22/2013
LINDEMANN, BRUCE A.	UC PROFESSIONAL 08 - INFO SYS ANALYST III	CONTROLLER OFC	2/7/2014
MAREK, NANCY A.	RESEARCH ASSISTANT I	DEPARTMENT OF EXTENSION	1/28/2014
PHAM, THINH	U POST DOC FEL 1	MATERIALS SCIENCE INST	2/27/2014
RAUDALES BANEGAS, ROSA E.	ASSISTANT PROFESSOR	PLANT SCI & LANDSCAPE ARC	2/7/2014
SMITH, TORONA O.	UC PROFESSIONAL 05 - FINANCIAL ASST II	STUDENT HEALTH SERVICES	2/21/2014
WADE, ELIZABETH J.	U POST DOC FEL 1	ECOLOGY & EVOL BIOLOGY	1/31/2014
ZHENG, YOUYOU	UC PROFESSIONAL 09 - INST RESEARCH SPEC	INST RESEARCH & EFFECTIVE	2/21/2014

University of Connecticut Department of Human Resources
Separations Processed from February 7, 2014 to March 7, 2014
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	SEPARATION REASON	DEPT	DATE
BHUNIA, GIRIJA S.	UC PROFESSIONAL 10 - COMP TECH SUP CONS 4	RESIGNATION (GOOD STANDING)	ITQM	2/7/2014
BOLDUK, MICHAEL F.	UC PROFESSIONAL 05 - EDUC MEDIA ASST	RESIGNATION (GOOD STANDING)	SU	2/26/2014
COOPER, NAN R.	UC PROFESSIONAL 08 - WRITER/EDITOR IV	RESIGNATION (GOOD STANDING)	ENGR	2/13/2014
JONES, ERNEST T.	SPECIALIST IIA - ASSISTANT COACH	RESIGNATION (GOOD STANDING)	ATH	2/14/2014
KANG, SANGWOOK	ASSISTANT PROFESSOR	RESIGNATION (GOOD STANDING)	STAT	2/28/2014
KENNEY, KRISTIN R.	SPECIALIST IA	RESIGNATION (GOOD STANDING)	ATH	2/28/2014
LAMB, KRISTEN M.	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	PHSCI	2/14/2014
LOKESH KUMAR, FNU	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	PHSCI	2/9/2014
MARTIN, ROBERT A. JR	UC PROFESSIONAL 05 - ADMISSIONS OFFICER	RESIGNATION (GOOD STANDING)	ADMISS	3/4/2014
MILVAE, DEBORAH B.	UC PROFESSIONAL 07 - BUSINESS MANAGER II	RETIREMENT (25+ YRS SERVICE)	PHARM	2/28/2014
MIRANDO, ERIN C.	UC PROFESSIONAL 05 - ADMISSIONS OFFICER	RESIGNATION (GOOD STANDING)	ADMISS	2/7/2014
NGUNJIRI, JOHN M.	U POST DOC FEL 1	RESIGNATION (GOOD STANDING)	MCB	2/28/2014
NIU, XIAOBIN	ASST RESEARCH PROF	RESIGNATION (GOOD STANDING)	ENERGY	2/21/2014
QIAN, SHU	RESEARCH ASSISTANT 2	RESIGNATION (GOOD STANDING)	PNB	2/20/2014
ROSMAN, ANDREW J.	PROFESSOR - DIRECTOR	RESIGNATION (GOOD STANDING)	ACCT	8/30/2013
SCHEUING, JEFFREY R.	UC PROFESSIONAL 07 - BUDGET ANALYST II	RESIGNATION (GOOD STANDING)	BUDGET	2/14/2014
YATES, STEPHEN C.	SPECIALIST IIIA - ASSISTANT COACH	RESIGNATION (GOOD STANDING)	ATH	2/7/2014

