

12-11-2013

## Agenda and Attachments, 2013 December 11

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*Board of*



TRUSTEES

VOL. 133 DECEMBER 11, 2013

**MEETING OF THE BOARD OF TRUSTEES  
UNIVERSITY OF CONNECTICUT**

**AGENDA**

University of Connecticut  
Rome Commons Ballroom  
South Campus Complex  
Storrs, Connecticut

December 11, 2013

**BOARD OF TRUSTEES SCHEDULE OF THE DAY**

8:30 a.m.	Committee on Compensation Special Meeting (Portico)
9:00 a.m.	Academic Affairs Committee Meeting
9:15 a.m.	Financial Affairs Committee Meeting
9:30 a.m.	Board of Trustees Meeting

**BOARD MEETING AGENDA**

Call to order at **9:30 a.m.**

1. Public Participation
2. Chairman's Report
  - (a) Matters outstanding
  - (b) Minutes of the meeting of November 13, 2013
  - (c) Consent Agenda Items:
    - (1) Contracts and Agreements for the Storrs-based Programs (Attachment 1)
    - (2) Tenure at Hire (Attachment 2)
    - (3) Sabbatical Leave Recommendations (Attachment 3)
    - (4) Advanced Certificate and Bridge Program in Accounting (Attachment 4)
    - (5) Graduate Certificate in Arts Administration (Attachment 5)
3. President's Report
4. Academic Affairs Committee Report
  - (a) Report on Committee activities
  - (b) Informational item:
    - (1) Centers and Institutes (Attachment 6)
5. Financial Affairs Committee Report
  - (a) Report on Committee activities
  - (b) Items requiring Board discussion and approval:
    - (1) Contract between University of Connecticut and Connecticut Water Company (Separate Cover)
    - (2) Project Budget (Final) for Beach Hall Renovations – Molecular Cell Biology Laboratory for New Faculty (Attachment 7)

- (3) Project Budget (Final) for Gant Building Renovations – Data Center Stabilization (Attachment 8)
  - (4) Project Budget (Final) for the New Faculty Renovations – George C. White Building, Animal Science Lab (Attachment 9)
- 6. Health Center Report
  - (a) Report on Health Center activities
- 7. Joint Audit and Compliance Committee Report
  - (a) Report on Committee activities
- 8. Buildings, Grounds and Environment Committee Report
  - (a) Report on Committee activities
- 9. Construction Management Oversight Committee Report
  - (a) Report on Committee activities
- 10. Student Life Committee Report
  - (a) Report of Committee activities
- 11. Institutional Advancement Committee Report
  - (a) Report on Committee activities
  - (b) Informational items:
    - (1) Development Progress Executive Summaries (Attachment 10)
- 12. Committee on Compensation Report
  - (a) Report on Committee activities
- 13. Other business
- 14. Executive Session anticipated.
- 15. Adjournment

**PLEASE NOTE:** *If you are an individual with a disability and require accommodations, please call the Board of Trustees Office at (860) 486-2333 prior to the meeting.*

## **ATTACHMENT 1**

**CONTRACTS AND AGREEMENTS  
FOR APPROVAL  
December 11, 2013**

PROCUREMENT - AMENDMENTS										
<b>FINANCIAL SYSTEM CONSULTING</b>										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 11/15/13	Expenditures FY 13	Expenditures FY 12	Purpose
1	The rSmart Group, Inc. (d/b/a rSmart)	PG082510	\$0 [Contract Value Previously \$10,064,983; Contract Value Remains the Same]	01/03/11-12/31/14	Multiple Sources	Richard Gray, Executive Vice President for Administration and Chief Financial Officer	\$8,155,935	\$1,358,370	\$3,939,932	Implementation of the University's Kuali Financial System (KFS). <b>Amend to align scope of services with current University needs.</b> Zero (0) extensions remaining.
<b>ON-CALL TRADE TELECOMMUNICATIONS</b>										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 11/15/13	Expenditures FY 13	Expenditures FY 12	Purpose
1	568Systems, Inc.	159-1/1.5/02/28/14	\$0 [Contract Value Previously \$1,500,000; Contract Value Remains the Same]	03/01/11-02/28/15	Multiple Sources	Matthew Larson, Director of Procurement Services	\$202,609	\$28,999	\$138,134	On-Call Contract for telecommunications wiring services for projects on all University campuses, including UCHC. Engagements will be as needed, on a project by project basis. <b>Amend to extend term by one (1) year, to 02/28/15. Amend to streamline use of contract for projects valued at less than \$50,000.</b> Zero (0) options to extend.
2	A/Z Corporation	159-2/1.5/02/28/14	\$0 [Contract Value Previously \$1,500,000; Contract Value Remains the Same]	03/01/11-02/28/15	Multiple Sources	Matthew Larson, Director of Procurement Services	\$72,374	\$22,049	\$25,500	On-Call Contract for telecommunications wiring services for projects on all University campuses, including UCHC. Engagements will be as needed, on a project by project basis. <b>Amend to extend term by one (1) year, to 02/28/15. Amend to streamline use of contract for projects valued at less than \$50,000.</b> Zero (0) options to extend.
3	ComNet Communications, LLC	159-3/1.5/02/28/14	\$0 [Contract Value Previously \$1,500,000; Contract Value Remains the Same]	03/01/11-02/28/15	Multiple Sources	Matthew Larson, Director of Procurement Services	\$634,556	\$20,920	\$419,756	On-Call Contract for telecommunications wiring services for projects on all University campuses, including UCHC. Engagements will be as needed, on a project by project basis. <b>Amend to extend term by one (1) year, to 02/28/15. Amend to streamline use of contract for projects valued at less than \$50,000.</b> Zero (0) options to extend.
4	Fibre Optic Plus, Inc.	159-4/1.5/02/28/14	\$0 [Contract Value Previously \$1,500,000; Contract Value Remains the Same]	03/01/11-02/28/15	Multiple Sources	Matthew Larson, Director of Procurement Services	\$215,591	\$169,932	\$25,203	On-Call Contract for telecommunications wiring services for projects on all University campuses, including UCHC. Engagements will be as needed, on a project by project basis. <b>Amend to extend term by one (1) year, to 02/28/15. Amend to streamline use of contract for projects valued at less than \$50,000.</b> Zero (0) options to extend.
5	Mercury Cabling Systems, LLC	159-5/1.5/02/28/14	\$0 [Contract Value Previously \$1,500,000; Contract Value Remains the Same]	03/01/11-02/28/15	Multiple Sources	Matthew Larson, Director of Procurement Services	\$181,324	\$54,773	\$80,402	On-Call Contract for telecommunications wiring services for projects on all University campuses, including UCHC. Engagements will be as needed, on a project by project basis. <b>Amend to extend term by one (1) year, to 02/28/15. Amend to streamline use of contract for projects valued at less than \$50,000.</b> Zero (0) options to extend.

**CONTRACTS AND AGREEMENTS  
FOR APPROVAL  
December 11, 2013**

ON-CALL TRADE TELECOMMUNICATIONS (continued)										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 11/15/13	Expenditures FY 13	Expenditures FY 12	Purpose
6	Northeastern Communications & Electrical, LLC	159-6/1.5/02/28/14	\$0 [Contract Value Previously \$1,500,000; Contract Value Remains the Same]	03/01/11-02/28/15	Multiple Sources	Matthew Larson, Director of Procurement Services	\$106,468	\$24,085	\$3,834	On-Call Contract for telecommunications wiring services for projects on all University campuses, including UCHC. Engagements will be as needed, on a project by project basis. <b>Amend to extend term by one (1) year, to 02/28/15. Amend to streamline use of contract for projects valued at less than \$50,000.</b> Zero (0) options to extend.
7	Network Installation Services, Inc.	159-7/1.5/02/28/14	\$0 [Contract Value Previously \$1,500,000; Contract Value Remains the Same]	03/01/11-02/28/15	Multiple Sources	Matthew Larson, Director of Procurement Services	\$277,286	\$185,934	\$23,500	On-Call Contract for telecommunications wiring services for projects on all University campuses, including UCHC. Engagements will be as needed, on a project by project basis. <b>Amend to extend term by one (1) year, to 02/28/15. Amend to streamline use of contract for projects valued at less than \$50,000.</b> Zero (0) options to extend.
8	ValCor Communications Corp.	159-8/1.5/02/28/14	\$0 [Contract Value Previously \$1,500,000; Contract Value Remains the Same]	03/01/11-02/28/15	Multiple Sources	Matthew Larson, Director of Procurement Services	\$34,583	\$6,417	\$10,771	On-Call Contract for telecommunications wiring services for projects on all University campuses, including UCHC. Engagements will be as needed, on a project by project basis. <b>Amend to extend term by one (1) year, to 02/28/15. Amend to streamline use of contract for projects valued at less than \$50,000.</b> Zero (0) options to extend.

**SOFTWARE, HOSTING AND PROFESSIONAL SERVICES**

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 11/15/13	Expenditures FY 13	Expenditures FY 12	Purpose
1	Information Builders, Inc. (a/k/a IBI, Inc.)	PO16643	\$3,600,000 [Contract Value Previously \$3,200,000; Total New Contract Value \$6,800,000]	07/01/05-06/30/17	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Officer	\$2,382,769	\$563,529	\$470,277	WebFocus software and professional services for all University campuses, other than UCHC. WebFocus provides data storage, reporting, extraction and analysis. <b>Amend to increase contract value by \$3,600,000, for a total new contract value of \$6,800,000. Amend to extend term by one (1) year, through 06/30/17.</b> Zero (0) options to extend.

**LEASES**

**UNIVERSITY AS LESSOR**

No.	Lessee	Annual Amount Receivable	Term	Fund Source	Program Director	Purpose
1	CAMRAC, Inc., d/b/a Enterprise Rent-A-Car	\$6,660	02/01/14-01/31/15	Operating Fund - General	William Wendt, Director - Logistics Administration	Renewal of lease of approximately 150 square feet of office space in Room 112 West side, of the building known as the Central Warehouse, within the office of Parking and Transportation Services, 3 North Hillside Road, Storrs, CT for the purpose of providing on-site rental of motor vehicles to University employees, sanctioned student activity groups/clubs and affiliates for official University business and no other purpose. Rent will be \$555 per month and the renewal is for a one-year term. Included in lease are 10 parking spaces in University F lot reserved exclusively for rental vehicles.

## **ATTACHMENT 2**



**TENURE RECOMMENDATIONS  
UNIVERSITY OF CONNECTICUT  
PRESENTED TO THE BOARD OF TRUSTEES – December 11, 2013**

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**Effective January 1, 2014:**

**COLLEGE OF LIBERAL ARTS & SCIENCES**

**TENURE AS PROFESSOR**

Pamela Diggle

Ecology & Evolutionary Biology

Nora Berrah

Physics

Alan Wuosmaa

Physics

## **ATTACHMENT 3**

University of Connecticut Office of the Provost  
Sabbatical Leave Recommendations Requiring Board of Trustees Approval  
December 11, 2013 Board of Trustees Meeting

**CHANGES TO PREVIOUSLY APPROVED SABBATICAL LEAVES:**

<b><u>NAME</u></b>	<b><u>TITLE</u></b>	<b><u>DEPARTMENT</u></b>	<b><u>SCHOOL/COLLEGE</u></b>	<b><u>PAY</u></b>	<b><u>PERIOD</u></b>
Benson, David R.	Professor	Molecular & Cell Biology	College of Liberal Arts & Sciences	Full	Fall 2013 (Postponed) Fall 2014
Bhattacharjee, Sudip	Associate Professor	Operations and Information Management	School of Business	Full	Fall 2013
				Half	2013-2014 AY
Maresh, Carl M.	Distinguished Professor	Kinesiology	Neag School of Education	Full	Spring 2014 - Fall 2014
				Full	2014-2015 AY

**SABBATICAL LEAVE REQUESTS:**

**Semester at Full Pay**

<b><u>NAME</u></b>	<b><u>TITLE</u></b>	<b><u>DEPARTMENT</u></b>	<b><u>SCHOOL/COLLEGE</u></b>	<b><u>PAY</u></b>	<b><u>PERIOD</u></b>
Alexandrescu, Andrei T.	Professor	Molecular & Cell Biology	College of Liberal Arts & Sciences	Full	Fall 2014
Bellizzi, Keith	Associate Professor	Human Development & Family Studies	College of Liberal Arts & Sciences	Full	Fall 2014
Boyer, Mark	Distinguished Professor	Political Science	College of Liberal Arts & Sciences	Full	Fall 2014
Brown, Edna	Associate Professor	Human Development & Family Studies	College of Liberal Arts & Sciences	Full	Fall 2014
Casa, Douglas J.	Professor	Kinesiology	Neag School of Education	Full	Spring 2015
Cole, James L.	Professor	Molecular & Cell Biology	College of Liberal Arts & Sciences	Full	Fall 2014
Cooke, Thomas	Professor	Geography	College of Liberal Arts & Sciences	Full	Fall 2014
Dancy, Deborah	Professor	Art & Art History	School of Fine Arts	Full	Fall 2014
Gai, Moshe	Professor	Physics	College of Liberal Arts & Sciences	Full	Fall 2014

<b><u>NAME</u></b>	<b><u>TITLE</u></b>	<b><u>DEPARTMENT</u></b>	<b><u>SCHOOL/COLLEGE</u></b>	<b><u>PAY</u></b>	<b><u>PERIOD</u></b>
Les, Donald H.	Professor	Ecology & Evolutionary Biology	College of Liberal Arts & Sciences	Full	Fall 2014
Leykekhman, Dmitriy	Associate Professor	Mathematics	College of Liberal Arts & Sciences	Full	Fall 2014
Lin, Yao	Assistant Professor	Chemistry	College of Liberal Arts & Sciences	Full	Fall 2014
Miniutii, Peter	Associate Professor	Plant Science & Landscape Architecture	College of Agriculture & Natural Resources	Full	Spring 2015
Nowak, Kristine	Associate Professor	Communication	College of Liberal Arts & Sciences	Full	Spring 2015
Papke, R. Thane	Associate Professor	Molecular & Cell Biology	College of Liberal Arts & Sciences	Full	Fall 2014
Pozdnyakov, Vladimir	Associate Professor	Statistics	College of Liberal Arts & Sciences	Full	Fall 2014
Sanetti, Lisa	Associate Professor	Educational Psychology	Neag School of Education	Full	Fall 2014
Schiffler, Ralf	Associate Professor	Mathematics	College of Liberal Arts & Sciences	Full	Fall 2014
Seth, Anji	Associate Professor	Geography	College of Liberal Arts & Sciences	Full	Spring 2015
Simien, Evelyn M.	Associate Professor	Political Science	College of Liberal Arts & Sciences	Full	Fall 2014
Simon, Chris	Professor	Ecology & Evolutionary Biology	College of Liberal Arts & Sciences	Full	Fall 2014
Teschke, Carolyn	Professor	Molecular & Cell Biology	College of Liberal Arts & Sciences	Full	Fall 2014
Urban, Mark	Assistant Professor	Ecology & Evolutionary Biology	College of Liberal Arts & Sciences	Full	Fall 2014
Visscher, Pieter T.	Professor	Marine Sciences	College of Liberal Arts & Sciences	Full	Fall 2014
Zhang, Chuanrong	Associate Professor	Geography	College of Liberal Arts & Sciences	Full	Fall 2014
<b><u>Academic Year at Half Pay</u></b>					
<b><u>NAME</u></b>	<b><u>TITLE</u></b>	<b><u>DEPARTMENT</u></b>	<b><u>SCHOOL/COLLEGE</u></b>	<b><u>PAY</u></b>	<b><u>PERIOD</u></b>
Seery, Thomas	Associate Professor	Chemistry	College of Liberal Arts & Sciences	Half	2014-2015 AY

<b><u>NAME</u></b>	<b><u>TITLE</u></b>	<b><u>DEPARTMENT</u></b>	<b><u>SCHOOL/COLLEGE</u></b>	<b><u>PAY</u></b>	<b><u>PERIOD</u></b>
Singer, Matthew M.	Assistant Professor	Political Sciecne	College of Liberal Arts & Sciences	Half	2014-2015 AY
Snyder, Leslie	Professor	Communication	College of Liberal Arts & Sciences	Half	2014-2015 AY

## **ATTACHMENT 4**

December 11, 2013

TO: Members of the Board of Trustees  
FROM: Mun Y. Choi, Provost  
RE: Advanced Certificate and Bridge Program in Accounting

RECOMMENDATION:

That the Board of Trustees approve the Advanced Certificate and Bridge Program in Accounting.

BACKGROUND:

The online Advanced Certificate and Bridge Program in Accounting (ACBP), a Graduate Certificate, is designed for non-accounting college graduates interested in obtaining a certificate in accounting. The ACBP has four graduate courses covering financial reporting, assurance services, and taxation. Applicants must have previously completed two introductory accounting courses taken by all business majors.

Target applicants are working professionals with business degrees who want additional accounting knowledge. In particular, the ACBP will attract potential MS in Accounting (MSA) applicants who are not accounting majors and, thus, do not have the prerequisite accounting courses.

It is anticipated that the program will have approximately 100 enrollments per year. It is expected that the revenue generated by program enrollments will cover expenses incurred. Enrollment will be reserved for students matriculated in the graduate certificate program, but seats will be set aside for other graduate students on a space available basis.

Students will earn 12 graduate credits through four required courses. The typical student will be able to complete all requirements for the certificate in one year.

**CONSENT CALENDAR**

Item: Advanced Certificate and Bridge Program in Accounting

**Background and Description**

The Advanced Certificate and Bridge Program (ACBP) is an online program designed for non-accounting college graduates interested in obtaining a certificate in accounting. The ACBP has four graduate courses covering financial reporting, assurance services, and taxation. Applicants must have already completed two introductory accounting courses taken by all business majors.

**Reasons for the Proposed Program**

Target applicants are working professionals with business degrees who want additional accounting knowledge. In particular, the ACBP will attract potential MS in Accounting (MSA) applicants who are not accounting majors and, thus, do not have the prerequisite accounting courses. Currently, these potential applicants must take the 8 required undergraduate courses as well as the 10 required MSA courses, thus completing 18 courses. These applicants must take the required accounting courses at another university before applying to the MSA Program, because UConn does not offer online undergraduate accounting courses. More importantly, we cannot ensure the quality of the prerequisite courses taken at other schools. The ACBP program offers an attractive bridge into the MSA program for a non-business undergraduate major who will take 2 introductory accounting courses, 4 ABCP courses, and 8 MSA courses, reducing the courses from 18 to 14; 2 of the ACBP courses will count towards the 10-course MSA requirement.

**Curriculum & Program Outline**

The ACBP includes 4 courses that cover the common body of accounting knowledge:

1. **5122 Financial Reporting I:** includes intermediate I and part of intermediate II.  
**Course description:** An intensive study of financial accounting theory and practical applications as related to the preparation and analysis of financial statements, particularly focusing on assets, liabilities, owners' equity and income determination.
2. **5124 Financial Reporting II:** includes the balance of intermediate II and advanced accounting.  
**Course description:** Continuation of an intensive study of financial accounting theory and practical applications as it relates to the preparation and analysis of financial statements, particularly focusing on pensions, bonds, leases and intangibles. Also covers accounting for consolidations, intercompany transfers and multinational accounting.
3. **5243 Assurance Services**  
**Course description:** An in-depth study of issues relevant to the public accounting profession, including legal liability and ethics, audit risk analysis, planning of audit engagements, auditor reports and other assurance services and reports. Students will learn to think critically about issues facing the accounting profession primarily by analyzing cases and completing a number of individual and group research projects.
4. **5260 Federal Income Taxes**



**Course description:** A study of the fundamentals of Federal income taxation for individuals and related issues of compliance, reporting, and planning. This course focuses on income and deduction recognition, character and timing and includes the tax treatment of property, investments, compensation, retirement and estates.

### **Learning Outcomes**

ACBP graduates will be able to:

1. Identify and analyze accounting, assurance, and tax issues.
2. Apply accounting, assurance, and tax principles in decision making settings.
3. Acquire the knowledge necessary to successfully pursue an MSA.

### **Enrollment & Graduation Projections**

The expected steady state enrollment is 25 students per class or 100 enrollments per year. The ACBP will take one academic year to complete with two courses offered in each semester: fall and spring.

Enrollment will be reserved for students matriculated in the graduate certificate program and seats will be made available to other matriculated graduate students on the basis of availability. The first admission is anticipated in fall 2014 and the first completion will be the end of spring 2015.

### **Financial Resources**

The ACBP will be managed in the School of Business Accounting Department using the current staff. UConn eCampus will provide support for instructional design. A portion of the revenue generated by student fees will be returned to the School, allowing the program to be self-sustaining. The standard approved SBA graduate fee will apply to this program.

### **Facilities//Equipment/Library/Special Resources**

Students will use HuskyCT platform and will have access to UConn resources, including the libraries and technical support.

### **Program Administration**

Amy Dunbar, Faculty Director of the MSA and the ACBP.

Melissa Sottile, Assistant Director

Margaret Sweeney, Program Manager MSA, ACBP, and Internships

### **Faculty**

The current Accounting Faculty members will teach in the ACBP on load or overload. Precise faculty assignments will be made prior to the fall 2014 semester.

### **Similar Programs in Connecticut or Region**

Fairfield University

University of Hartford

Post University (does not include graduate courses)

Sacred Heart University (undergraduate certificate)

Northeastern University

Suffolk University

**Admissions Requirements**

The MSA admission requirements will be applied in the admission to the ACBP:


1. Undergraduate degree
2. Successful completion (B- or better) of 2 introductory accounting courses: ACCT 2001 Principles of Financial Accounting and ACCT 2101 Principles of Managerial Accounting or ACCT 5121 Financial Accounting and Reporting and ACCT 5123 Cost Analysis and Control, or equivalents at an accredited U.S. university
3. GMAT
4. Personal essays

**Admission to the MSA**

Those who want to pursue the MSA successfully must complete the ACBP to have 2 of the ACBP courses count towards the 10 MSA required courses.

## **ATTACHMENT 5**

December 11, 2013

TO: Members of the Board of Trustees  
FROM: Mun Y. Choi, Provost   
RE: Graduate Certificate in Arts Administration

RECOMMENDATION:

That the Board of Trustees approve the Graduate Certificate in Arts Administration.

BACKGROUND:

UConn's online Graduate Certificate Program in Arts Administration blends best practices in arts administration as well as hands on experience. Nonprofit arts organizations face unique industry challenges that can hinder their ability to flourish, and this program's required four courses emphasize critical areas of marketing, budgeting and finance, fundraising, and governance, helping graduates solve these challenges. This curriculum gives students a broad foundation in arts administration, and the active learning environment incorporates case studies, group work, and project based assignments. Guest lecturers will bring a real-world dimension to the classroom.

The target audience includes individuals currently working for an arts organization or those interested in working in the field. As a progressive arts center, the School of Fine Arts provides experienced faculty and partnerships with artists and art organizations from around the country and globe.

It is anticipated that the program will have approximately 40 enrollments per year. It is expected that the revenue generated by program enrollments will cover expenses incurred. Enrollment will be reserved for students matriculated in the graduate certificate program, but seats will be set aside for other graduate students on a space available basis.

Students will earn 12 graduate credits through four required courses. The typical student will be able to complete all requirements for the certificate in one year.

**CONSENT CALENDAR**

Item: Graduate Certificate in Arts Administration

**Background & Description**

UConn's graduate certificate program in Arts Administration blends best practices in arts administration as well as hands on experience. Nonprofit arts organizations face unique challenges that can hinder their ability to flourish. The four courses in the Arts Administration program emphasize critical areas of marketing, budgeting/finance, fundraising, and governance. This curriculum gives students a broad foundation in arts administration as well as the skill sets to solve challenges unique to the field. The active learning environment incorporates case studies, group work, and project based assignments. Guest lecturers bring a real-world dimension to the classroom.

**Reasons for the Proposed Program/Modification/Discontinuation**

The Arts Administration program is targeted to individuals currently working for an arts organization or those interested in working in the field. As a progressive arts center, the UConn School of Fine Arts brings experienced faculty and partnerships with artists and art organizations from around the country and globe.

**Curriculum & Program Outline**

The graduate certificate program in Arts Administration is comprised of four required courses (12 credits):

- DRAM 5122 – Fund Raising & Development for the Arts (offered fall and spring semesters)
- DRAM 5123 – Marketing the Arts (offered spring semesters)
- DRAM 5120 – Financial Management for the Arts (offered spring semesters)
- DRAM 5121 – Governance & Leadership for the Arts (offered fall and spring semesters)

**Learning Outcomes**

At the completion of the program, students will be able to:

1. Develop a media plan and associated budget (including expenses and income projections) for an arts organization;
2. Create institutional budgets for arts organizations;
3. Demonstrate the unique accounting best practices and principles as they apply to an arts organization;
4. Develop a fund raising plan for a professional arts organization using both traditional methods and new media;
5. Conduct prospect research;

6. Demonstrate the unique structure and nature of arts organizations from the legal and corporate stance as well as from the philosophical and community service stance;
7. Implement basic conflict resolution and problem solving techniques.

**Enrollment & Graduation Projections**

The \$750/credit hour comprehensive fee applies to enrollments in this certificate program. It is anticipated that the program will have approximately 40 enrollments per year. This figure is based on approximately 10 students in each of the four courses offered per year. It is expected that the revenue generated by program enrollments will cover expenses incurred. Enrollment will be reserved for students matriculated in the graduate certificate program with seats made available to other matriculated graduate students on the basis of availability.

**Graduation Projections**

The typical student will be able to complete all requirements for the certificate in one year.

**Financial Resources**

The Arts Administration graduate certificate program will be coordinated by the Dramatic Arts Department within the School of Fine Arts.

eCampus will provide instructional design support and stipends to course developers. A portion of the revenue generated by student fees will be returned to the School, allowing the program to be self-sustaining.