University of Connecticut Department of Human Resources
Leaves of Absence Processed through 3/11/14
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	DEPARTMENT	DATES	REASON FOR LEAVE
Allison, Edith	Oper. Syst. Prog./Analyst IV (UCP X)	University Security	12/20/13 - 1/3/14	Medical leave with pay
Barry, Tina	Financial Asst. II (UCPV)	Mechanical Engineering	9/20/13 - 9/20/13	Medical leave with pay
Borden, Patricia	Asst. to Director (UCP VII)	Waterbury Director's Office	1/10/14 - 1/17/14	Medical leave with pay
Daleb, Daniel	Sr. Machine Shop Engineer (UCP VIII)	Chemistry	10/9/13 - 11/11/13	Medical leave with pay
Deschenes, Ellen	Oper. Syst. Prog./Analyst III (UCP X)	University Security	2/3/14 - 2/7/14	Medical leave with pay
Dobrynin, Andrey	Professor	Department of Physics	1/14/14 - 1/13/15	IPA with Natl. Science Found. with pay
Drabek, Hilda	Univ. Library Asst. II (UCP V)	Library Vice Provost Office	1/14/14 - 1/17/14	Medical leave with pay
Forte, Velda	Residence Hall Director (UCP V)	Residential Life Office	9/5/13 - 10/11/13	Medical leave with pay
Joo, Kyungseon	Professor	Department of Physics	3/4/14 - 3/3/15	IPA with Dept. of Energy with pay
Komal, Patel	Research Assistant II	Physiology & Neurobiology	12/25/13 - 2/6/14	Maternity Leave with pay
Lemay, Eileen	Admin. Service Asst. IV (UCP VI)	Waterbury Director's Office	2/24/14, 2/21/14	Medical leave with pay
Wilson, Richard	Professor	School of Law	9/1/14 - 6/1/15	Inst. Adv. Study at Princeton with pay

COMMITTEE AGENDAS

**University of Connecticut Board of Trustees
Institutional Advancement Committee**

*Tuesday, March 11, 2014
2:00 p.m.*

1. Acceptance of the Minutes from February 11, 2014 Ms. Gandara
Attachment 1
2. Future IA Committee Meeting Schedule Discussion Ms. Gandara
3. Development Report Mr. Newton
Attachment 2
4. Naming Recommendations Mr. Newton
Attachment 3

Academic:

College of Liberal Arts and Sciences

- James Barnett Professorship in Humanistic Anthropology

School of Engineering

- United Technologies Corporation Chair for Advanced Systems Engineering

School of Engineering

- United Technologies Corporation Professorship for Advanced Systems Engineering

Facilities:

School of Engineering

- United Technologies Corporation Institute for Advanced Systems Engineering

Basketball Champions Center

- Named Gift Opportunities for the Basketball Champions Center

5. University Communications Update..... Mr. Kendig

Academic Affairs

AGENDA
Board of Trustees
ACADEMIC AFFAIRS COMMITTEE
Wednesday, March 26, 2014
Rome Commons Ballroom
Storrs, CT
9:00 a.m. – 9:15 a.m.

	<u>COMMITTEE</u>	<u>ATTACHMENT</u>
1) Minutes of the Academic Affairs Committee Meeting of February 26, 2014, as circulated	A	

ACTION ITEMS:

2) Recommendation for Designation as Board of Trustees Distinguished Professors, Academic Year 2013-2014	2
3) Tenure at Hire	3
4) Sabbatical Leave Recommendations	4
5) Establishment of the James Barnett Professorship in Humanistic Anthropology in the College of Liberal Arts and Sciences	5
6) Establishment of the United Technologies Corporation Chair for Advanced Systems Engineering	6
7) Establishment of the United Technologies Corporation Professorship for Advanced Systems Engineering	7
8) Establishment of the United Technologies Corporation Institute for Advanced Systems Engineering	8
9) Professional Master's Degree in Biostatistics in the College of Liberals Arts and Sciences	9
10) Graduate Certificate in Leadership and Diversity Management in Sport	10
11) Policy on Scholarly Integrity in Graduate Education and Research	11

INFORMATIONAL ITEMS:

12) Renaming the Undergraduate Major Business and Technology to Business Data Analytics in the School of Business	12
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EXECUTIVE SESSION (*As Needed*)

ATTACHMENT A

MINUTES
MEETING OF THE ACADEMIC AFFAIRS COMMITTEE
February 26, 2014

Trustees: Bailey, Barham, Bessette, Cantor, Carbray, Dennis-LaVigne, James, Kruger, Lobo, McHugh, Ritter

Staff: Bansal, Bedard, Bray, Carone, Chiaputti, Choi, Cobb, Cruickshank, Donahue, English, Fazio, Fearney, Fisher, Gafford, Gelston, Geoghegan, Gilbert, Gray, Herbst, Holsinger, Jednak, Kazerounian, Kendig, Kirk, Korbel, Larson, Locke, Locust, Mundrane, O'Connor, Ogbar, Orr, Patel, Reis, Rubin, Seemann, Silbart, Simsek, Spencer, Teal, Torti, Weiner, Wetstone, Wilder, Wohl, Wynn

Committee Chairwoman Dennis-LaVigne convened the meeting at 9:00 a.m. at the University of Connecticut, Rome Commons Ballroom, Storrs Campus. On a motion by Trustee Carbray, seconded by Trustee Barham, the minutes of the January 29, 2014, meeting were approved as circulated.