**Facilities/Equipment/Library/Special Resources**

Students in the program will have access to UConn library resources and other resources available to all other matriculated students (HuskyCT, technical support, etc.). As an online certificate program, no other facilities or resources are required other than those provided by UConn eCampus.

**Program Administration**

Lead program administration will be provided by the Frank Mack, Associate Professor, Department of Dramatic Arts, School of Fine Arts.

**Faculty**

The course instructors are:

- Dale Edwards, Assistant Professor of Arts Administration and Marketing Director for the School of Fine Arts.
- Frank Mack, Associate Professor and Director of MFA Program in Arts Administration
- Adjunct Faculty

**Similar Programs in Connecticut or Region**

A search shows that there are no similar programs in Connecticut. Similar programs in the region are:

- Boston University
- Columbia University
- Drexel University
- New York University
- Syracuse University
- University at Buffalo, SUNY

## **ATTACHMENT 6**



December 11, 2013

TO: Members of the Board of Trustees  
FROM: Mun Y. Choi, Provost  
RE: Centers and Institutes

**BACKGROUND:**

The following Academic Centers and Institutes have either been established or changed their official name, and I provide this update for your information:

Establishment (effective November 19, 2013)

UTC Institute for Advanced Systems Engineering

Mission Statement: The School of Engineering at the University of Connecticut, in partnership with the United Technologies Corporation (UTC), established the UTC Institute for Advanced Systems Engineering to serve as a hub for world-class research, project-based learning by globally-distributed teams of students, and industrial outreach activities focused on model-based systems engineering (MBSE) of complex systems that are built from, and depend upon, the synergy of computational and physical components. These so-called cyber-physical systems (CPS) incorporate mechanical components, networked embedded systems and applications software, thus representing the convergence of computation, communications, control and intelligence that enable them to have learning and predictive capabilities to adapt to changing situations.

Renaming (effective May 12, 2014)

Asian and Asian American Studies Institute

Recently, the Asian American Studies Institute (housed in the College of Liberal Arts and Sciences) embarked on a successful hiring cluster that brought five new Asian Studies faculty into the unit. This initiative – which brings together Asian and Asian American Studies – was in line with the Institute's strategic plan, as articulated in a review completed in academic year 2010-2011. The name change more accurately reflects the Institute's global mission, the current composition of the faculty, and the courses offered by these faculty.

## **ATTACHMENT 7**



December 11, 2013

TO: Members of the Board of Trustees

FROM: Richard D. Gray  
Executive Vice President and Chief Financial Officer

Mun Y. Choi  
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Beach Hall Renovations – Molecular Cell Biology Laboratory  
for New Faculty (Final: \$1,615,574)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$1,615,574 for a project related to the renovations of the College of Liberal Arts and Sciences, Molecular Cell Biology Laboratory in the Charles Lewis Beach Hall for new faculty.

BACKGROUND:

Beach Hall is a mixed use, laboratory and office building. The scope of this project is to renovate a portion of the ground floor of this building into state-of-the-art wet research laboratories. The scope of work includes foundation waterproofing, interior renovation, freezer room, faculty offices, tissue culture room, storage, laboratory casework and equipment, fume hoods, finishes, electrical, plumbing and mechanical support.

The current laboratory space has been substantially demolished, creating an open area for development.

The University commissioned a design team to prepare contract documents for the project. The project is based on the results of competitive bidding and is ready to award upon approval.

The Final Budget is attached for your consideration and approval.

Office of the Executive Vice President for  
Administration and Chief Financial Officer  
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## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: FINAL**

**PROJECT NAME: BEACH HALL RENOVATIONS - MCB LAB FOR NEW FACULTY**

	<b>APPROVED PLANNING 6/26/2013</b>	<b>APPROVED DESIGN 8/7/2013</b>	<b>PROPOSED FINAL 12/11/2013</b>
<b><u>BUDGETED EXPENDITURES</u></b>			
CONSTRUCTION	\$ 1,100,000	\$ 1,100,000	\$ 1,100,000
DESIGN SERVICES	45,000	45,000	50,000
TELECOMMUNICATIONS	3,000	3,000	13,000
FURNITURE, FIXTURES AND EQUIPMENT*	190,000	190,000	190,000
CONSTRUCTION ADMINISTRATION	574	574	-
OTHER AE SERVICES (including Project Management)	44,000	44,000	38,574
ART	-	-	-
RELOCATION	15,000	15,000	15,000
ENVIRONMENTAL	-	-	10,000
INSURANCE AND LEGAL	3,000	3,000	4,000
MISCELLANEOUS	15,000	15,000	10,000
OTHER SOFT COSTS	-	-	-
<b>SUBTOTAL</b>	<b>\$ 1,415,574</b>	<b>\$ 1,415,574</b>	<b>\$ 1,430,574</b>
PROJECT CONTINGENCY	200,000	200,000	185,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b><u>\$ 1,615,574</u></b>	<b><u>\$ 1,615,574</u></b>	<b><u>\$ 1,615,574</u></b>
<b><u>SOURCE(S) OF FUNDING</u></b>			
UConn 2000 PHASE III	<u>\$ 1,615,574</u>	<u>\$ 1,615,574</u>	<u>\$ 1,615,574</u>
<b>TOTAL BUDGETED FUNDING</b>	<b><u>\$ 1,615,574</u></b>	<b><u>\$ 1,615,574</u></b>	<b><u>\$ 1,615,574</u></b>

\* Additional Furniture, Fixtures and Equipment may be procured outside of the Capital Projects Delivery procedures, in accordance with standard UConn procurement policies and procedures, utilizing non-UConn 2000 funds from a faculty start-up package.



BEACH HALL RENOVATIONS –  
MOLECULAR CELL BIOLOGY LABORATORY FOR NEW FACULTY  
Project Budget (Final)  
12/11/2013



ROOM TO BE RENOVATED



View Looking West



View at Corridor Wall



View of Steam Lines at Exterior Wall

## **ATTACHMENT 8**



December 11, 2013

TO: Members of the Board of Trustees

FROM: Richard D. Gray  
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi  
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Gant Building Renovations – Data Center Stabilization  
(Final: \$4,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$4,000,000 for the planning, design and construction of the Data Center Stabilization Rescue Project at the Edward V. Gant Science Complex.

BACKGROUND:

The University has commissioned a design consultant to prepare a master and implementation plan for the Data Center Stabilization Rescue Project.

The design consultant met with University program managers to determine the most effective and efficient means to ensure the 24/7 operation of the Data Center. A master plan has been completed that proposes a significant increase in the reliability of the current Data Center.

The general scope of the master plan includes the following system upgrades:

- Two additional power distribution circuits.
- Redundant Uninterruptible Power Supply (UPS) to power infrastructure from independent sources.
- Overhead power distribution to provide a flexible power supply system for the ever-changing process environment.
- Removal of power distribution wiring under the raised floor.
- Complete air conditioning system upgrade to allow further expansion.

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This project will provide the needed infrastructure to ensure a stable Data Center, allow for some expansion due to changing needs, and permit the University to explore long term options.

The University commissioned a design team to complete contract documents for the project. The attached Final Budget is based on the design team's estimated construction costs. The project is out to bid and the proposed budget will be amended if necessary by the results of competitive bids.

The Final Budget is attached for your consideration and approval.

Attachment



## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: FINAL**

**PROJECT NAME: GANT BUILDING RENOVATIONS - DATA CENTER STABILIZATION**

	<b>APPROVED PLANNING 11/14/2012</b>	<b>APPROVED DESIGN 8/7/2013</b>	<b>PROPOSED FINAL 12/11/2013</b>
<b><u>BUDGETED EXPENDITURES</u></b>			
CONSTRUCTION	\$ 2,750,000	\$ 2,750,000	\$ 2,750,000
DESIGN SERVICES	522,497	522,497	522,497
TELECOMMUNICATIONS	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	300,000	300,000	280,000
CONSTRUCTION ADMINISTRATION	-	-	10,000
OTHER AE SERVICES (including Project Management)	-	-	10,000
ART	-	-	-
RELOCATION	-	-	-
ENVIRONMENTAL	-	-	-
INSURANCE AND LEGAL	-	-	-
MISCELLANEOUS	15,003	15,003	15,003
OTHER SOFT COSTS	-	-	-
<b>SUBTOTAL</b>	<b>\$ 3,587,500</b>	<b>\$ 3,587,500</b>	<b>\$ 3,587,500</b>
PROJECT CONTINGENCY	412,500	412,500	412,500
<b>TOTAL BUDGETED EXPENDITURES</b>	<b><u>\$ 4,000,000</u></b>	<b><u>\$ 4,000,000</u></b>	<b><u>\$ 4,000,000</u></b>
<b><u>SOURCE(S) OF FUNDING</u></b>			
UConn 2000 PHASE III	\$ 4,000,000	\$ 4,000,000	\$ 4,000,000
<b>TOTAL BUDGETED FUNDING</b>	<b><u>\$ 4,000,000</u></b>	<b><u>\$ 4,000,000</u></b>	<b><u>\$ 4,000,000</u></b>

# GANT BUILDING RENOVATIONS – DATA CENTER STABILIZATION

Project Budget (FINAL)

12/11/13



EDWARD V. GANT BUILDING

DATA CENTER – GROUND  
FLOOR LOCATION



ORIGINAL POWER  
DISTRIBUTION



SINGLE UNINTERRUPTIBLE  
POWER SUPPLY



OUTDATED  
MONITORING  
SYSTEM



SUPPLEMENTAL  
COOLING



## **ATTACHMENT 9**



December 11, 2013

TO: Members of the Board of Trustees

FROM: Richard D. Gray  
Executive Vice President and Chief Financial Officer

Mun Y. Choi  
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for the New Faculty Renovations – George C. White Building,  
Animal Science Lab (Final: \$1,827,500)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$1,827,500 for the planning, design and construction of the College of Agriculture & Natural Resources – Animal Science Lab Renovations – George C. White Building.

BACKGROUND:

The George C. White Building is occupied by the Animal Science department and is a mixed use building containing classrooms, faculty offices, research space and the UCONN Dairy Bar. The scope of this project is to renovate two existing laboratories (006 and 007) into state-of-the-art research laboratories. The scope of work includes demolition, interior renovation, laboratory casework, fume hoods, finishes, electrical improvements and mechanical upgrades.

The University has commissioned a design team to prepare contract documents for the project. The Project Budget includes a “construction” line item that was estimated by the design architect. The attached Project Budget is based on the design team’s estimated construction cost. The project is out to bid and the proposed budget will be amended if necessary by the results of the competitive bids.

The Final Budget is attached for your consideration and approval.

Attachment

Office of the Executive Vice President for  
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## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: FINAL**

**PROJECT NAME: NEW FACULTY RENOVATIONS-WHITE BUILDING ANIMAL SCIENCE LAB**

	<b>APPROVED PLANNING 6/26/2013</b>	<b>APPROVED DESIGN 8/7/2013</b>	<b>PROPOSED FINAL 12/11/2013</b>
<b><u>BUDGETED EXPENDITURES</u></b>			
CONSTRUCTION	\$ 1,050,000	\$ 1,050,000	\$ 1,050,000
DESIGN SERVICES	120,000	120,000	136,000
TELECOMMUNICATIONS	15,000	15,000	15,000
FURNITURE, FIXTURES AND EQUIPMENT*	275,000	275,000	275,000
CONSTRUCTION ADMINISTRATION	30,000	30,000	26,500
OTHER AE SERVICES (including Project Management)	26,500	26,500	26,500
ART	-	-	-
RELOCATION	10,000	10,000	10,000
ENVIRONMENTAL	21,000	21,000	21,000
INSURANCE AND LEGAL	5,000	5,000	5,000
MISCELLANEOUS	15,000	15,000	15,000
OTHER SOFT COSTS	-	-	-
<b>SUBTOTAL</b>	<b>\$ 1,567,500</b>	<b>\$ 1,567,500</b>	<b>\$ 1,580,000</b>
PROJECT CONTINGENCY	260,000	260,000	247,500
<b>TOTAL BUDGETED EXPENDITURES</b>	<b><u>\$ 1,827,500</u></b>	<b><u>\$ 1,827,500</u></b>	<b><u>\$ 1,827,500</u></b>
<b><u>SOURCE(S) OF FUNDING</u></b>			
UConn 2000 PHASE III - FY13 DM	<u>\$ 1,827,500</u>	<u>\$ 1,827,500</u>	<u>\$ 1,827,500</u>
<b>TOTAL BUDGETED FUNDING</b>	<b><u>\$ 1,827,500</u></b>	<b><u>\$ 1,827,500</u></b>	<b><u>\$ 1,827,500</u></b>

\* Additional Furniture, Fixtures and Equipment may be procured outside of the Capital Projects Delivery procedures, in accordance with standard UConn procurement policies and procedures, utilizing non-UCONN 2000 funds from a faculty start-up package.



# NEW FACULTY RENOVATIONS – GEORGE C. WHITE BUILDING, ANIMAL SCIENCE LAB

Project Budget (Final)

12/11/2013



WHITE BUILDING

## ROOM TO BE RENOVATED



General View of Lab 006



General View of Existing Lab 007



General View of Existing Lab 007

## **ATTACHMENT 10**

**Summary of Commitments by Unit**  
Through 11/22/2013

Printed 11-22-2013

Executive Level Unit	FY 2012	FY 2013	FYTD 2013 through 11/22	FYTD 2014 through 11/22	FYTD 2014 vs. FYTD 2013	Campaign Total FY2007 to FYTD2014
<b>University</b>	<b>\$26,610,405</b>	<b>\$20,611,432</b>	<b>\$3,594,563</b>	<b>\$2,857,751</b>	<b>-20.5%</b>	<b>\$132,191,140</b>
Athletics	\$21,758,080	\$12,665,025	\$3,124,464	\$1,978,061	-36.7%	\$106,823,855
Diversity & Equity	\$0	\$100,000	\$0	\$0	0.0%	\$142,782
Economic Development	\$0	\$27,000	\$5,000	\$2,500	-50.0%	\$94,530
Enrollment Planning And Management	\$3,106,094	\$6,911,028	\$142,184	\$653,158	359.4%	\$16,746,408
University	\$1,746,231	\$852,687	\$322,890	\$223,992	-30.6%	\$7,913,887
<b>Department</b>						
Associate Vice President for Administration	\$103,714	\$0	\$0	\$0	0.0%	\$184,013
General - Includes Fund for UCONN	\$1,411,873	\$780,475	\$310,258	\$203,247	-34.5%	\$6,953,707
Office of Alumni Relations	\$230,644	\$72,213	\$12,632	\$20,746	64.2%	\$776,166
University Communications	\$0	\$50,000	\$0	\$0	0.0%	\$50,000
Vice President For Research	\$0	\$5,692	\$25	\$39	56.8%	\$419,678
<b>Executive Vice President For Administration &amp; Chief Financial Officer</b>	<b>\$220</b>	<b>\$1,200</b>	<b>\$50</b>	<b>\$100</b>	<b>100.0%</b>	<b>\$15,086</b>
Facilities Operation	\$120	\$1,000	\$0	\$0	0.0%	\$11,578
Office Of Controller	\$0	\$0	\$0	\$0	0.0%	\$1,360
Public Safety	\$100	\$200	\$50	\$100	100.0%	\$2,148
<b>Provost &amp; Executive Vice President For Academic Affairs</b>	<b>\$1,538,470</b>	<b>\$2,198,763</b>	<b>\$1,342,396</b>	<b>\$445,131</b>	<b>-66.8%</b>	<b>\$15,833,224</b>
Center For Continuing Studies	\$10,262	\$7,077	\$6,075	\$3,069	-49.5%	\$81,592
Provost & Executive Vice President For Academic Affairs	\$1,030,946	\$1,360,769	\$827,654	\$32,670	-96.1%	\$8,621,551
Undergraduate Education	\$188,550	\$234,447	\$22,532	\$11,680	-48.2%	\$4,223,972
University Information Technology Services	\$6,691	\$2,545	\$600	\$600	0.0%	\$15,336
University Libraries	\$299,200	\$590,833	\$485,251	\$66,389	-86.3%	\$2,139,188



**Summary of Commitments by Unit**  
Through 11/22/2013

Printed 11-22-2013

Executive Level Unit	FY 2012	FY 2013	FYTD 2013 through 11/22	FYTD 2014 through 11/22	FYTD 2014 vs. FYTD 2013	Campaign Total FY2007 to FYTD2014
<b>Provost &amp; Executive Vice President For Academic Affairs</b>	<b>\$1,538,470</b>	<b>\$2,198,763</b>	<b>\$1,342,396</b>	<b>\$445,131</b>	<b>-66.8%</b>	<b>\$15,833,224</b>
Vice Provost For Global Affairs	\$2,823	\$3,092	\$284	\$330,722	116363.8%	\$751,585
<b>Schools &amp; Colleges</b>	<b>\$21,535,258</b>	<b>\$31,747,669</b>	<b>\$11,352,221</b>	<b>\$13,759,249</b>	<b>21.2%</b>	<b>\$165,115,939</b>
Agriculture And Natural Resources	\$1,328,075	\$770,017	\$207,136	\$213,936	3.3%	\$13,659,537
Business	\$5,331,735	\$4,284,076	\$604,065	\$597,472	-1.1%	\$23,087,669
Education	\$1,903,116	\$1,839,737	\$569,635	\$436,638	-23.3%	\$12,785,757
Engineering	\$2,071,338	\$8,927,916	\$5,351,066	\$8,095,894	51.3%	\$35,271,994
Fine Arts	\$4,792,510	\$3,785,019	\$1,307,998	\$610,478	-53.3%	\$16,331,739
Law	\$1,723,405	\$797,535	\$157,504	\$48,124	-69.4%	\$7,725,226
Liberal Arts And Sciences	\$3,311,982	\$9,762,494	\$2,706,454	\$2,503,694	-7.5%	\$44,113,066
Nursing	\$457,626	\$762,192	\$121,252	\$133,986	10.5%	\$3,313,271
School Of Pharmacy	\$521,831	\$503,557	\$190,612	\$830,833	335.9%	\$6,912,207
Social Work	\$83,690	\$314,076	\$136,498	\$287,196	110.4%	\$1,880,913
Vice Provost For Graduate Education & Dean Of The Graduate School	\$9,950	\$1,050	\$0	\$1,000	100.0%	\$34,561
<b>Regional Campuses</b>	<b>\$284,286</b>	<b>\$210,167</b>	<b>\$47,502</b>	<b>\$43,863</b>	<b>-7.7%</b>	<b>\$4,148,559</b>
Avery Point Regional Campus	\$74,209	\$82,038	\$24,030	\$18,402	-23.4%	\$535,044
Hartford Regional Campus	\$4,590	\$5,298	\$395	\$617	56.3%	\$33,460
Stamford Regional Campus	\$175,359	\$100,957	\$19,869	\$21,409	7.8%	\$1,293,089
Torrington Regional Campus	\$6,269	\$7,236	\$2,187	\$1,443	-34.0%	\$475,697
Waterbury Regional Campus	\$23,858	\$14,637	\$1,022	\$1,992	94.9%	\$1,811,269
<b>Office Of Vice President For Student Affairs</b>	<b>\$250,484</b>	<b>\$227,873</b>	<b>\$27,275</b>	<b>\$39,825</b>	<b>46.0%</b>	<b>\$1,411,428</b>
Health Services	\$0	\$0	\$0	\$10,000	100.0%	\$10,000

**Summary of Commitments by Unit**  
 Through 11/22/2013

Printed 11-22-2013

Executive Level Unit	FY 2012	FY 2013	FYTD 2013 through 11/22	FYTD 2014 through 11/22	FYTD 2014 vs. FYTD 2013	Campaign Total FY2007 to FYTD2014
<b>Office Of Vice President For Student Affairs</b>	<b>\$250,484</b>	<b>\$227,873</b>	<b>\$27,275</b>	<b>\$39,825</b>	<b>46.0%</b>	<b>\$1,411,428</b>
Residential Life	\$0	\$0	\$0	\$0	0.0%	\$50
Student Activities	\$50,389	\$112,932	\$8,221	\$11,961	45.5%	\$560,925
Student Union	\$4,785	\$6,480	\$3,910	\$1,055	-73.0%	\$20,608
Vice President Of Student Affairs	\$195,310	\$108,461	\$15,143	\$16,809	11.0%	\$819,844
<b>Health Affairs</b>	<b>\$8,488,525</b>	<b>\$5,986,746</b>	<b>\$2,318,755</b>	<b>\$2,270,291</b>	<b>-2.1%</b>	<b>\$88,581,187</b>
Dental Medicine	\$566,996	\$371,207	\$143,086	\$106,338	-25.7%	\$4,719,029
Executive Vice President Of Health Affairs	\$1,808,977	\$1,241,768	\$912,936	\$353,949	-61.2%	\$21,596,016
Foundation Fundraising Health Center	\$1,277,398	\$1,648,048	\$357,917	\$311,196	-13.1%	\$7,288,744
Medicine	\$4,835,154	\$2,725,723	\$904,816	\$1,498,808	65.6%	\$54,977,398
<b>Foundation</b>	<b>\$838,156</b>	<b>\$1,652,901</b>	<b>\$56,925</b>	<b>\$187,652</b>	<b>229.6%</b>	<b>\$9,554,959</b>
Foundation Operations	\$838,156	\$1,652,901	\$56,925	\$187,652	229.6%	\$9,554,959
<b>Other Entities</b>	<b>\$270,209</b>	<b>\$59,434</b>	<b>\$15,461</b>	<b>\$10,514</b>	<b>-32.0%</b>	<b>\$2,287,507</b>
AAUP	\$0	\$0	\$0	\$0	0.0%	\$1,292
Hillel	\$270,209	\$59,434	\$15,461	\$10,514	-32.0%	\$2,286,215
<b>Totals</b>	<b>\$59,816,013</b>	<b>\$62,696,183</b>	<b>\$18,755,147</b>	<b>\$19,614,376</b>	<b>4.6%</b>	<b>\$419,139,029</b>

<b>Endowment and Similar</b>	<b>\$12,726,391</b>	<b>\$25,195,585</b>	<b>\$7,440,255</b>	<b>\$8,945,100</b>	<b>20.2%</b>	<b>\$130,963,456</b>
<b>Other Current Operations</b>	<b>\$47,089,623</b>	<b>\$37,500,598</b>	<b>\$11,314,892</b>	<b>\$10,669,276</b>	<b>-5.7%</b>	<b>\$288,175,572</b>

**Summary of Commitments by Purpose**

FYTD 2014 Through 11/22/2013

Printed 11-22-2013

Development Area Unit / Group	Capital Improvements	Faculty Support	Research	Program Support	Student Support	TOTAL	FYTD 2014 vs. FYTD 2013
<b>University</b>	<b>\$841,930</b>	<b>\$0</b>	<b>\$0</b>	<b>\$1,149,299</b>	<b>\$866,522</b>	<b>\$2,857,751</b>	<b>-20.5%</b>
Athletics	\$841,727	\$0	\$0	\$931,165	\$205,169	\$1,978,061	-36.7%
Diversity & Equity	\$0	\$0	\$0	\$0	\$0	\$0	0.0%
Economic Development	\$0	\$0	\$0	\$2,500	\$0	\$2,500	-50.0%
Enrollment Planning and Management	\$0	\$0	\$0	\$0	\$653,158	\$653,158	359.4%
University	\$163	\$0	\$0	\$215,634	\$8,195	\$223,992	-30.6%
<b>Department</b>							
Associate Vice President for Administration	\$0	\$0	\$0	\$0	\$0	\$0	0.0%
General - Includes Fund for UCONN	\$163	\$0	\$0	\$203,083	\$0	\$203,247	-34.5%
Office of Alumni Relations	\$0	\$0	\$0	\$12,551	\$8,195	\$20,746	64.2%
University Communications	\$0	\$0	\$0	\$0	\$0	\$0	0.0%
Vice President For Research	\$39	\$0	\$0	\$0	\$0	\$39	56.8%
<b>Executive Vice President For Administration &amp; Chief Financial Officer</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$100</b>	<b>\$0</b>	<b>\$100</b>	<b>100.0%</b>
Facilities Operation	\$0	\$0	\$0	\$0	\$0	\$0	0.0%
Office Of Controller	\$0	\$0	\$0	\$0	\$0	\$0	0.0%
Public Safety	\$0	\$0	\$0	\$100	\$0	\$100	100.0%
<b>Provost &amp; Executive Vice President For Academic Affairs</b>	<b>\$10,295</b>	<b>\$10,675</b>	<b>\$0</b>	<b>\$370,969</b>	<b>\$53,192</b>	<b>\$445,131</b>	<b>-66.8%</b>
Center For Continuing Studies	\$0	\$0	\$0	\$927	\$2,142	\$3,069	-49.5%
Provost & Executive Vice President For Academic Affairs	\$10,295	\$10,000	\$0	\$11,375	\$1,000	\$32,670	-96.1%
Undergraduate Education	\$0	\$40	\$0	\$11,640	\$0	\$11,680	-48.2%