Provost Choi introduced ***Action Item #2, Sabbatical Leave Recommendations***. Moved by Trustee Carbray, seconded by Trustee Bailey, the Committee recommended approval of all sabbatical leave recommendations to the full Board.

Provost Choi introduced ***Action Item #3, Systems Engineering Graduate Certificate – Controlled Systems, Action Item #4, Systems Engineering Graduate Certificate – Embedded Systems, and Action Item #5, Systems Engineering Graduate Certificate – System Design***. Provost Choi acknowledged UTC's generous contribution of \$10 million, which made these programs possible. The graduate certificates will train students in the use of sensors and modeling for optimal performance, which can be used from aircraft engines to city infrastructure. These programs are closely aligned to *Next Generation Connecticut* and the *UConn Tech Park*. Provost Choi introduced Dr. Kazem Kazerounian, Dean of the School of Engineering, who commented on the excitement of these programs. Moved by Trustee Lobo, seconded by Trustee James, the Committee recommended approval of the three Systems Engineering Graduate Certificates to the full Board.

Provost Choi introduced ***Informational Item #6, Renaming the Undergraduate Major African American Studies to Africana Studies in the College of Liberal Arts & Sciences***. Provost Choi introduced Dr. William Jelani Cobb, Associate Professor of History and Director of the Africana Studies Institute, who gave a brief presentation to the Committee, outlining the shift from African American Studies toward Africana Studies, which takes as its central mission the study of peoples of African Descent on the continent and in the diaspora. The Africana Studies major seeks a nuanced and interdisciplinary understanding of human experience.

Provost Choi introduced ***Informational Item #7, Centers and Institutes***. Updates were provided on the renewal of the Bioinformatics & Biocomputing Institute (BIBCI), the Connecticut Institute of Water Resources (CTIWR), the Center for Land Use Education & Research (CLEAR), the discontinuation of the Center for Health Communication & Marketing, and the renaming of the African American Studies Institute to the Africana Studies Institute.

Committee Chairwoman Dennis-LaVigne adjourned the meeting at 9:08 a.m.

Respectfully submitted,

Brandon L. Murray
Committee Secretary

Financial Affairs

ATTACHMENT <u>COMMITTEE</u>	LOCATION <u>FULL BOARD</u>
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- A

1

<i>STORRS BASED PROGRAMS</i>		<i><u>Phase</u></i>	<i><u>Budget</u></i>	<i><u>Tab</u></i>
3)	Avery Point – Building 21/23 Demolition	Planning	\$10,000,000	13
4)	Engineering Building – Engineering & Science Building	Revised Planning	\$92,500,000	14
5)	Technology Quadrant Phase III – Innovation Partnership Building	Revised Planning	\$162,300,000	15
6)	Residential Life Facilities – South Campus Envelope – Gable Repairs	Revised Final	\$3,000,000	16
<i>HEALTH CENTER</i>				
7)	UCHC Demolition – Dowling North and Dowling South	Planning	\$1,070,000	17
8)	UCHC Anechoic Chamber Relocation	Final	\$598,000	18

(Under Separate Cover)

Page 1 of 1

ATTACHMENT A

MINUTES
MEETING OF THE FINANCIAL AFFAIRS COMMITTEE
February 26, 2014

TRUSTEES PRESENT: Louise Bailey, Rose Barham, Charles Bunnell (*via Telephone*), Andy Bessette, Shari Cantor, Sandy Cloud (*via Telephone*), Richard Carbray, Michael Daniels, Andrea Dennis-LaVigne, Marilda Gandara (*via Telephone*), Juanita James, Thomas Kruger, Rebecca Lobo, Larry McHugh, Steven Reviczky and Thomas Ritter

STAFF PRESENT: John Biancamano, Holly Bray, Thomas Callahan, Debbie Carone, Cheryl Chiaputti, Mun Choi, Laura Cruickshank, Amy Donahue, Kristi Gafford, Nicole Gelston, Michael Gilbert, Richard Gray, Susan Herbst, Kent Holsinger, Michael Jednak, Tysen Kendig, Michael Kirk, Matthew Larson, Wayne Locust, Michael Mundrane, Brandon Murray, Barbara O'Connor, Jeffrey Ogbar, Richard Orr, Bhupen Patel, Sally Reis, Stephanie Reitz, Rachel Rubin, Jeffrey Seeman, Lawrence Silbart, Eli Strassfeld, Lysa Teal, Frank Torti, Dana Wilder and Reka Wrynn

UNIVERSITY SENATE MEMBERS PRESENT:
Rajeev Bansal, Gary English, Donna Korbel and Zeki Simsek

Committee Chairman Thomas Kruger convened the meeting of the Financial Affairs Committee at 9:16 a.m. in the Lewis B. Rome Commons Ballroom on the University of Connecticut campus in Storrs, Connecticut.