**Summary of Commitments by Purpose**  
FYTD 2014 Through 11/22/2013

Printed 11-22-2013

Development Area Unit / Group	Capital Improvements	Faculty Support	Research	Program Support	Student Support	TOTAL	FYTD 2014 vs. FYTD 2013
<b>Provost &amp; Executive Vice President For Academic Affairs</b>	<b>\$10,295</b>	<b>\$10,675</b>	<b>\$0</b>	<b>\$370,969</b>	<b>\$53,192</b>	<b>\$445,131</b>	<b>-66.8%</b>
University Information Technology Services	\$0	\$0	\$0	\$600	\$0	\$600	0.0%
University Libraries	\$0	\$635	\$0	\$65,754	\$0	\$66,389	-86.3%
Vice Provost For Global Affairs	\$0	\$0	\$0	\$280,672	\$50,050	\$330,722	116363.8%
<b>Schools &amp; Colleges</b>	<b>\$2,935</b>	<b>\$2,319,202</b>	<b>\$1,147,837</b>	<b>\$4,263,119</b>	<b>\$6,026,157</b>	<b>\$13,759,249</b>	<b>21.2%</b>
Agriculture And Natural Resources	\$1,045	\$150	\$5,742	\$147,596	\$59,403	\$213,936	3.3%
Business	\$0	\$8,650	\$0	\$470,949	\$117,873	\$597,472	-1.1%
Education	\$0	\$0	\$213,425	\$199,639	\$23,574	\$436,638	-23.3%
Engineering	\$750	\$2,275,000	\$0	\$1,994,235	\$3,825,909	\$8,095,894	51.3%
Fine Arts	\$750	\$0	\$0	\$570,275	\$39,453	\$610,478	-53.3%
Law	\$0	\$0	\$0	\$32,674	\$15,450	\$48,124	-69.4%
Liberal Arts And Sciences	\$0	\$5,480	\$205,170	\$719,895	\$1,573,149	\$2,503,694	-7.5%
Nursing	\$390	\$0	\$7,500	\$54,196	\$71,900	\$133,986	10.5%
School Of Pharmacy	\$0	\$29,922	\$716,000	\$61,836	\$23,075	\$830,833	335.9%
Social Work	\$0	\$0	\$0	\$11,824	\$275,372	\$287,196	110.4%
Vice Provost For Graduate Education & Dean Of The Graduate School	\$0	\$0	\$0	\$0	\$1,000	\$1,000	100.0%
<b>Regional Campuses</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$32,716</b>	<b>\$11,147</b>	<b>\$43,863</b>	<b>-7.7%</b>
Avery Point Regional Campus	\$0	\$0	\$0	\$8,075	\$10,327	\$18,402	-23.4%
Hartford Regional Campus	\$0	\$0	\$0	\$617	\$0	\$617	56.3%
Stamford Regional Campus	\$0	\$0	\$0	\$21,189	\$220	\$21,409	7.8%
Torrington Regional Campus	\$0	\$0	\$0	\$842	\$600	\$1,443	-34.0%

## Summary of Commitments by Purpose

FYTD 2014 Through 11/22/2013

Printed 11-22-2013

Development Area Unit / Group	Capital Improvements	Faculty Support	Research	Program Support	Student Support	TOTAL	FYTD 2014 vs. FYTD 2013
<b>Regional Campuses</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$32,716</b>	<b>\$11,147</b>	<b>\$43,863</b>	<b>-7.7%</b>
Waterbury Regional Campus	\$0	\$0	\$0	\$1,992	\$0	\$1,992	94.9%
<b>Office Of Vice President For Student Affairs</b>	<b>\$0</b>	<b>\$0</b>	<b>\$10,000</b>	<b>\$19,605</b>	<b>\$10,220</b>	<b>\$39,825</b>	<b>46.0%</b>
Health Services	\$0	\$0	\$10,000	\$0	\$0	\$10,000	100.0%
Residential Life	\$0	\$0	\$0	\$0	\$0	\$0	0.0%
Student Activities	\$0	\$0	\$0	\$10,053	\$1,908	\$11,961	45.5%
Student Union	\$0	\$0	\$0	\$1,055	\$0	\$1,055	-73.0%
Vice President Of Student Affairs	\$0	\$0	\$0	\$8,497	\$8,312	\$16,809	11.0%
<b>Health Affairs</b>	<b>\$250</b>	<b>\$5,646</b>	<b>\$881,952</b>	<b>\$1,321,320</b>	<b>\$61,125</b>	<b>\$2,270,291</b>	<b>-2.1%</b>
Dental Medicine	\$0	\$100	\$25,000	\$60,938	\$20,300	\$106,338	-25.7%
Executive Vice President Of Health Affairs	\$250	\$200	\$0	\$349,002	\$4,498	\$353,949	-61.2%
Foundation Fundraising Health Center	\$0	\$0	\$0	\$311,196	\$0	\$311,196	-13.1%
Medicine	\$0	\$5,346	\$856,952	\$600,184	\$36,327	\$1,498,808	65.6%
<b>Foundation</b>	<b>\$25,000</b>	<b>\$26,826</b>	<b>\$0</b>	<b>\$135,826</b>	<b>\$0</b>	<b>\$187,652</b>	<b>229.6%</b>
Foundation Operations	\$25,000	\$26,826	\$0	\$135,826	\$0	\$187,652	229.6%
<b>Other Entities</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$10,514</b>	<b>\$0</b>	<b>\$10,514</b>	<b>-32.0%</b>
AAUP	\$0	\$0	\$0	\$0	\$0	\$0	0.0%
Hillel	\$0	\$0	\$0	\$10,514	\$0	\$10,514	-32.0%
<b>Totals</b>	<b>\$880,410</b>	<b>\$2,362,348</b>	<b>\$2,039,789</b>	<b>\$7,303,466</b>	<b>\$7,028,363</b>	<b>\$19,614,376</b>	<b>4.6%</b>

## Summary of Commitments by Purpose

FYTD 2014 Through 11/22/2013

Printed 11-22-2013

<b>Endowment and Similar</b>	<b>\$0</b>	<b>\$2,290,797</b>	<b>\$0</b>	<b>\$1,197,436</b>	<b>\$5,456,867</b>	<b>\$8,945,100</b>	<b>20.2%</b>
<b>Other Current Operations</b>	<b>\$880,410</b>	<b>\$71,551</b>	<b>\$2,039,789</b>	<b>\$6,106,030</b>	<b>\$1,571,496</b>	<b>\$10,669,276</b>	<b>-5.7%</b>

## Summary of Commitments by Source

Through 11/22/2013

Printed 11-22-2013

Executive Level Unit	Individuals			Organizations			TOTAL	FYTD 2014 vs. FYTD 2013
	Alumni / Students	Parents	Other Individuals	Corporations	Foundations	Other Organizations		
<b>University</b>	<b>\$910,598</b>	<b>\$86,096</b>	<b>\$1,277,727</b>	<b>\$381,217</b>	<b>\$147,259</b>	<b>\$48,629</b>	<b>\$2,857,751</b>	<b>-20.5%</b>
Athletics	\$687,422	\$35,318	\$814,917	\$365,519	\$32,661	\$37,690	\$1,978,061	-36.7%
Diversity & Equity	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0.0%
Economic Development	\$0	\$0	\$2,500	\$0	\$0	\$0	\$2,500	-50.0%
Enrollment Planning And Management	\$107,909	\$1,018	\$426,492	\$4,532	\$105,530	\$6,975	\$653,158	359.4%
University	\$115,267	\$49,760	\$33,779	\$11,166	\$9,068	\$3,964	\$223,992	-30.6%
<b>Department</b>								
Associate Vice President for Administration	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0.0%
General - Includes Fund for UCONN	\$108,421	\$48,870	\$31,344	\$8,834	\$3,601	\$1,189	\$203,247	-34.5%
Office of Alumni Relations	\$6,846	\$890	\$2,435	\$2,332	\$5,467	\$2,775	\$20,746	64.2%
University Communications	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0.0%
Vice President For Research	\$0	\$0	\$39	\$0	\$0	\$0	\$39	56.8%
<b>Executive Vice President For Administration &amp; Chief Financial Officer</b>	<b>\$0</b>	<b>\$100</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$100</b>	<b>100.0%</b>
Facilities Operation	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0.0%
Office Of Controller	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0.0%
Public Safety	\$0	\$100	\$0	\$0	\$0	\$0	\$100	100.0%
<b>Provost &amp; Executive Vice President For Academic Affairs</b>	<b>\$54,626</b>	<b>\$3,879</b>	<b>\$41,356</b>	<b>\$20,076</b>	<b>\$282,700</b>	<b>\$42,040</b>	<b>\$445,131</b>	<b>-66.8%</b>
Center For Continuing Studies	\$2,909	\$25	\$135	\$0	\$0	\$0	\$3,069	-49.5%
Provost & Executive Vice President For Academic Affairs	\$11,300	\$1,000	\$10,370	\$10,000	\$0	\$0	\$32,670	-96.1%
Undergraduate Education	\$5,850	\$965	\$320	\$4,245	\$100	\$0	\$11,680	-48.2%
University Information Technology Services	\$20	\$140	\$400	\$0	\$0	\$0	\$600	0.0%

**Summary of Commitments by Source**  
 Through 11/22/2013

Printed 11-22-2013

Executive Level Unit	Individuals			Organizations			TOTAL	FYTD 2014 vs. FYTD 2013
	Alumni / Students	Parents	Other Individuals	Corporations	Foundations	Other Organizations		
<b>Provost &amp; Executive Vice President For Academic Affairs</b>	<b>\$54,626</b>	<b>\$3,879</b>	<b>\$41,356</b>	<b>\$20,076</b>	<b>\$282,700</b>	<b>\$42,040</b>	<b>\$445,131</b>	<b>-66.8%</b>
University Libraries	\$7,410	\$1,319	\$3,550	\$5,656	\$6,500	\$41,840	\$66,389	-86.3%
Vice Provost For Global Affairs	\$27,136	\$430	\$26,581	\$175	\$276,100	\$200	\$330,722	116363.8%
<b>Schools &amp; Colleges</b>	<b>\$1,978,424</b>	<b>\$38,322</b>	<b>\$1,386,914</b>	<b>\$8,107,938</b>	<b>\$420,274</b>	<b>\$1,800,081</b>	<b>\$13,759,249</b>	<b>21.2%</b>
Agriculture And Natural Resources	\$22,097	\$2,151	\$143,251	\$9,673	\$31,492	\$3,842	\$213,936	3.3%
Business	\$213,354	\$1,237	\$14,734	\$237,091	\$106,025	\$24,181	\$597,472	-1.1%
Education	\$78,379	\$6,949	\$11,715	\$100,825	\$174,410	\$63,795	\$436,638	-23.3%
Engineering	\$169,092	\$1,063	\$301,420	\$7,610,367	\$13,465	\$167	\$8,095,894	51.3%
Fine Arts	\$35,667	\$18,702	\$509,850	\$12,001	\$25,952	\$100	\$610,478	-53.3%
Law	\$24,737	\$0	\$9,143	\$12,220	\$2,000	\$0	\$48,124	-69.4%
Liberal Arts And Sciences	\$1,293,893	\$2,728	\$99,995	\$71,449	\$40,900	\$984,046	\$2,503,694	-7.5%
Nursing	\$32,394	\$1,495	\$63,476	\$2,600	\$24,000	\$7,650	\$133,986	10.5%
School Of Pharmacy	\$59,053	\$2,247	\$750	\$51,713	\$20	\$716,275	\$830,833	335.9%
Social Work	\$49,757	\$1,750	\$231,579	\$0	\$2,010	\$25	\$287,196	110.4%
Vice Provost For Graduate Education & Dean Of The Graduate School	\$0	\$0	\$1,000	\$0	\$0	\$0	\$1,000	100.0%
<b>Regional Campuses</b>	<b>\$9,134</b>	<b>\$600</b>	<b>\$5,380</b>	<b>\$13,475</b>	<b>\$14,000</b>	<b>\$100</b>	<b>\$43,863</b>	<b>-7.7%</b>
Avery Point Regional Campus	\$1,817	\$325	\$4,560	\$425	\$11,000	\$100	\$18,402	-23.4%
Hartford Regional Campus	\$292	\$125	\$0	\$0	\$0	\$0	\$617	56.3%
Stamford Regional Campus	\$5,370	\$0	\$0	\$13,000	\$3,000	\$0	\$21,409	7.8%
Torrington Regional Campus	\$1,085	\$50	\$250	\$0	\$0	\$0	\$1,443	-34.0%
Waterbury Regional Campus	\$570	\$100	\$570	\$50	\$0	\$0	\$1,992	94.9%



**Summary of Commitments by Source**  
 Through 11/22/2013

Printed 11-22-2013

Executive Level Unit	Individuals			Organizations			TOTAL	FYTD 2014 vs. FYTD 2013
	Alumni / Students	Parents	Other Individuals	Corporations	Foundations	Other Organizations		
<b>Office Of Vice President For Student Affairs</b>	<b>\$14,693</b>	<b>\$2,933</b>	<b>\$3,228</b>	<b>\$6,600</b>	<b>\$10,500</b>	<b>\$1,300</b>	<b>\$39,825</b>	<b>46.0%</b>
Health Services	\$0	\$0	\$0	\$0	\$10,000	\$0	\$10,000	100.0%
Residential Life	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0.0%
Student Activities	\$8,630	\$375	\$716	\$300	\$500	\$1,300	\$11,961	45.5%
Student Union	\$1,055	\$0	\$0	\$0	\$0	\$0	\$1,055	-73.0%
Vice President Of Student Affairs	\$5,008	\$2,558	\$2,512	\$6,300	\$0	\$0	\$16,809	11.0%
<b>Health Affairs</b>	<b>\$121,923</b>	<b>\$10,311</b>	<b>\$995,686</b>	<b>\$389,977</b>	<b>\$176,726</b>	<b>\$542,838</b>	<b>\$2,270,291</b>	<b>-2.1%</b>
Dental Medicine	\$40,910	\$250	\$3,353	\$12,568	\$0	\$25,075	\$106,338	-25.7%
Executive Vice President Of Health Affairs	\$5,549	\$3,935	\$273,619	\$34,704	\$32,066	\$529	\$353,949	-61.2%
Foundation Fundraising Health Center	\$20,827	\$2,290	\$68,066	\$193,296	\$22,760	\$3,043	\$311,196	-13.1%
Medicine	\$54,637	\$3,836	\$650,648	\$149,409	\$121,900	\$514,192	\$1,498,808	65.6%
<b>Foundation</b>	<b>\$140,680</b>	<b>\$2,086</b>	<b>\$12,942</b>	<b>\$25,440</b>	<b>\$5,600</b>	<b>\$315</b>	<b>\$187,652</b>	<b>229.6%</b>
Foundation Operations	\$140,680	\$2,086	\$12,942	\$25,440	\$5,600	\$315	\$187,652	229.6%
<b>Other Entities</b>	<b>\$5,339</b>	<b>\$25</b>	<b>\$0</b>	<b>\$0</b>	<b>\$5,000</b>	<b>\$150</b>	<b>\$10,514</b>	<b>-32.0%</b>
AAUP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0.0%
Hillel	\$5,339	\$25	\$0	\$0	\$5,000	\$150	\$10,514	-32.0%
<b>Totals</b>	<b>\$3,235,416</b>	<b>\$144,351</b>	<b>\$3,723,233</b>	<b>\$8,944,724</b>	<b>\$1,062,059</b>	<b>\$2,435,453</b>	<b>\$19,614,376</b>	<b>4.6%</b>

<b>Endowment and Similar</b>	<b>\$1,541,266</b>	<b>\$15,195</b>	<b>\$1,198,184</b>	<b>\$5,266,556</b>	<b>\$14,075</b>	<b>\$904,723</b>	<b>\$8,945,100</b>	<b>20.2%</b>
<b>Other Current Operations</b>	<b>\$1,694,150</b>	<b>\$129,156</b>	<b>\$2,525,049</b>	<b>\$3,678,168</b>	<b>\$1,047,984</b>	<b>\$1,530,730</b>	<b>\$10,669,276</b>	<b>-5.7%</b>

## **COMMITTEE AGENDAS**

# Joint Audit & Compliance Committee

## Agenda

1:00 pm – 1:45 pm – Executive Session

1:45 pm – 3:00 pm - Public Meeting

Issue	Proposed Action	Tab
<p>Executive Session to discuss:</p> <ul style="list-style-type: none"> <li>C.G.S. 1-200(6)[E] – Preliminary drafts or notes that the public agency has determined the public's interest in withholding outweighs the public's interest in disclosure.</li> <li>C.G.S. 1-200(6)[E] A discussion of any matter which would result in the disclosure of public records or the information contained therein pertaining to strategy and negotiations with respect to pending claims regarding Recovery Audit Contractor (RAC) Audits [1-210(b)(4)]</li> <li>C.G.S. 1-200(6)[C] – Matters concerning standards, processes and codes not available to the public the disclosure of which would compromise the security of integrity of information technology systems.</li> </ul>		None
Opportunity for Public Comment		None
Minutes of the September 10, 2013 JACC Meeting	Approval	1
Storrs & UCHC Significant Compliance Activities	Update	2
<p>Significant Audit Activities</p> <ul style="list-style-type: none"> <li>Status of Audit Assignments (Storrs &amp; UCHC)</li> <li>Audit Follow-up Activity</li> </ul>	<p>Update</p> <p>Update</p>	3
<p>Auditors of Public Report – University of Connecticut Health Center for the Fiscal Years Ended June 30, 2011 and 2012</p> <p><a href="http://cga.ct.gov/apa/reports/University%20of%20Connecticut%20Health%20Center_20131016_FY2011,2012.pdf">http://cga.ct.gov/apa/reports/University%20of%20Connecticut%20Health%20Center_20131016_FY2011,2012.pdf</a></p>	Presentation	

# Joint Audit & Compliance Committee Agenda

1:00 pm – 1:45 pm – Executive Session  
1:45 pm – 3:00 pm - Public Meeting

Issue	Proposed Action	Tab
<p>External Engagements</p> <ul style="list-style-type: none"> <li>Marcum Financial Statements – Fiscal Year 2013 <ul style="list-style-type: none"> <li>University Medical Group (UMG)</li> <li>John Dempsey Hospital (JDH)</li> <li>Finance Corporation</li> </ul> </li> <li>BKD – Fiscal Year 2013 <ul style="list-style-type: none"> <li>Annual agreed upon procedures to the Statements of Revenues and Expenses of the UConn's Athletics Program</li> </ul> </li> </ul>	<p>Update</p> <p>Presentation</p> <p>Approval</p>	4
JACC Meeting Dates for 2014	Approval	5
<p>Informational/Educational Items</p> <ul style="list-style-type: none"> <li>JACC Agenda Forecast</li> </ul>	Information Only	6
Conclusion of Full Meeting		
Information Session with OACE's Chief Audit & Compliance Officer and Direct Reports		

The next meeting of the JACC will be held on Tuesday, February 11, 2014 at 10:00 am  
Rome Commons Ballroom, Storrs

*Individual Responsibility, Institutional Success*

## **Academic Affairs**

**AGENDA**  
Board of Trustees  
**ACADEMIC AFFAIRS COMMITTEE**  
Wednesday, December 11, 2013  
Rome Commons Ballroom  
Storrs, CT  
9:00 a.m. – 9:15 a.m.

	<b><u>COMMITTEE</u></b>	<b><u>ATTACHMENT</u></b>
1) Minutes of the Academic Affairs Committee Meeting of November 13, as circulated	A	

**ACTION ITEMS:**

2) Tenure at Hire	2
3) Sabbatical Leave Recommendations	3
4) Advanced Certificate and Bridge Program in Accounting	4
5) Graduate Certificate in Arts Administration	5

**INFORMATIONAL ITEM:**

6) Centers & Institutes	6
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## **ATTACHMENT A**

**MINUTES**  
**MEETING OF THE ACADEMIC AFFAIRS COMMITTEE**  
**November 13, 2013**

Trustees: Bailey, Barham, Bessette, Cantor, Carbray, Daniels, Dennis-LaVigne, Gandara, Handley, James, Kruger, Lobo, McHugh, Nayden, Ritter

Staff: Bansal, Bray, Bull, Callahan, Carone, Chiaputti, Choi, Cruickshank, Donahue, Eagen, Fazio, Fearney, Gafford, Gilbert, Gray, Healy, Herbst, Holsinger, Holz-Clause, Jednak, Kendig, Kirk, Korbel, Larson, Locke, Locust, Mundrane, Ogbar, Orr, Overmyer-Velazquez, Patel, Reis, Reitz, Rubin, Seemann, Silbart, Simsek, Slowik, Spencer, Teal, Trutter, Volin, Walker, Weidemann, Weiner, Wetstone, Wohl, Wrynn

Committee Chairman Dennis-LaVigne convened the meeting at 9:00 a.m. at the University of Connecticut, Rome Commons Ballroom, Storrs Campus. On a motion by Trustee Bailey, seconded by Trustee Carbray, the Committee accepted a revised agenda, removing ***Action Item #6, Graduate Certificate in Arts Administration***. On a motion by Trustee Barham, seconded by Trustee Bailey, the minutes of the October 23, 2013, meeting were approved as circulated.

Provost Choi introduced ***Action Item #2, Tenure at Hire***. Moved by Trustee Barham, seconded by Trustee Carbray, the Committee recommended approval of all tenure at hire cases to the full Board.

Provost Choi introduced ***Action Item #3, Sabbatical Leave Recommendations***. Moved by Trustee Barham, seconded by Committee Chairman Dennis-LaVigne, the Committee recommended approval of all sabbatical leave recommendations to the full Board.

Provost Choi introduced ***Action Item #4, Establishment of the John and Donna Krenicki Endowed Professorship in Genomics and Personalized Healthcare in the Institute for Systems Genomics***. Moved by Trustee Carbray, seconded by Trustee Barham, the Committee recommended establishment of the John and Donna Krenicki Endowed Professorship in Genomics and Personalized Healthcare in the Institute for Systems Genomics to the full Board.

Provost Choi introduced ***Action Item #5, Graduate Certificate in Sustainable Environmental Planning and Management***, presented by Dr. John Volin, Professor and Department Head, Natural Resources & the Environment. Moved by Committee Lobo, seconded by Trustee Barham, the Committee recommended approval of the Graduate Certificate in Sustainable Environmental Planning and Management to the full Board.

Provost Choi introduced ***Information Item #7, Renaming the Undergraduate Major Latin American Studies to Latino and Latin American Studies in the College of Liberal Arts and Sciences***. Provost Choi informed the Committee of the renaming, and introduced Dr. Mark Overmyer-Velazquez, Associate Professor and Director of El Instituto, who provided the Committee with an overview of the Institute's history, community and University impact and engagement, and its teaching and research missions. El Instituto faculty teach over 40 courses that span 10 disciplines, focusing on countries across the hemisphere. The Institute offers BA and MA degrees in Latino and Latin American Studies, grounded in both traditional disciplinary and interdisciplinary methodologies, and the undergraduate major provides diverse hands-on learning experiences to strengthen students' education through Spanish language training, internships, fieldwork, Urban Semester, and service learning. Dr. Overmyer-Velazquez noted that El Instituto facilitates positive relations between Connecticut's Latino communities and UConn through event co-sponsorships, campus and industry visits, shared expertise and guest speakers, and faculty volunteerism.

Provost Choi introduced ***Information Item #8, Memorandum of Agreement (MOA) between the University of Connecticut and the American Association of University Professors Regarding Summer and Intersession Compensation***.



Committee Chairman Dennis-LaVigne announced that Vice Provost for Graduate Education and Dean of the Graduate School Kent Holsinger would introduce Cheryl Bell, a graduate student in the Department of Molecular & Cell Biology, who would provide an overview of her research during the Committee's report at the full Board meeting.

Committee Chairman Dennis-LaVigne adjourned the meeting at 9:15 a.m.

Respectfully submitted,

Brandon L. Murray  
Committee Secretary

## Financial Affairs

**AGENDA**  
Meeting of the  
**FINANCIAL AFFAIRS COMMITTEE**  
**December 11, 2013 at 9:15 a.m.**  
University of Connecticut  
Rome Commons Ballroom  
Storrs, Connecticut

**ATTACHMENT**      **LOCATION**  
**COMMITTEE**      **FULL BOARD**

**EXECUTIVE SESSION**

**ACTION ITEMS:**

- |   |                        |   |
|---|------------------------|---|
| 1) Approval of the Minutes of the Financial Affairs Committee Meeting of November 13, 2013, as circulated | A                      |   |
| 2) Contracts and Agreements for Approval  |                        | 1 |
| 3) Contract between University of Connecticut and Connecticut Water Company                               | (Under Separate Cover) |   |

**STORRS BASED PROGRAMS PROJECT BUDGETS FOR APPROVAL:**

	<i><u>Phase</u></i>	<i><u>Budget</u></i>	<i><u>Tab</u></i>
4) Beach Hall Renovations – Molecular Cell Biology Laboratory for New Faculty	Final	\$1,615,574	7
5) Gant Building Renovations – Data Center Stabilization	Final	\$4,000,000	8
6) New Faculty Renovations – George C. White Building, Animal Science Lab	Final	\$1,827,500	9

**INFORMATION ITEM:**

- |                                       |                        |  |
|---------------------------------------|------------------------|--|
| 7) Construction Project Status Report | (Under Separate Cover) |  |
|---------------------------------------|------------------------|--|

## **ATTACHMENT A**

**MINUTES**  
**MEETING OF THE FINANCIAL AFFAIRS COMMITTEE**  
**November 13, 2013**

TRUSTEES PRESENT: Louise Bailey, Rose Barham, Andy Bessette, Charles Bunnell, Shari Cantor, Richard Carbray, Michael Daniels, Andrea Dennis-LaVigne, Mary Anne Handley, Juanita James, Thomas Kruger, Larry McHugh, Denis Nayden and Thomas Ritter

STAFF PRESENT: John Biancamano, Holly Bray, Nancy Bull, Thomas Callahan, Debbie Carone, Cheryl Chiaputti, Mun Choi, Elizabeth Conklin, Cynthia Costanzo, Laura Cruickshank, Peter Diplock, Amy Donahue, Michael Eagen, Kim Fearney, Karla Fox, Kristi Gafford, Michael Gilbert, Brian Gore, Richard Gray, Susan Herbst, Kent Holsinger, Mary Holz-Clause, Michael Jednak, Tysen Kendig, Michael Kirk, Matthew Larson, Wayne Locust, Paul McCarthy, Michael Mundrane, Brandon Murray, Barbara O'Connor, Jeffrey Ogbar, Richard Orr, Bhupen Patel, William Pizzuto, Sally Reis, Stephanie Reitz, Rachel Rubin, Jeffrey Seeman, Lawrence Silbart, Robert Sitkowski, Eli Strassfeld, Lysa Teal, Jack Sullivan, Tom Trutter, Michael Walker and Reka Wrynn

UNIVERSITY SENATE MEMBERS PRESENT:  
Rajeev Bansal, Lynne Healey, Donna Korbel and Zeki Simsek

Committee Chairman Thomas Kruger convened the meeting of the Financial Affairs Committee at 9:15 a.m. in the Lewis B. Rome Commons Ballroom on the University of Connecticut campus in Storrs, Connecticut.