Mr. Kruger directed the committee to a revised agenda; which was revised to add Revised Contracts & Agreements which were amended to add a contract with East River Energy, Inc. to provide heating fuel for the Central Utility Plant/Co-Generation facility; and to remove a contract with Indiana University as the University is not yet ready to execute this agreement. On a motion by Trustee Cantor and seconded by Trustee Bessette the revised agenda was accepted as circulated.

Mr. Kruger directed the committee to agenda *Item #1, Approval of the Minutes of the Financial Affairs Committee Meeting of January 29, 2014*. On a motion by Trustee Cantor and seconded by Trustee Bessette the minutes were approved as circulated.

Matthew Larson, Director of Procurement provided additional information on the contracts presented for approval in agenda *Item #2, Contracts and Agreements for Approval – Revised*. On a motion by Trustee Bessette and seconded by Trustee Carbray the item was recommended to the full Board for approval.

Michael Gilbert, Vice President for Student Affairs, provided a PowerPoint presentation regarding Student Fee Proposals. The presentation centered on the General University Fee (GUF) proposal and the Student Transit Fee proposal. He explained that a process is in place to allow for student input on the fee proposals. Trustees Daniels and Barham indicated their comfort with the process for student input in the fees.

On motions by Trustee Cantor and seconded by Trustee Bessette the following items were recommended to the full Board for approval: agenda ***Item #4. Fiscal Year 2015 Institutional Fees for the University of Connecticut, Storrs and Regional Campuses***; agenda ***Item #5. Fiscal Year 2015 Academic Materials Fees for the University of Connecticut, Storrs and Regional Campuses***; and agenda ***Item #6. Fiscal Year 2015 Student Activity and Service Fees for the University of Connecticut, Storrs and Regional Campuses***.

Richard Gray, Executive Vice President for Administration and Chief Financial Officer, provided a brief summary of the bond allocations presented for Board approval. On a motion by Trustee Cantor and seconded by Trustee Bessette agenda ***Item #7. Revised Allocation of Bond Authorizations as set forth in the Fifteenth Supplemental Indenture (University of Connecticut General Obligation Bonds)*** and agenda ***Item #8. Revised Allocation of Bond Authorizations as set forth in the Nineteenth Supplemental Indenture (University of Connecticut General Obligation Bonds)*** were recommended to the full Board for approval.

Laura Cruickshank, University Master Planner and Chief Architect, provided information on the project budgets for Storrs based programs presented for approval which included: ***Arjona and Monteith – Monteith Renovations (Revised Planning: \$25.0 million)***; ***Main Water Line Replacement – Phase I (Revised Planning: \$7.0 million)***; ***Master Plan 2014 Development (Revised Planning: \$3.0 million)***; ***Replace Gampel Cooling Towers (Revised Planning: \$2.5 million)***; ***Residential Life Facilities – Science, Technology, Engineering and Math (STEM) Residence Hall (Revised Planning: \$5.0 million)***; ***Ecology and Evolutionary Biology Greenhouse Renovations (Design: \$1.0 million)***; ***Fine Arts Gateway and Pedestrian Access (Design: \$1.5 million)***; ***North Hillside Road Completion (Final: \$21.2 million)***; and ***UCONN 2000 Code Remediation: Wilbur Cross Building (Revised Final: \$1.3 million)***. On a motion by Trustee Cantor and seconded by Trustee Bessette the items were recommended to the full Board for approval.

Mr. Gray directed the committee to the agenda items presented for their information: ***Contracts and Agreements for Information; Construction Project Status Report; and Financial Statements for Storrs and the Health Center***.

Trustee Kruger adjourned the meeting at 9:45 a.m. on a motion by Trustee Cantor and seconded by Trustee Bessette.

Respectfully submitted,

Debbie L. Carone

Debbie L. Carone,
Secretary to the Committee