Mr. Kruger directed the committee to a revised agenda; which was revised to remove three project budgets: *Project Budget (Final) for Beach Hall Renovations – MCB Laboratory for New Faculty (Attachment 15)*; *Project Budget (Final) for Gant Bldg. Renovations-Data Center Stabilization – (Attachment 16)*; and *Project Budget (Final) for new Faculty Renovations George C. White Bldg. Animal Science Lab – (Attachment 17)*. On a motion by Trustee Bessette and seconded by Trustee Cantor the revised agenda was accepted as circulated.

He also informed the Trustees that the *Memorandum of Understanding (MOA) Between the University of Connecticut and the American Association of University of Connecticut Professors regarding Summer and Intersession* as well as the *Project Budget for Intramural, Recreational and Intercollegiate Facilities – Recreation Center* were at their seats. The Recreation Center project budget had been modified and redistributed to clarify student payments and subjects the project to further in depth review by two Board Committees before final approval would be complete. Mr. Kruger stated that additional discussion of the Recreation Center project approval process would occur later in the meeting.

On a motion by Trustee Cantor and seconded by Trustee Bessette the committee voted to go into Executive Session at 9:18 a.m. to discuss preliminary drafts and notes; and commercial or

financial information given in confidence that the University had determined that the public interest in withholding such documents clearly outweighed the public interest in disclosure.

Trustees present included: Louise Bailey, Rose Barham, Andy Bessette, Charles Bunnell, Shari Cantor, Richard Carbray, Michael Daniels, Andrea Dennis-LaVigne, Mary Anne Handley, Juanita James, Thomas Kruger, Larry McHugh, Denis Nayden and Thomas Ritter

University staff present included: President Herbst, Rachel Rubin, Richard Orr, Holly Bray, Richard Gray, Mun Choi, Mike Kirk, Lysa Teal, Tysen Kendig, Michael Mundrane and Debbie Carone

The committee reconvened in open session at 9:32 a.m. Mr. Kruger directed the committee to agenda ***Item #1, Approval of the Minutes of the Financial Affairs Committee Meeting of October 23, 2013.*** On a motion by Trustee Cantor and seconded by Trustee Bessette the minutes were approved as circulated.

Mr. Kruger directed the committee to agenda ***Item #2, Contracts and Agreements for Approval.*** On a motion by Trustee Bessette and seconded by Trustee Cantor the item was recommended to the full Board for approval.

Sally Reis, Vice Provost for Academic Affairs, and Michael Eagen, Director of the Office of Faculty and Staff Labor Relations, detailed agenda ***Item #3, Memorandum of Agreement (MOA) Between the University of Connecticut and the American Association of University Professors Regarding Summer and Intersession Compensation.*** Trustee Nayden requested the Board be provided with a summary of the courses that are currently offered together with which are the most popular; and separately information regarding online programs and how they are being maximized. This information will assist Trustees to understand what material they are supporting. Trustee Barham inquired if student evaluations would be part of the intersession and summer sessions. Dr. Reis affirmed that they would and offered to provide the additional requested information. The recent increase in faculty is assisting with class availability. On a motion by Trustee Cantor and Trustee Carbray the Memorandum of Agreement was recommended to the full Board for approval.

Laura Cruickshank, University Master Planner and Chief Architect, stated that four of the nine project budgets presented for approval are directly related to the Next Generation Connecticut Program. These projects are for residence halls and a new science building. The budgets were presented as current best estimates without completed programming or design. Trustee Bessette inquired if there is a premium in the cost for the design bid build approach. Ms. Cruickshank responded that there would be a premium if there were many change orders. That approach would not be used for a complex program such as a science building; and it is not appropriate for time sensitive projects. The Next Gen projects are schedule driven projects.

Richard Gray, Executive Vice President for Administration and Chief Financial Officer, introduced the project budget for the ***Intramural, Recreational and Intercollegiate Facilities – Recreation Center (Revised Planning: \$100,000,000).*** He stated that over the last year many conversations had taken place with the Board and with students about the desire and demand for a recreation center as well as the programming and level of student contribution for such a facility. The project budget resolution acknowledges those requests and, therefore, includes the provision that no student shall pay a fee for the facility until it is open. As the basic parameters and demand

are established for the project the Financial Affairs Committee and the Buildings, Grounds and Environment Committee will review detailed economics as well as information regarding the revenue bond financing for the project. As such, the resolution asks that the Board approve the resolution subject to additional votes by these two committees after additional thorough documentation and presentation. Chairman McHugh stated his pleasure that the review process would include the two Board committees especially considering the magnitude of the project. Engagement by student Trustees is important to the process. Trustee Bailey indicated her concern that the additional oversight could slow the project. Mr. Gray assured the committee that that was not the intention and the administration will make every effort to keep the project on schedule.

Ms. Cruickshank provided updates on each of the project budgets for Storrs based programs presented for approval which included: ***Academic and Research Facilities – New Science, Technology, Engineering, and Math (STEM) Research Center (Planning: \$1,000,000); Gant Building Renovations – STEM (Planning: \$1,000,000); Residential Life Facilities – Honors Residence Hall (Revised Planning: \$750,000); Residential Life Facilities – Science, Technology, Engineering and Math (STEM) Residence Hall (Planning: \$750,000); Heating Plant Upgrade – Upgrade Chilled Water System (Revised Planning: \$6,000,000); and Atwater Laboratory Electrical Service (Final: \$785,000).*** On a motion by Trustee Bessette and seconded by Trustee Cantor the Storrs based project budgets were recommended to the full Board for approval.

Thomas Trutter, Health Center Associate Vice President for Facilities Development and Operations, provided information on the Health Center project budgets presented for approval which included: ***Health Center (UCHC) Water Infrastructure Improvements (Final: \$3,600,000); and Health Center (UCHC) CLAC Renovation (Revised Final: \$17.960.000.*** Both project budgets had been reviewed and approved by the Health Center Board of Directors. On a motion by Trustee Bessette and seconded by Trustee Cantor the Health Center project budgets were recommended to the full Board for approval.

Mr. Gray directed the Trustees to the informational items on the agenda; specifically noting the legislative report, “UCONN 2000 – Book 37”.

On a motion by Trustee Bessette and seconded by Trustee Cantor the committee was adjourned at 10:06 a.m.

Respectfully submitted,

*Debbie L. Carone*

Debbie L. Carone,  
Secretary to the Committee



## **Board of Trustees**

### **ADDITIONAL MATERIALS**

**The following documents were not noticed with the original Agenda. These include items that were presented or amended during the meeting of**

**Wednesday, December 11, 2013**





## **Board of Trustees**

**PRESENTED UNDER FINANCIAL AFFAIRS REPORT**

**Wednesday, November 13, 2013**

**AGENDA**  
Meeting of the  
**FINANCIAL AFFAIRS COMMITTEE**  
**December 11, 2013 at 9:15 a.m.**  
University of Connecticut  
Rome Commons Ballroom  
Storrs, Connecticut

*Revised*

**ATTACHMENT**      **LOCATION**  
**COMMITTEE**      **FULL BOARD**

**EXECUTIVE SESSION**

**ACTION ITEMS:**

- |  |                        |   |
|--|------------------------|---|
| 1) Approval of the Minutes of the Financial Affairs Committee Meeting of November 13, 2013, as circulated          | A                      |   |
| 2) Contracts and Agreements for Approval   |                        | 1 |
| 3) Water Supply and Development Agreement with Connecticut Water Company   | (Under Separate Cover) |   |
| 4) Authorization to Expend \$500,000 for Intramural, Recreation and Intercollegiate Facilities – Recreation Center |                        |   |

**STORRS BASED PROGRAMS PROJECT BUDGETS FOR APPROVAL:**

	<b><u>Phase</u></b>	<b><u>Budget</u></b>	<b><u>Tab</u></b>
5) Master Plan 2014 Development	Planning	\$2,000,000	
6) Microgrid Research Program (Depot Campus)	Final	\$3,000,000	
7) Residential Life Facilities – South Campus Envelope – Gable Repairs	Final	\$3,000,000	
8) Beach Hall Renovations – Molecular Cell Biology Laboratory for New Faculty	Final	\$1,615,574	7
9) Gant Building Renovations – Data Center Stabilization	Final	\$4,000,000	8
10) New Faculty Renovations – George C. White Building, Animal Science Lab	Final	\$1,865,000	9

**INFORMATION ITEM:**

- |  |                        |
|--|------------------------|
| 11) Construction Project Status Report | (Under Separate Cover) |
|--|------------------------|



December 11, 2013

TO: Members of the Board of Trustees

FROM: Richard D. Gray  
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi  
Provost and Executive Vice President for Academic Affairs

RE: Authorization to Expend \$500,000 for Intramural, Recreation and Intercollegiate Facilities – Recreation Center

RECOMMENDATION:

The Board of Trustees approves the expenditure of an amount not to exceed \$500,000 under a Revised Planning Budget approved at its November 13, 2013 meeting.

This Resolution is for the expenditure of these funds only. All other conditions shall remain in full force and effect namely, that at each successive stage beyond the Planning stage of the project, plans must be brought before the Buildings, Grounds & Environment and Financial Affairs committees (the Joint Committee) for approval before they are presented to the full Board for consideration..

BACKGROUND:

On November 13, 2013 the Board of Trustees approved a Revised Planning Budget for the Recreation Facility in the amount of \$100,000,000 excluding capitalized interest and financing costs subject to a stage-by-stage joint review by the Financial Affairs and Building, Grounds and Environment Committees prior to the expenditure of funds.

Based upon a review of existing information by the Committee Chairs it was determined that additional information is required prior to presentation to the Joint Committee.

This funds request is to provide for additional planning efforts including verification of the size and programming of the facility given student enrollment; independent verification of the per square foot construction costs; assessment of risks associated with the construction of the facility; review financial feasibility of the operation of the facility and such other information deemed relevant for the Joint Committee's review.

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This information will be subject to the further development of the Campus Master Plan that will determine the siting of the Recreation Facility.

Regardless of the information obtained during this review, it is expressly understood that the total project budget of \$100,000,000 plus construction period interest and financing costs must result in an estimated fee for undergraduate students of \$250 per semester or less and an estimated fee for graduates students of \$200 or less per semester.



December 11, 2013

TO: Members of the Board of Trustees

FROM: Richard D. Gray   
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi   
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Master Plan 2014 Development (Planning: \$2,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Planning Budget in the amount of \$2,000,000 for Master Plan 2014 Development.

BACKGROUND:

The purpose of this project is to prepare a Comprehensive Master Plan (PLAN) for the University of Connecticut that will support the University mission and academic plan and guide the investment of capital and operating funds over the next twenty years, and to specifically guide capital investments for the period 2014 to 2025. The "Plan" will become an essential component of the COMPREHENSIVE UNIVERSITY PLAN to implement *Public Act 13-233 AN ACT CONCERNING NEXT GENERATION CONNECTICUT*.

This statute reinvigorates the university capital program by authorizing over \$1.5 billion additional funds for programs through 2024, and necessitates a reevaluation of the current Master Plan (completed in 2006).

The end result of this effort will be a comprehensive framework that will assist the University in fulfilling its academic and residential plans by providing an outline and definition of excellence in physical facilities, infrastructure, systems and surroundings. It will include the University campuses in Storrs and Mansfield (Depot) and incorporate or update existing master plans or area plans for Landscape, Depot Campus, Technology Park, Athletics, and Residential Life. It will reference the campuses in Greater Hartford, Stamford, Waterbury, Torrington, and Avery Point (for joint programs with the Storrs campus only). It will not include the UConn Health Center in Farmington.

This Planning Budget is attached for your consideration and approval.

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## The Key Components of the Master Plan Development

**Overview** – review and update the goals, objectives and elements of the Current University Master Plan

**Building Uses/Space Needs** – Identify space needs for all university divisions, with a special interest in STEM (Science, Technology, Engineering and Mathematics) needs and requirements from current to anticipated needs.

**Classroom utilization** – analyze existing classroom resources to determine future needs and requirements.

**Transportation** – Analyze current data and anticipated needs for parking, signing, transit, access and movement within the Campus environs. Evaluate roads and walkway systems, traffic impacts on Town of Mansfield and State of Connecticut roads and streets, and option for improvements and ways to minimize traffic impacts for planned growth. Identify transportation facilities and infrastructure improvements and develop systemic strategies for implementation.

**Development Opportunities** – Identify net useable land areas, appropriate densities, highest and best use for the Main University campus at Storrs and Depot Campuses.

**Landscape Master Plan** – Develop a landscape plan for the main campus lands that considers the natural ecosystems, as well as the University campus character. The plan will be specific enough to guide planting choices for specific projects and locations of public art.

**Utility Master Plan** – The University owns and operates an extensive network of essential utilities which provide key utilities such as heat, chilled water, emergency and standby power, electrical generation, sewage, water, telecommunication and networks. The “plan” will evaluate needs for the current utilities to support the requirements of the COMPREHENSIVE UNIVERSITY PLAN and the business needs of the University.

**Planning Considerations** – Include commentary on historical perspective, environmental aspects, cross impact with the Town of Mansfield

**Campus Framework** – Incorporation of individual elements into a comprehensive and easily understood framework for development.

**Project Priorities** – Identify each capital project, funding source, occupant(s), and the desired sequence. Delineate the relationship between projects and evaluate funding streams and the ability to support the projects and/or the need to supplement with additional funding. Renovations, demolitions, new structures, utilities and site improvements will be included. The project priorities will include academic, administrative, athletic, student life and utility projects, in other words all projects needed to fulfill the University COMPREHENSIVE UNIVERSITY PLAN.

**Environmental Considerations** – The University is signatory to the American College & University Presidents Climate Committee, pledging to reduce the University’s carbon footprint to zero by 2050.

## **CAPITAL PROJECT BUDGET REPORTING FORM**

**TYPE BUDGET:    PLANNING**

**PROJECT NAME:        MASTER PLAN 2014 DEVELOPMENT**

<b><u>BUDGETED EXPENDITURES</u></b>	<b>PROPOSED PLANNING 12/11/2013</b>
CONSTRUCTION	\$ -
DESIGN SERVICES	1,425,000
TELECOMMUNICATIONS	5,000
FURNITURE, FIXTURES AND EQUIPMENT	-
CONSTRUCTION ADMINISTRATION	-
OTHER AE SERVICES (including Project Management)	85,000
ART	-
RELOCATION	-
ENVIRONMENTAL	50,000
INSURANCE AND LEGAL	5,000
MISCELLANEOUS	70,000
OTHER SOFT COSTS	-
<b>SUBTOTAL</b>	<b>\$ 1,640,000</b>
PROJECT CONTINGENCY	360,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 2,000,000</b>
<b><u>SOURCE(S) OF FUNDING</u></b>	
UConn 2000 PHASE III	\$ 1,650,850
UNIVERSITY PLANT FUNDS	349,150
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 2,000,000</b>



December 11, 2013

TO: Members of the Board of Trustees

FROM: Richard D. Gray  
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi  
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Micro Grid Research Program at the Depot Campus  
(Final: \$3,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Planning Budget in the amount of \$3,000,000 for the Micro Grid Research Program at the Depot Campus.

BACKGROUND:

The purpose of this resolution is to authorize the implementation of an innovative partnership between the Federal Government, State of Connecticut, the University of Connecticut and industry partners for an exciting research opportunity related to the establishment of a Micogrid at the University's Depot Campus.

At the highest level, this project represents a public-private partnership between the Department of Energy's National Renewable Energy Laboratory and Schneider Electric. For approximately three million dollars (\$3.0M), the residents, first emergency responders, and governmental and commercial institutions of the State of Connecticut, will derive tremendous benefits of a secure and safe energy source at the Depot Campus. The University successfully competed for and received an approximately two million one hundred thousand dollar (\$2.1M) grant to establish the Microgrid Research Program. This grant will partially offset the development and construction costs associated with the project.

The specific purpose of this project is to provide a location at the University Depot Campus that can operate during emergency outages by using the current sources of

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electrical power at the campus. There are four sources of generation available: grid supplied electricity, self-generated fuel cell electricity (400 kW), a solar array (6.6 kW), and emergency diesels.

UConn's PureCell 400 kW fuel cell has been in operation since 2012, providing electricity and heat to parts of the campus. This system has higher efficiency, lower fuel costs, and significantly reduced green house gas emissions than the conventional fossil fuel plants. However, if the current grid "turns off," the efficient PureCell 400 must also go offline and the continuous supply of heat and power will be lost. The physical construction work of this project is to adapt the existing infrastructure to allow the Microgrid to function when the grid fails. The major infrastructure for the Microgrid is already in place and functional.

Once complete, the Center for Clean Energy Engineering (C2E2) can serve as an important portal for energy research at the University of Connecticut in the field of Microgrid research and operations, as well as meet several other objectives as part of DEEP's Microgrid Grant and loan program. Objectives include:

1. Transition valuable self-generation assets to effective "island mode" operation when such assets would otherwise be inoperable due to macro grid failure.
2. Provide places for warming and the recharging of critical electronic devices for area residents or first responders to disruptive energy security events in the Storrs area.
3. Conduct research and enable technology transfer by actionable Microgrid best practices throughout campuses, government and industry in Connecticut or the Northeastern U.S.
4. Further implement the Adaptation Section of UConn's Climate Action Plan, by providing a "living laboratory" for a more resilient, reliable clean energy infrastructure

The University requests a waiver to the three phase budget approval process in order to proceed with the project immediately.

This Final Budget is attached for your consideration and approval.

## **CAPITAL PROJECT BUDGET REPORTING FORM**

**TYPE BUDGET: FINAL**

**PROJECT NAME: MICROGRID RESEARCH PROGRAM AT THE DEPOT CAMPUS**

<b><u>BUDGETED EXPENDITURES</u></b>	<b>PROPOSED FINAL 12/11/2013</b>
CONSTRUCTION	\$ 2,100,000
DESIGN SERVICES	170,000
TELECOMMUNICATIONS	-
FURNITURE, FIXTURES AND EQUIPMENT	-
CONSTRUCTION ADMINISTRATION	110,000
OTHER AE SERVICES (including Project Management)	110,000
ART	-
RELOCATION	5,000
ENVIRONMENTAL	-
INSURANCE AND LEGAL	25,000
MISCELLANEOUS	80,000
OTHER SOFT COSTS	-
<b>SUBTOTAL</b>	<b>\$ 2,600,000</b>
PROJECT CONTINGENCY	400,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 3,000,000</b>
<b><u>SOURCE(S) OF FUNDING</u></b>	
DEEP GRANT	\$ 2,204,234
UNIVERSITY PLANT FUNDS	795,766
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 3,000,000</b>



December 11, 2013

TO: Members of the Board of Trustees

FROM: Richard D. Gray  
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi  
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Residential Life Facilities – Residential Life Facilities  
– South Campus Envelope – Gable Repairs (Final: \$3,000,000)

RECOMMENDATION:

That the Board of Trustees approve an exigent Final Budget of \$3,000,000 for repair of the gable walls in the South Campus Building Complex.

BACKGROUND:

The South Campus Complex comprises four buildings; Wilson, Rosebrooks and Snow Halls which are residential housing; and Rome Hall which contains a cafeteria, function rooms and offices. The buildings were constructed in approximately 1998. The buildings have water infiltration problems as well as evidence of significant masonry and exterior envelope deterioration.

The architectural firm of Wiss, Janney Elstner (WJE) was retained to investigate and report on the cause of the leaks and to make recommendations for corrective action. WJE observed that the leaks are due to numerous conditions including improper flashing, deteriorated and cracked masonry, and deteriorated roofing and caulking. In addition, pre-cast concrete capstones located on the gables and intermediate walls throughout the complex were improperly secured at the time of the original construction and this condition represented a potential and significant safety hazard requiring prompt corrective action. The work associated with the correction of the capstones was successfully completed in March 2013.



During the course of investigative field work necessary to complete the construction documents for the balance of the deficiency repairs, WJE observed and documented additional defects relating to the masonry gable walls which had not previously been identified. WJE noted that the walls were designed and/or constructed such that they do not meet the Connecticut State Building Code design wind load requirements in effect at the time of the design and construction of the buildings representing a potential safety hazard.

A third party structural engineer has confirmed WJE's findings with respect to the gable end masonry walls.

WJE has advised that immediate repairs are necessary to preserve public safety. A phased approach has been developed for the corrective work to achieve this goal. The proposal allows for abatement of the immediate safety concerns, while allowing the balance of the repairs to the gable walls to be completed in the spring/summer of 2014.

Phase I of the work will provide for interior bracing of the gables within the attic space of the existing buildings and this bracing will become a part of the permanent remedial repairs. The second phase which will be undertaken in the spring of 2014 involves more extensive repairs to the masonry walls themselves.

In order to complete this work the University seeks approval of the award of an exigent construction contract in order that the work can be started as soon as possible. The University anticipates phase I construction to begin in January 2014 and can be completed in approximately eight weeks from the start of construction.

The funding request is based on a third party estimate of construction costs and includes both phases of the work deemed necessary to repair the gable walls.

The University also requests that the three step budget approval be waived at this time due to safety concerns.

The balance of the remedial work necessary to correct remaining defects, not included as part of this request, will include masonry repairs, caulking, pre-cast concrete repairs, window repairs and slate roof replacement and is estimated to be approximately \$20 million.

## **CAPITAL PROJECT BUDGET REPORTING FORM**

**TYPE BUDGET: FINAL**

**PROJECT NAME: RESIDENTIAL LIFE FACILITIES - SOUTH CAMPUS ENVELOPE-  
GABLE REPAIRS**

<b><u>BUDGETED EXPENDITURES</u></b>	<b>PROPOSED FINAL 12/11/2013</b>
CONSTRUCTION	\$ 2,000,000
DESIGN SERVICES	125,000
TELECOMMUNICATIONS	-
FURNITURE, FIXTURES AND EQUIPMENT	-
CONSTRUCTION ADMINISTRATION	250,000
OTHER AE SERVICES (including Project Management)	150,000
ART	-
RELOCATION	-
ENVIRONMENTAL	-
INSURANCE AND LEGAL	25,000
MISCELLANEOUS	50,000
OTHER SOFT COSTS	-
<b>SUBTOTAL</b>	<b>\$ 2,600,000</b>
PROJECT CONTINGENCY	400,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 3,000,000</b>
<b><u>SOURCE(S) OF FUNDING</u></b>	
UConn 2000 PHASE III	\$ 3,000,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 3,000,000</b>



## **Board of Trustees**


**DISTRIBUTED UNDER SEPARATE COVER**


**Wednesday, November 13, 2013**



December 11, 2013

TO: Members of the Board of Trustees

FROM: Richard D. Gray   
Executive Vice President for Administration and Chief Financial Officer

Thomas Q. Callahan   
Associate Vice President, Infrastructure Planning & Strategic Project  
Management

RE: Water Supply and Development Agreement with Connecticut Water Company

RECOMMENDATION:

That the Board of Trustees authorize the administration to execute a Water Supply and Development Agreement with Connecticut Water Company.

BACKGROUND:

Last September, the Connecticut Office of Policy Management (OPM) concluded that the University satisfied the Connecticut Environmental Policy Act's requirements in determining that the Connecticut Water Company's proposal for providing additional water supply to the University and Storrs area of Mansfield was the most environmentally sound and cost effective option. That determination was reached through a comprehensive Environmental Impact Evaluation that was coordinated closely with the Town of Mansfield.

The agreement provides a long term solution for meeting the University's Storrs campuses projected water supply requirements, including the proposed Technology Park. It also will normalize access to public water supply for citizens and commercial interests located in the Storrs area of Mansfield.

Executing this agreement sets the stage for initiating the permitting process. It is anticipated permitting and construction will take approximately 30 months to complete.

A summary of contract key contract provisions is attached.

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## **Key Provisions**

### **UConn/Connecticut Water Water Supply and Development Agreement**

#### Term

- Initial Term: 47 years (to coincide with EIE water supply planning period)
- Renewals: 7 years

#### Volumes

- Up to 1.5 million gallons per day
- UConn maintains its sources of supply up to their existing diversion limitations

#### Construction and Operations/Maintenance Costs

- At its sole expense, CWC to construct pipeline extension and supporting infrastructure (treatment, pump stations, etc)
- At its sole expense, UConn to construct on-campus pipeline spur and meter pit to enable interconnection
- At its sole expense, CWC operates, maintains, repairs and replaces all off-campus infrastructure

#### Rates

- UConn pays only for water it uses; no “take or pay” requirement
- On campus facilities charged at the State Infrastructure Customer Rate set at 60 percent of CWC’s Public Authority rate
- Existing University off campus facilities charged the existing UConn rate
- New University facilities located off campus charged the Public Authority rate
- Existing non-University billed customers charged existing UConn rates
- New residential, commercial, municipal customers charged CWC’s PURA approved rates
- Future rate increases subject to PURA approval process

#### Environmental

- Connections along the pipeline route must comport with the OPM approved Record of Decision and state plan of conservation and development unless otherwise ordered by PURA
- No restrictions on continued deployment of UConn’s reclaimed water or water conservation initiatives
- UConn may participate in the permitting process at its discretion if not a required permittee

#### Mansfield

- UConn relieved of providing service to off campus customers
- CWC obligated to provide water service to existing and new customers in service area as subject to appropriate town approvals



#### Other Notable Provisions

- CWC granted irrevocable license to use off campus infrastructure to serve non-university customers
- Material extension/modification of the infrastructure of either party subject to review and resolution of potential impacts, approvals not to be unreasonably withheld
- UConn's off-campus distribution assets conveyed to CWC when replaced or fully depreciated